

# **2009 HOUSING AND COMMUNITY DEVELOPMENT CONSOLIDATED ANNUAL ACTION PLAN**

**LAKE COUNTY CONSORTIUM**

**LAKE COUNTY, ILLINOIS**

**NORTH CHICAGO, ILLINOIS**

**WAUKEGAN, ILLINOIS**

**MARCH 17, 2009**

**(REVISED MAY 2009 TO INCORPORATE FINAL  
HUD ALLOCATIONS  
AND  
ADJUSTMENT OF CDBG AND HOME PROGRAM  
FUNDING SOURCES)**



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## EXECUTIVE SUMMARY

The *2009 Annual Action Plan* details how Lake County and the Cities of North Chicago and Waukegan will allocate the following Program Year 2009 (PY2009) US Housing and Urban Development (HUD) funds for the Community Development Block Grant (CDBG) Program, the HOME Investment Partnerships (HOME) Program, and the Emergency Shelter Grant (ESG) Program. The program year for these funds extends from May 1, 2009 to April 30, 2010.

These community development investments will assist the Consortium members to address prioritized goals previously identified in the *2005 – 2009 Housing and Community Development Consolidated Plan*. The Consolidated Plan is our countywide Plan identifying housing and community development Needs, Goals, and Strategies that can be funded with HUD funds. Within the Consolidated Plan, housing and homeless goals and strategies are presented countywide, with narrative explanations of jurisdictional priorities. Other community development goals and strategies specific to each entitlement jurisdiction are presented independently, allowing each entitlement jurisdiction to set quantifiable goals for use of its independent CDBG funds. The intended effect of these investments is to encourage and support the development of viable communities for low- and moderate-income persons.

The objectives for the use of funds from the US Department of Housing and Urban Development's Community Development Block Grant (CDBG) Program, the HOME Investment Partnerships (HOME) Program, the Emergency Shelter Grants (ESG) Program, and the additional funds provided throughout the year from the Supportive Housing Program (SHP) and the Shelter Plus Care (S+C) Program, are the development of viable communities by providing decent safe and sanitary housing, a suitable living environment, expanding economic opportunities, and providing homeless assistance activities principally for persons of low and moderate income.

- The CDBG Program provides funds for community development activities including, but not limited to, public infrastructure improvements, housing activities, economic development and job training programs, and public service activities.
- The HOME Program provides funds for down payment and/or closing cost assistance for homebuyers, the construction or rehabilitation of single- or multi-family housing units along with other types of housing assistance, principally for low- and moderate-income residents or for special needs housing.
- The ESG Program provides funds for the rehabilitation, renovation or conversion of emergency/transitional shelters and for maintenance and operation costs, essential services, and homeless prevention activities for homeless individuals and families.
- The SHP Program provides funds for acquisition, rehabilitation, leasing, supportive services, operating, and administrative costs of transitional housing programs for the homeless and permanent housing for homeless persons with disabilities.

- The S+C Program provides funds for rental assistance to provide housing and supportive services on a long-term basis for homeless persons with disabilities and their families.

The *Annual Action Plan*, as with the *2005 – 2009 Consolidated Plan*, is an integrated document that describes the interdependent yet collaborative strategies of each entitlement jurisdiction within the context of the whole County. The specific investments are presented according to the 5-year goals that they are intended to impact. Housing and Homeless goals and strategies are presented countywide. Other community development strategies specific to each jurisdiction are presented independently; allowing each jurisdiction to respond to the individual needs within the community with its CDBG funds. When appropriate, anticipated outcomes are quantified to allow for an annual assessment at the completion of the program year.

The allocations presented here are the result of a community-wide notification, application, and review process within and among each entitlement jurisdiction. Some components of the process have been conducted jointly across jurisdictions, such as the application training sessions, and other aspects of the process have been unique to each jurisdiction, such as application review and ranking. Details on the process and opportunities for public involvement can be found in subsequent sections of this document.

Housing projects proposed under the Lake County HOME/CDBG Housing Fund are often developed at various times throughout the year and require multiple funding sources having different application deadlines and funding dates. To best meet the needs of all Housing Fund applicants, the Lake County Consortium has established two specific rounds of funding for PY2009. The first deadline for application submittal was October 31, 2008. Depending upon the availability of remaining un-obligated housing funds, distribution of Round #2 funding may be limited to particular project types and/or specific geographic areas. Applications for Round #2 funding will be accepted on or before May 1, 2009, and will result in the holding of two Public Hearings and customary approvals of an Addendum to the 2009 Annual Action Plan.

The Lake County Affordable Housing Program (LCAHP) was created by the Lake County Board with an annual appropriation of \$300,000 from FY2000 to FY2003. In FY2004, the County Board increased its appropriation to \$500,000 for one year. In FY2005, the County Board then appropriated \$310,000 in additional funds and in FY2006 and FY2007 the County Board appropriated an additional \$300,000 per year. In FY2008, the County appropriated \$466,085 in additional funds. To date, \$101,000 in “program generated funds” has been returned to Lake County for additional funding awards. In total, \$3.22 million dollars has been allocated to the Lake County Affordable Housing Program.

To enhance the affordability and impact of the Lake County Affordable Housing Program, the Consortium may combine Lake County HOME/CDBG Housing Fund monies with Lake County Affordable Housing Program funds to jointly fund a particular activity. Numerous County funded projects have been awarded both HOME and LCAHP funds. Although Consortium-funded housing activities benefit households at or



below 80% of area median income, Lake County Affordable Housing Program funds may be used to assist households up to 100% of area median income. When funding is combined with HOME or CDBG funds, the more restrictive federal affordability requirements apply.

The following tables summarize the proposed use of PY2009 HOME funds, PY2009 ESG funds, and PY2008 Continuum of Care awards for the entire Lake County Consortium, along with PY2009 CDBG funds specifically for Lake County and the Cities of North Chicago and Waukegan. Maps showing the locations of these proposed activities by project type are also provided for all three jurisdictions. In the sections that follow these tables and maps, specific projects that have been proposed for funding are individually described.

## **Description of Key Projects**

### **Lake County Consortium Activities**

At the present time, it is **estimated** that \$2,273,038 in CDBG and HOME Investment Partnership (HOME) funds will be allocated toward the 2009 Program Year, to be used for twenty (20) separate housing projects, programs, administration and operation. Affordable housing activities include both new construction and rehabilitation of single family homes and rental properties for low income households; four CHDO Operating funded activities; three housing rehabilitation programs independently for Lake County and the Cities of North Chicago and Waukegan; and three First Time Homebuyers Programs (one each for Lake County and the Cities of North Chicago and Waukegan).

\$127,620 in Emergency Shelter Grant (ESG) funds will be allocated toward the 2009 Program Year, among four (4) emergency shelter projects, one (1) transitional housing projects, one (1) project that provides free legal services for persons at risk of homelessness, and administration costs. In terms of HUD funding categories, the PY2009 ESG funds are to be used toward Operations (4 projects), Essential Services (1 project), Homeless Prevention (1 project), and Program Administration activities.

The Lake County Continuum of Care was recently awarded Fiscal Year 2008 Supportive Housing Program and Shelter Plus Care funds in the amount of \$1,609,603 (included as reference material for the Action Plan). Funds will be used to: expand permanent supportive housing opportunities for chronically homeless individuals; expand the Homeless Management Information System (HMIS); renew funding for transitional housing for persons with HIV/AIDS; renew funding for case management services in a permanent housing program for chronically homeless individuals; renew funding for housing counseling services for the homeless; renew funding to maintain a transitional housing program for homeless families; renew funding for a permanent housing program for homeless persons with developmental disabilities; renew funding to maintain a scattered site transitional housing program for homeless women with or without children; renew funding for a fixed site transitional housing program for women with

children; renew funding for services at an emergency shelter for homeless individuals and families; renew funding for a safe haven housing program for chronically homeless persons; renew funding for a program that provides legal services to homeless persons; renew funding for a fixed site transitional housing program for women with children; and to renew funding for two permanent housing programs for chronically homeless persons. These projects are described briefly in this *Annual Action Plan* and more fully in the *2008 Continuum of Care Plan*.

### **Lake County Activities**

At the present time, it is **estimated** that \$2,664,384 in Community Development Block Grant (CDBG) funds will be allocated toward the 2009 Program Year, which will run from May 1, 2009 to April 30, 2010. Lake County proposes to spend its CDBG funds for thirty-two (32) separate activities, including public services, public infrastructure improvements, housing projects, fair housing activities, and program administration.

The various activities funded under the Lake County Consortium HOME Program, the ESG Program and the CDBG Program include the following:

- \$2,101,642 for 19 affordable housing projects and/or programs.
- \$127,734 for 6 separate agencies involved in ESG funded emergency shelter, transitional housing, and homelessness prevention projects.
- \$380,000 to 11 separate agencies for public services activities, including employment skills training programs, food pantry services, basic health care, ex-offender reintegration services, self-sufficiency programs, sexual abuse counseling and crisis intervention services, case management and information and referral services, fair housing services, and basic health services.
- \$171,396 in CDBG funds for housing rehabilitation projects.
- \$1,594,534 for 17 public infrastructure improvement projects across Lake County, including road, watermain, sanitary sewer, and storm sewer/drainage improvements.

### **North Chicago Activities**

At the present time, it is **estimated** that \$322,089 in Community Development Block Grant (CDBG) funds will be allocated toward the 2009 Program Year. North Chicago proposes to spend \$322,089 in CDBG funds for sixteen (16) separate activities, including housing rehabilitation, public facility improvements, public services, economic development projects, fair housing activities, and program administration.

- \$78,418 for emergency rehabilitation of owner-occupied housing and code enforcement corrections.
- \$60,000 for infrastructure improvement projects.
- \$47,563 to 9 different agencies for public services to benefit youth, seniors, substance abuse programs, homeless services and prevention programs, and to improve basic needs assistance for low to moderate income persons.
- \$86,000 for 2 economic development activities.
- \$8,000 for the purpose of ensuring fair housing and fair housing activities.

**Waukegan Activities**

At the present time, it is ***estimated*** that \$1,103,622 in Community Development Block Grant (CDBG) funds will be allocated toward the 2009 Program Year; Waukegan proposes to spend \$1,103,622 in CDBG funds for twenty-eight (28) separate activities, including housing rehabilitation, code compliance, public services, public facility improvements, fair housing activities, and administration.

- \$623,079 (3) for substantial and emergency rehabilitation of owner-occupied housing, code enforcement activities, and administration.
- \$315,000 (3) for public facility projects including neighborhood sidewalk replacement, Countryside Association – Golden Opportunities Accessibility and downtown streetscape (downtown revitalization) activities.
- \$165,543 (22) different agencies for public service activities to benefit at-risk youth, senior citizens, abused or neglected children, family and self-sufficiency programs, emergency food assistance, shelter the homeless and homeless prevention services, substance abuse programs, and ensure fair housing.

**2009 Lake County Consortium  
HOME Program Funding Recommendations**

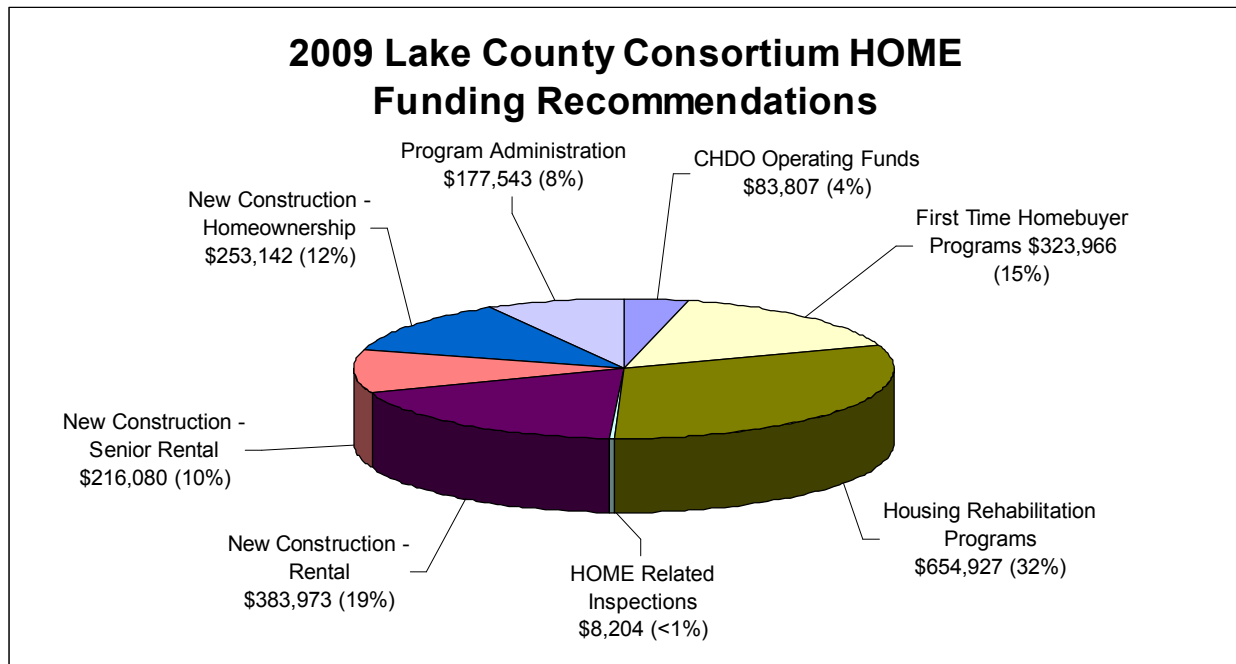
<b>Project Sponsor</b>	<b>Project Type</b>	<b>Recommended Amounts</b>
Habitat for Humanity of Lake County (a CHDO Agency)	CHDO Operating Funds	\$22,387
Highland Park Illinois Community Land Trust (a CHDO Agency)	CHDO Operating Funds	\$19,640
Lake County Residential Development Corp. (a CHDO Agency)	CHDO Operating Funds	\$19,640
YouthBuild Lake County (a CHDO Agency)	CHDO Operating Funds	\$22,140
A Safe Place	New Construction - Rental Apartments	\$211,987
Habitat for Humanity of Lake County	New Construction - Home Ownership	\$106,656
Lake County Residential Development Corp.	New Construction - Senior Apartment Rental	\$216,080
Ross Financial Services, Inc.	New Construction - Rental Apartments	\$171,986
Youth Conservation Corps	New Construction - Home Ownership	\$22,500
YouthBuild Lake County	New Construction - Home Ownership	\$123,986
DKI, Inc.	Rehabilitation - Rental Apartments	\$136,986
Highland Park Illinois Community Land Trust	Rehabilitation – Home Ownership	\$191,986
Affordable Housing Corporation – Affordable Plus Mortgage Program – Lake County	First Time Homebuyer Program	\$150,000
Affordable Housing Corporation – FTHB – North Chicago	First Time Homebuyer Program	\$54,000
Affordable Housing Corporation – FTHB – Waukegan	First Time Homebuyer Program	\$119,966
North Chicago Acquisition/Rehabilitation/New Construction Program	Rehabilitation/New Construction	\$138,195
Waukegan Acquisition/Rehabilitation/New Construction Program	Rehabilitation/New Construction	\$187,760
Lake County HOME Program	Program Administration	\$177,543
Affordable Housing Corporation	HOME-related Inspections	\$8,204
<b>TOTAL</b>		<b>\$2,101,642</b>
<b>Available Sources of PY2009 HOME Funds</b>		
2009 HOME Entitlement Grant		\$1,676,147
Program Income – Lake County HOME Program		\$147,320
Program Income – North Chicago HOME Program		\$18,000
Program Income – Waukegan HOME Program		\$16,000
Reprogrammed Funds		\$244,175
<b>TOTAL 2009 HOME <i>Estimated</i> Funds</b>		<b>\$2,101,642</b>

## NOTES REGARDING THE HOME PROGRAM FUNDING RECOMMENDATION TABLE

**NOTE:** HOME CHDO Reservation Set-aside formula calculated as  $\$1,676,147 \times 15\% = \$251,422.05$ , distributed as CHDO Reserves Funds between the Habitat for Humanity of Lake County project (\$35,342) and the Lake County Residential Development Corporation project (\$216,080). The Habitat for Humanity of Lake County project (\$106,656) is, therefore, funded with \$35,342 of HOME CHDO Reservation Set-aside funds and \$71,314 of Lake County (regular) HOME funds.

**NOTE:** HOME CHDO Operating Funds formula calculated as  $\$1,676,147 \times 5\% = \$83,807.35$ , distributed as CHDO Operating Funds between the Habitat for Humanity of Lake County project (\$22,387), the Highland Park Illinois Community Land Trust project (\$19,640), the Lake County Residential Development Corporation project (\$19,640), and the YouthBuild Lake County project (\$22,140).

**NOTE:** HOME administration formula calculated as  $\$1,676,147 \times 10\% = \$167,614.70 + \$18,132$  in allowable 10% HOME administration from Lake County Program Income ( $\$147,320 + \$18,000 + \$16,000 \times 10\%$ ) received from 12/1/07 to 11/30/08 = \$185,746.70 (the maximum allowable Lake County HOME administration funds that can be used), distributed between the Lake County project for general program administration (\$177,543) and the Affordable Housing Corporation project for HOME-related Inspections (\$8,204).

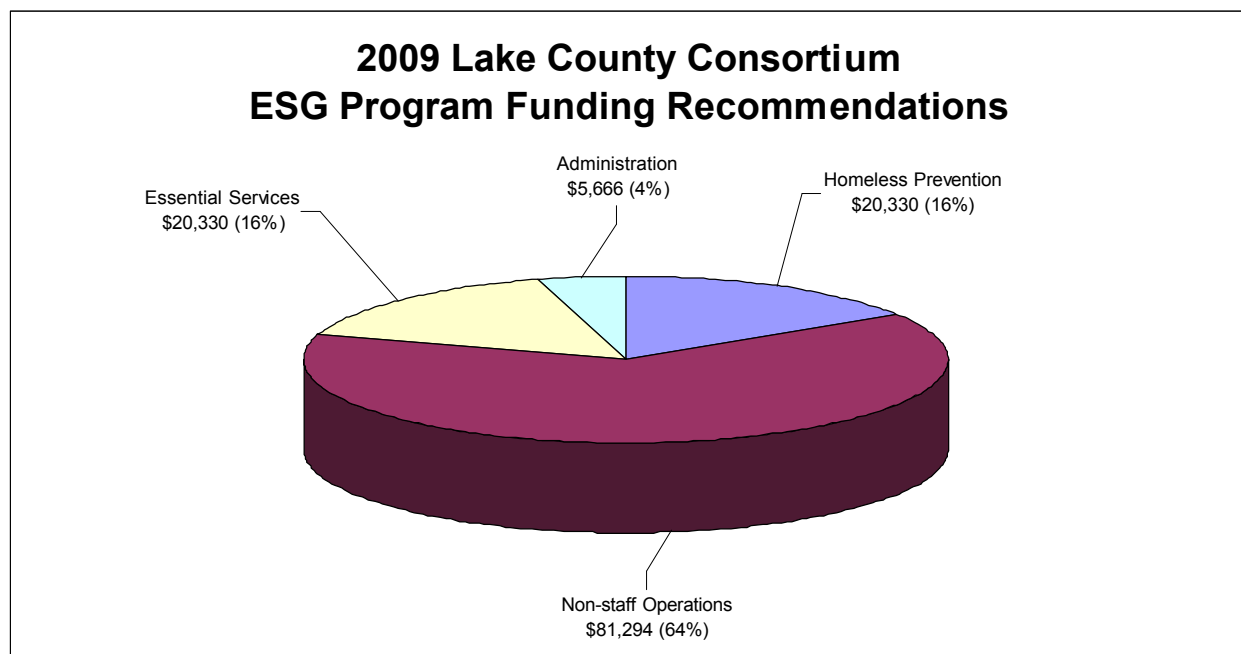


## 2009 Lake County Consortium ESG Program Funding Recommendations

Subrecipient	Project Type	Funding Category	Total Program Cost	Recommended Amount
A Safe Place	Emergency Shelter	Operations	\$862,000	\$20,330
Catholic Charities	Emergency Shelter	Operations	\$308,784	\$20,330
Lake County Haven	Emergency Shelter	Operations	\$216,988	\$20,330
PADS Crisis Services	Emergency Shelter	Essential Services	\$572,223	\$20,330
Prairie State Legal Services	Homeless Prevention Program	Prevention	\$103,115	\$20,330
Waukegan Township	Transitional Housing	Operations	\$376,500	\$20,304
<b>Administration, Technical Assistance, and Planning</b>				
Lake County ESG Program		Program Administration		\$5,666
<b>TOTAL</b>			<b>\$2,439,610</b>	<b>\$127,620</b>

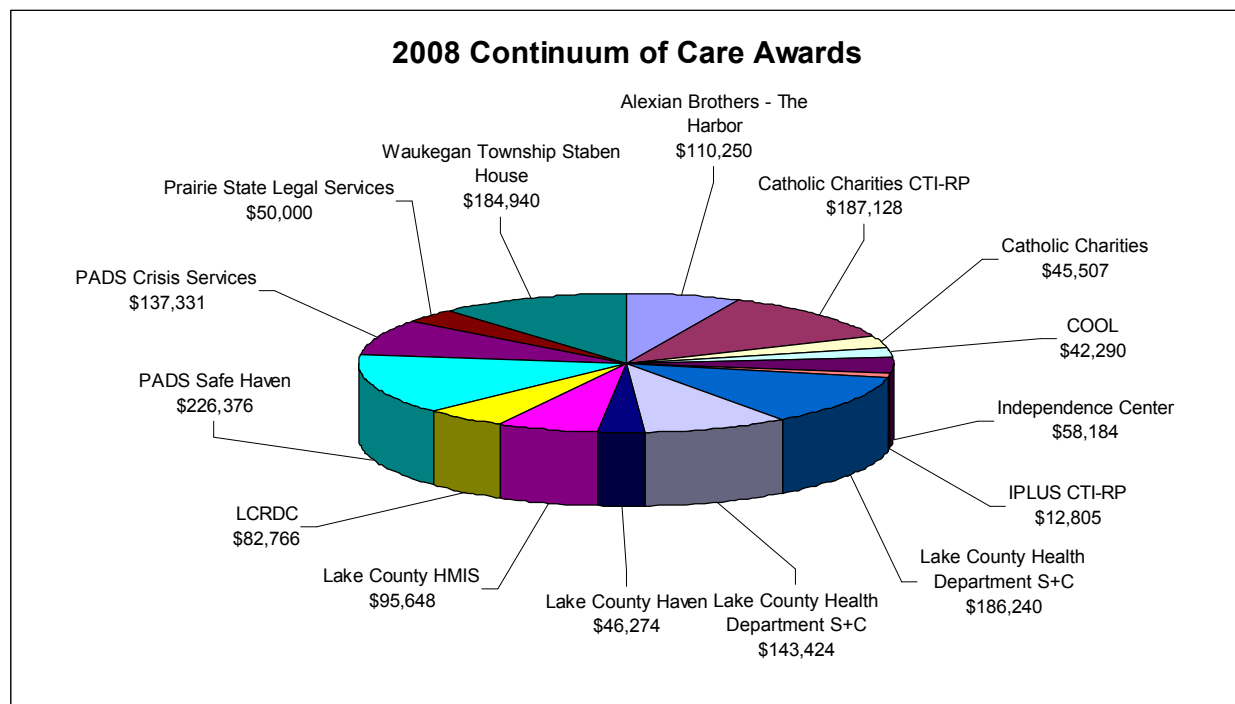
**NOTE:** Lake County ESG Funds of \$113,326 of entitlement funds + \$14,294 in reprogrammed ESG funds = \$127,620.

**NOTE:** Lake County ESG administration formula calculated as \$113,326 X 5% = \$5,666 (the maximum allowable Lake County ESG administration funds that can be used).



### 2008 Continuum of Care Awards

Project Sponsor	Project Type	2008 SHP/S+C Funds
Alexian Brothers – The Harbor (Supportive Housing Program – 1 year)	Transitional Housing	\$110,250
Catholic Charities (Supportive Housing Program – 1 year)	Supportive Services	\$187,128
Catholic Charities (Supportive Housing Program – 1 year)	Supportive Services	\$45,507
Christian Outreach of Lutherans (Supportive Housing Program – 1 year)	Transitional Housing	\$42,290
Independence Center (Supportive Housing Program – 1 year)	Permanent Housing	\$58,184
IPLUS (Supportive Housing Program – 1 year)	Supportive Services	\$12,805
Lake County Health Department (Shelter Plus Care – 1 year)	Permanent Housing	\$186,240
Lake County Health Department (Shelter Plus Care – 1 year)	Permanent Housing	\$143,424
Lake County Haven (Supportive Housing Program – 1 year)	Transitional Housing	\$46,274
Lake County Planning Department (Supportive Housing Program – 1 year)	HMIS	\$95,648
Lake County Residential Development Corp. (Supportive Housing Program – 1 year)	Transitional Housing	\$82,766
PADS Crisis Services (Supportive Housing Program – 1 year)	Safe Haven	\$226,376
PADS Crisis Services (Supportive Housing Program – 1 year)	Supportive Services	\$137,331
Prairie State Legal Services (Supportive Housing Program – 1 year)	Supportive Services	\$50,000
Waukegan Township (Supportive Housing Program – 1 year)	Transitional Housing	\$184,940
<b>TOTAL</b>		<b>\$1,609,163</b>





## 2009 Lake County CDBG Program Funding Recommendations

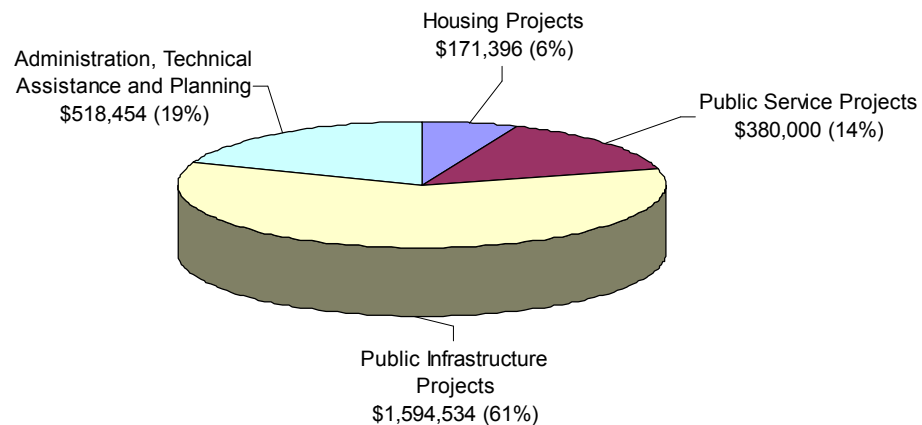
Total Available Funds **\$2,664,384**

2009 CDBG Entitlement Grant	\$2,562,760
CDBG Program Income	\$ 29,513
Reprogrammed CDBG Funds	\$ 72,111

Proposed Allocation of Funds **\$2,664,384**

Housing Projects	\$ 171,396
Public Infrastructure Projects	\$1,594,534
Public Service Projects	\$ 380,000
Administration, Technical Assistance and Planning	\$ 518,454

### 2009 Lake County CDBG Program Funding Recommendations



### 2009 Lake County CDBG Program Funding Recommendations

Subrecipient	Estimated Project Costs	Recommended Amounts
CREW, Inc.	\$242,373	\$30,000
Faith Food Pantry	\$177,750	\$32,000
HealthReach, Inc.	\$582,515	\$42,000
Lake County Center for Independent Living	\$116,000	\$25,000
Lake County Sheriff's Office	\$260,315	\$36,500
Nicasa	\$594,333	\$30,000
Northern Illinois Food Bank	\$5,010,457	\$42,000
SER/Jobs for Progress – Fair Housing Center (PS)	\$221,467	\$48,000
Youth Conservation Corps	\$695,198	\$30,000
YouthBuild Lake County	\$837,094	\$36,500
Zacharias Sexual Abuse Center	\$326,894	\$28,000
<b>TOTAL</b>	<b>\$9,064,396</b>	<b>\$380,000</b>
<b>Administration, Tech. Assist. &amp; Planning Projects</b>		
Lake County - Gen. Admin., Tech. Asst., & Plan.		\$452,465
Affordable Housing Corporation		\$50,367
SER/Jobs for Progress – Fair Housing Center (AP)		\$15,622
<b>TOTAL</b>		<b>\$518,454</b>

2009 Lake County CDBG Entitlement Grant	\$2,562,760
Program Income Funds	\$ 29,513
Reprogrammed Funds	\$72,111
<b>TOTAL 2009 CDBG Estimated Funds</b>	<b>\$2,664,384</b>

Subrecipient	Estimated Project Costs	Recommended Amounts
<b>Housing Projects</b>		
Lake County Housing Rehabilitation Program (Program Delivery Costs)	\$171,396	\$171,396
<b>TOTAL</b>	<b>\$171,396</b>	<b>\$171,396</b>
<b>Public Infrastructure Projects</b>		
Antioch	\$418,621	\$112,000
Antioch Township	\$111,268	\$50,000
Beach Park	\$352,800	\$112,000
Fox Lake	\$200,000	\$112,000
Grant Township	\$287,738	\$75,000
Grayslake	\$205,200	\$75,000
Highwood	\$1,309,920	\$112,000
Island Lake	\$354,222	\$75,000
Lake County Public Works	\$1,750,558	\$75,000
Lindenhurst	\$108,850	\$49,534
Mundelein	\$401,850	\$75,000
Park City	\$225,515	\$112,000
Round Lake	\$650,500	\$112,000
Round Lake Beach	\$690,000	\$112,000
Round Lake Heights	\$238,192	\$112,000
Round Lake Park	\$188,000	\$112,000
Zion	\$353,312	\$112,000
<b>TOTAL</b>	<b>\$7,846,546</b>	<b>\$1,594,534</b>

## **NOTES REGARDING THE LAKE COUNTY CDBG PROGRAM FUNDING RECOMMENDATION TABLE**

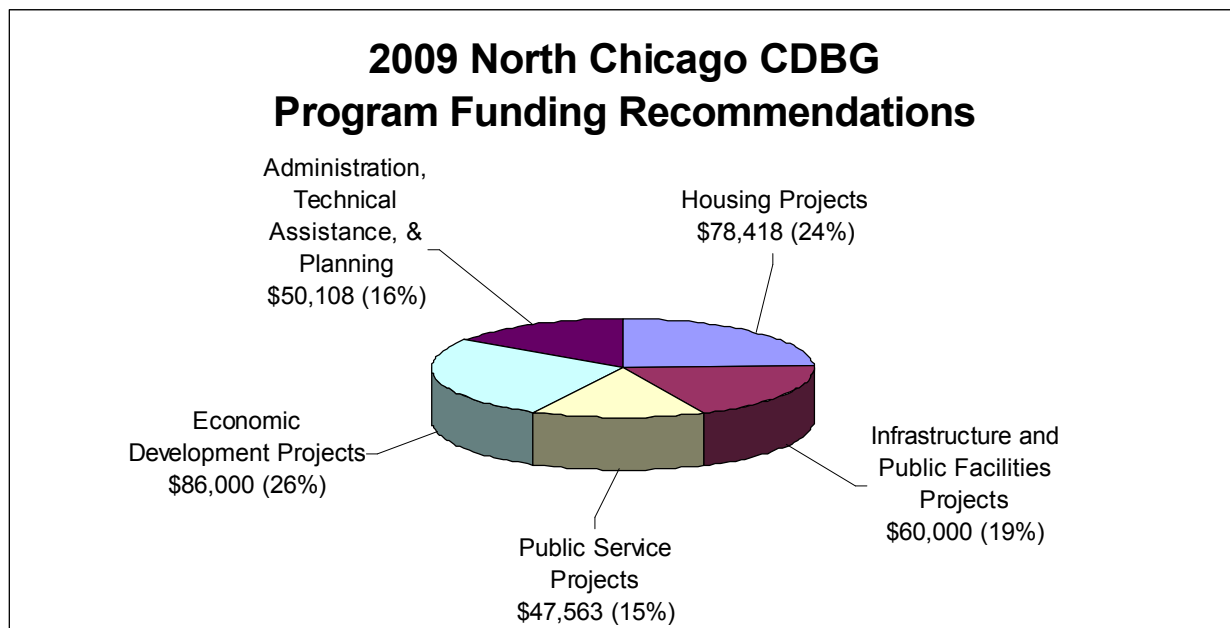
**NOTE:** Only 20% of Lake County CDBG Program Income can be utilized for Administration and Planning calculations and 15% of Lake County Program Income can be utilized for Public Service calculations, while 65% of Program Income can be utilized for Economic Development/Housing/Public Infrastructure calculations. 100% of Reprogrammed Funds can be utilized for Economic Development/Housing/Public Infrastructure calculations.

**NOTE:** Lake County CDBG administration formula calculated as  $\$2,562,760 \times 20\% = \$512,552 + \$5,902.60$  in allowable 20% CDBG administration from Lake County Program Income ( $\$29,513 \times 20\%$ ) received from 12/1/07 to 11/30/08 =  $\$518,454.60$  (the maximum allowable Lake County CDBG administration funds that can be used).

**NOTE:** Lake County CDBG public service formula calculated as  $\$2,562,760 \times 15\% = \$384,414 + \$644.85$  in allowable 15% Lake County Program Income ( $\$4,299 \times 15\%$ ) =  $\$385,058.85$  (the maximum allowable Lake County CDBG public service funds that can be used).

## 2009 Proposed CDBG Allocations for North Chicago

Total Available Funds		<b>\$322,089</b>
2009 Entitlement Grant	\$304,700	
Program Income	\$12,389	
Reprogrammed Funds	\$5,000	
Proposed Allocation of Funds		<b>\$322,089</b>
Housing Projects		\$78,418
Infrastructure and Public Facilities Projects		\$60,000
Public Service Projects		\$47,563
Economic Development Projects		\$86,000
Administration, Technical Assistance and Planning		\$50,108



## 2009 Proposed CDBG Allocations for North Chicago

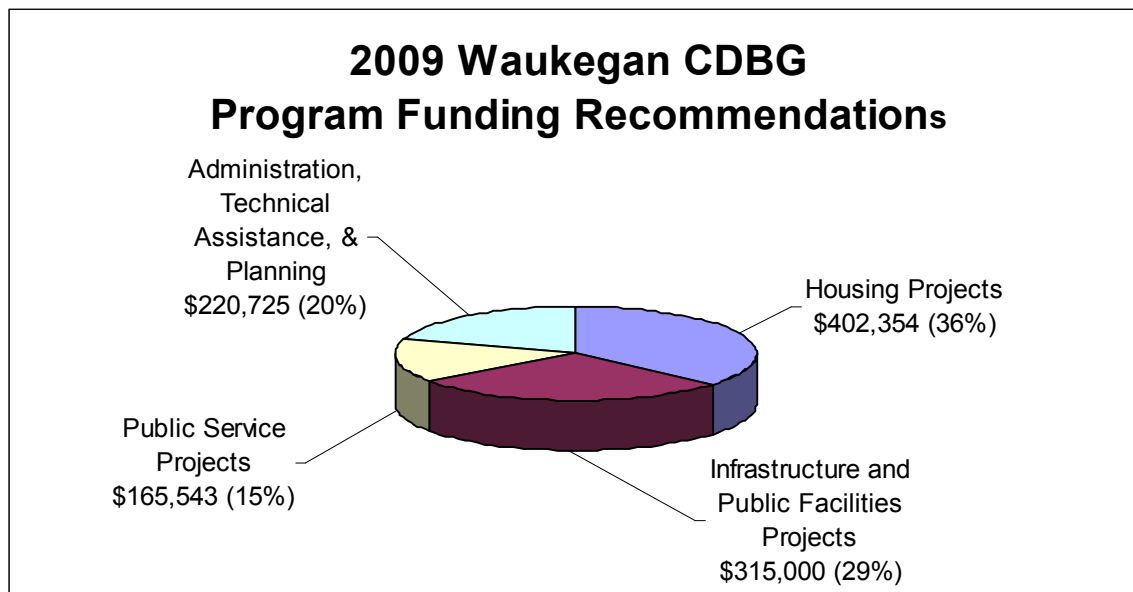
Subrecipient	Project Cost	Allocation
<b>Housing Projects</b>		
Emergency Housing Rehabilitation Program	\$78,418	\$78,418
<b>TOTAL</b>	<b>\$78,418</b>	<b>78,418</b>
<b>Infrastructure Improvement Projects</b>		
Street & Alley Improvement Program	\$475,000	\$55,000
Sidewalk Program	\$10,000	\$5,000
<b>TOTAL</b>	<b>\$585,000</b>	<b>\$60,000</b>
<b>Public Service Projects</b>		
CREW, Inc.	\$236,345	\$5,000
Nicasa	\$793,600	\$5,000
YWCA	\$819,814	\$5,000
YouthBuild Lake County	\$508,612	\$5,000
Catholic Charities	\$307,558	\$5,000
Waukegan Township	\$207,300	\$5,000
Faith Food Pantry	\$175,000	\$6,000
PADS Crisis Services	\$1,703,041	\$6,000
Northern Illinois Food Bank	\$5,075,255	\$5,563
<b>TOTAL</b>	<b>\$9,826,525</b>	<b>\$47,563</b>
<b>Economic Development Projects</b>		
Facade Improvement Program	\$120,000	\$60,000
Economic Development Special Project	\$5,200,000	\$26,000
<b>TOTAL</b>	<b>\$5,320,000</b>	<b>\$86,000</b>
<b>Administration, Technical Assistance &amp; Planning</b>		
General Administration		\$42,108
SER/Jobs for Progress – Fair Housing Center		\$8,000
<b>TOTAL</b>		<b>\$50,108</b>
Total 2009 North Chicago CDBG Grant		\$304,700
Program Income		\$12,389
Reprogrammed Funds		\$5,000
<b>Total Estimated Funds Available for FY 2009</b>		<b>\$322,089</b>

**NOTE:** North Chicago CDBG administration formula calculated as  $\$304,700 \times 20\% = \$60,940 + \$2,477.80$  in allowable 20% CDBG administration from North Chicago Program Income ( $\$12,389 \times 20\%$ ) =  $\$63,417.80$  (the maximum allowable North Chicago CDBG administration funds that can be used).

**NOTE:** North Chicago CDBG public service formula calculated as  $\$304,700 \times 15\% = \$45,705 + \$1,858.35$  in allowable 15% North Chicago Program Income ( $\$12,389 \times 15\%$ ) =  $\$47,563.35$  maximum allowable North Chicago CDBG public service funds that can be used).

## 2009 Proposed CDBG Allocations for Waukegan

Total Available Funds		<b>\$1,103,622</b>
2009 Entitlement Grant	\$1,057,383	
Program Income	\$46,239	
Reprogrammed Funds	\$0	
Proposed Allocation of Funds		<b>\$1,103,622</b>
Housing Projects/Code Compliance		\$402,354
Infrastructure and Public Facilities Projects		\$315,000
Public Service Projects		\$165,543
Administration, Technical Assistance and Planning		\$220,725



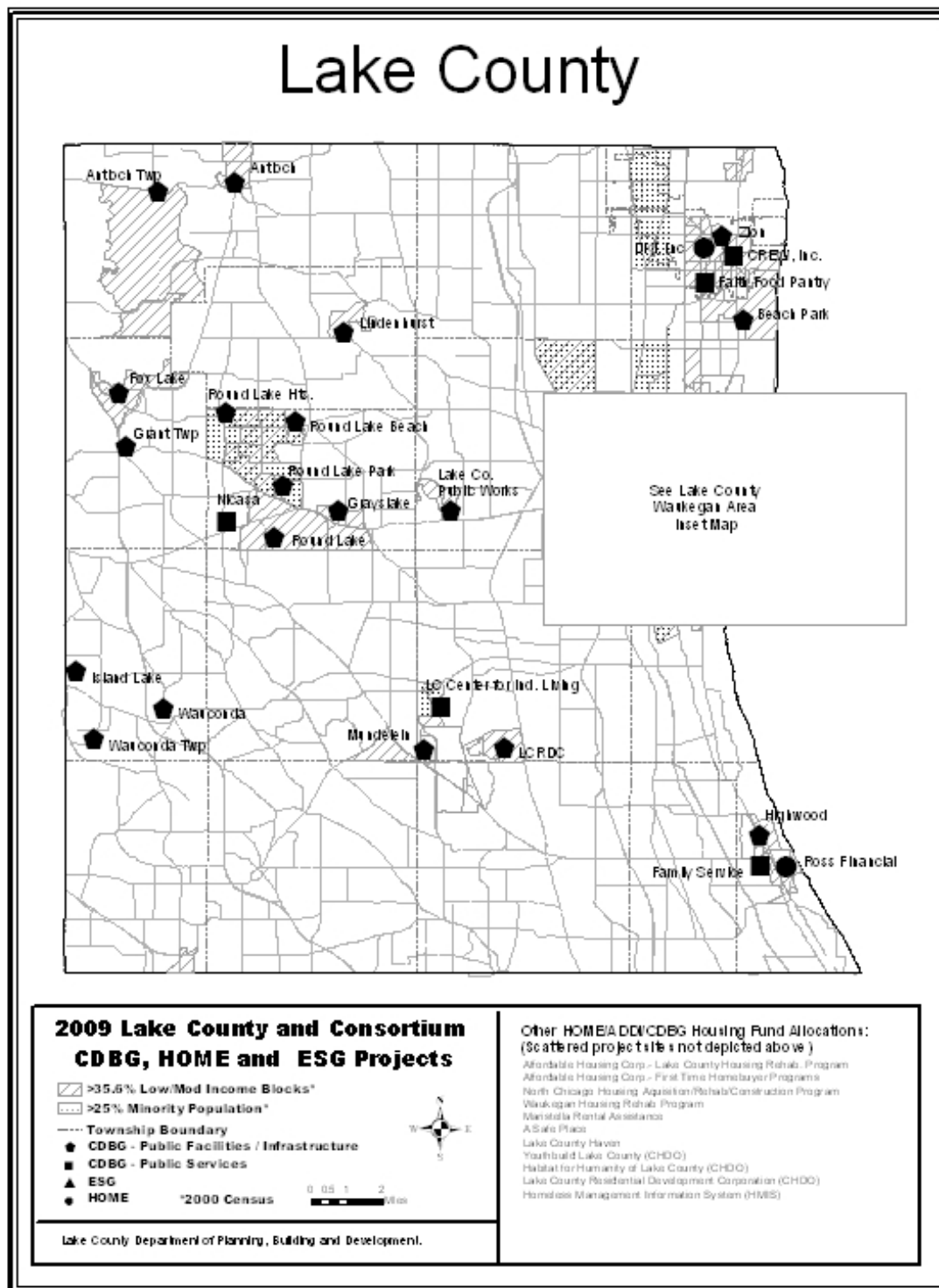
### 2009 Proposed CDBG Allocations for Waukegan

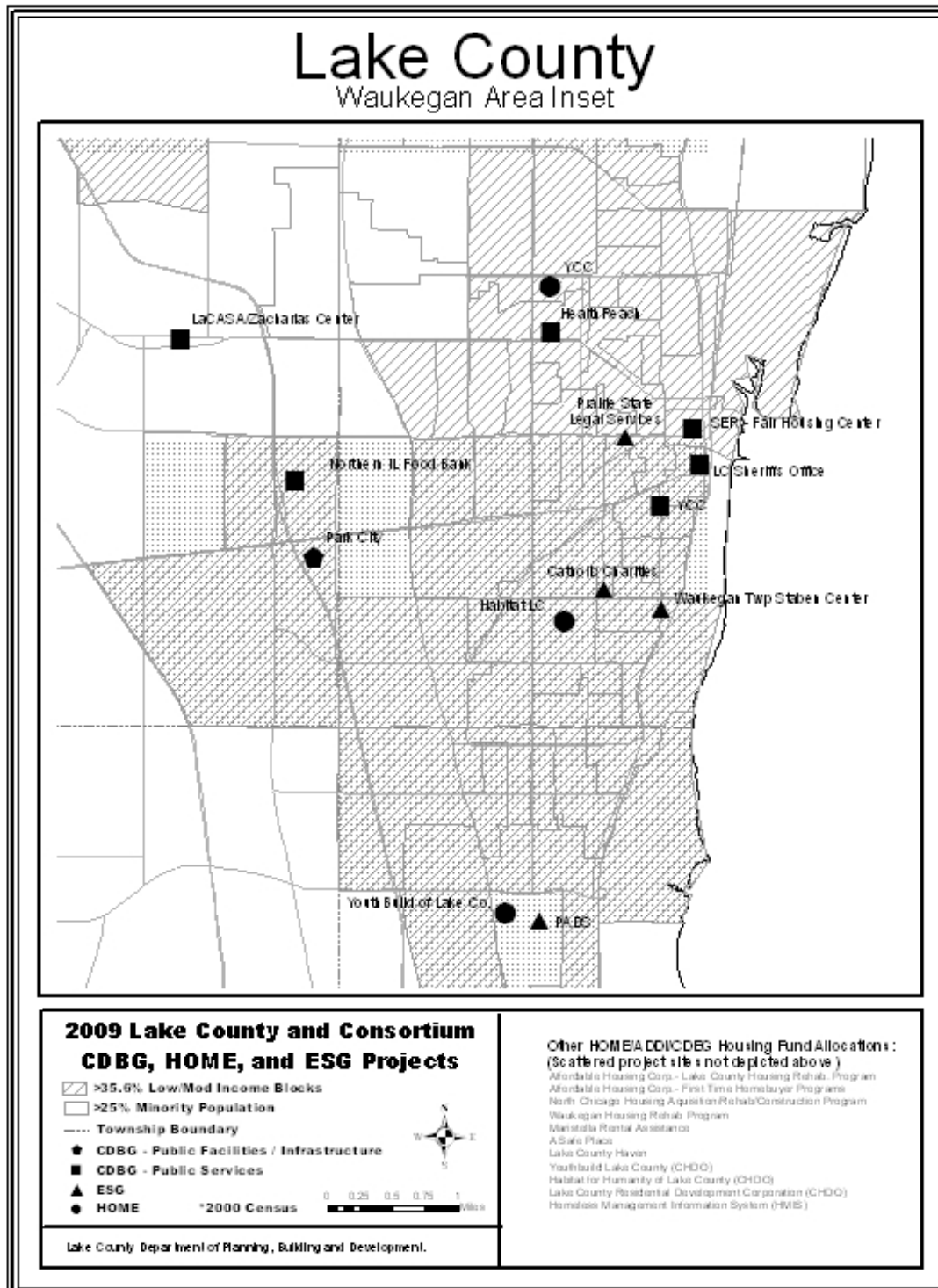
Subrecipient	Project Cost	Allocation
<b>Public Service Projects</b>		
1 <sup>st</sup> Senior Solutions, Inc.	\$178,747.00	\$5,000
A Safe Place/L.C. Crisis Center	\$1,040,323.00	\$5,000
CASA Lake County	\$665,000.00	\$8,000
Christ Church – Eldercare	\$90,862.00	\$5,000
C. O. O. L. – Food Pantry	\$243,500.00	\$5,000
CREW, Inc.	\$254,500.00	\$8,000
Emmanuel Faith Bible Christian Center – Food Pantry	\$59,920.00	\$5,000
Faith Food Pantry	\$175,000.00	\$10,000
I-PLUS – CO-RP	\$196,000.00	\$7,000
Lake County Sheriff's Office – Adult Correction Inmate Reentry	\$260,315.00	\$5,000
Nicasa – Teen REACH/Steps AHEAD After School Program	\$206,150.00	\$5,000
PADS – The Family Center	\$383,430.00	\$10,043
Prairie State Legal Services – Disability Advocacy	\$91,080.00	\$5,000
R.O.P.E. – Primary Purpose	\$89,101.00	\$5,000
SER/Jobs for Progress – Fair Housing Center	\$221,467.00	\$10,000
UMMA - Urban Muslim Minority – Education Empowerment	\$55,000.00	\$5,000
Waukegan Police Department (COPP)	\$111,544.00	\$17,000
Waukegan Township – Home Sweet Home	\$207,300.00	\$17,500
Youth Conservation (YCC) – YouthBuild Program	\$682,740.00	\$5,000
YouthBuild Lake County – YouthBuild Program	\$837,094.00	\$10,000
YWCA L. C. – School Age/KDO Camps	\$819,814.00	\$5,000
Zacharias Sexual Abuse Center ( formerly LaCASA) – Children's Advocacy	\$170,157.00	\$8,000
<b>TOTAL</b>	<b>\$7,039,044.00</b>	<b>\$165,543</b>
<b>Housing Projects</b>		
Code Enforcement Program	\$125,496	\$50,000
Housing Rehabilitation	\$352,354	\$352,354
<b>TOTAL</b>	<b>\$477,850</b>	<b>\$402,354</b>
<b>Public Facilities Projects</b>		
City of Waukegan Public Works - Sidewalks	\$410,000	\$125,000
City of Waukegan, Downtown Streetscape	\$317,100	\$170,000
Countryside Association – Golden Opportunities Accessibility	\$60,128	\$20,000
<b>TOTAL</b>	<b>\$787,228</b>	<b>\$315,000</b>
<b>Administration, Technical Assistance &amp; Planning</b>		
Administration, Technical Assistance & Planning	\$220,725	\$220,725
<b>Total 2009 Waukegan CDBG Grant</b>	<b>\$1,057,383</b>	<b>\$1,057,383</b>
Program Income	\$46,239	\$46,239
Reprogrammed Funds	\$0	\$0
<b>Total Funds Available for FY 2009</b>	<b>\$1,103,622</b>	<b>\$1,103,622</b>

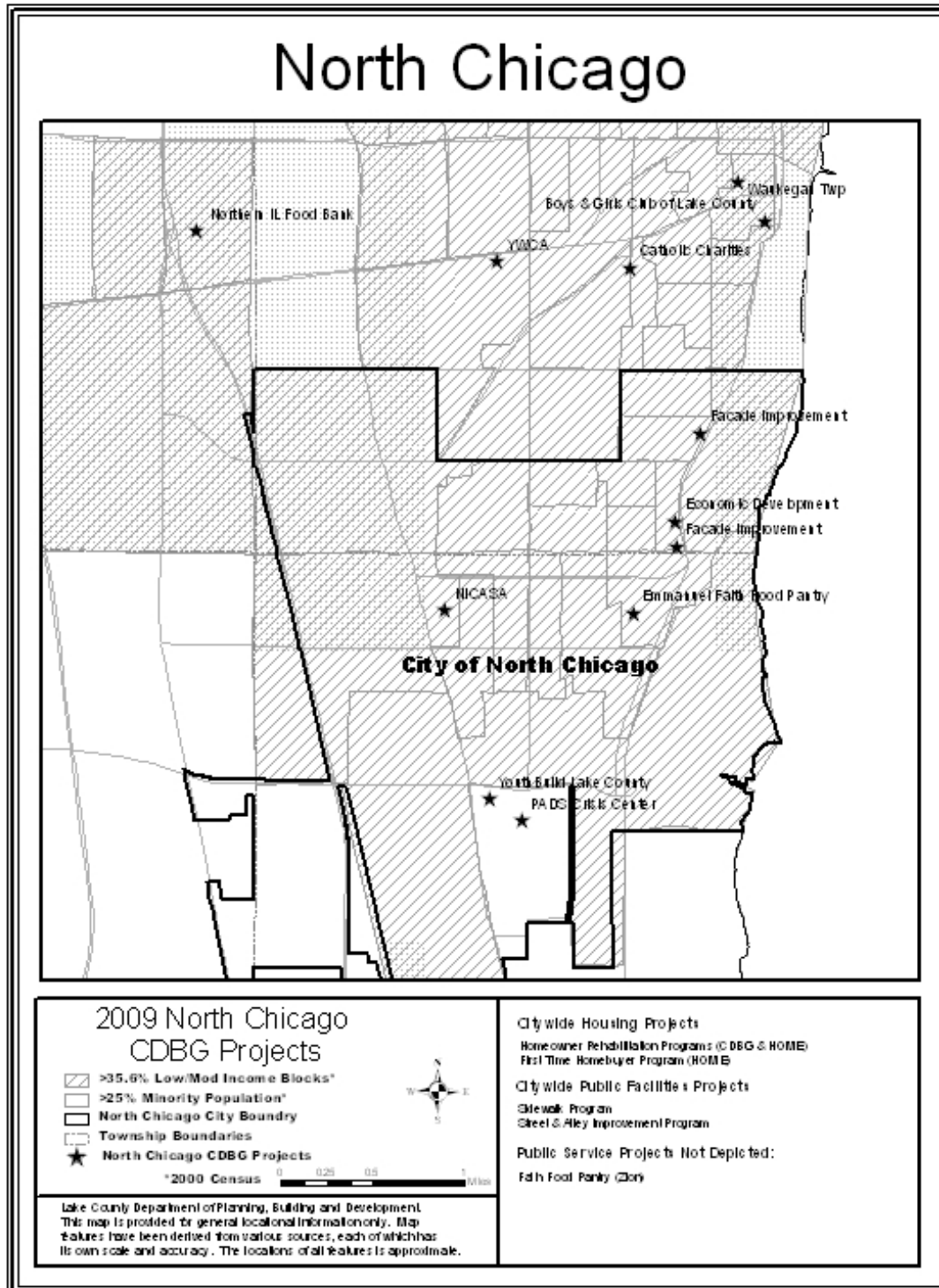
**NOTE:** Waukegan CDBG administration formula calculated as  $\$1,057,383 \times 20\% = \$211,476.60 + \$9,247.80$  in allowable 20% CDBG administration from Waukegan Program Income ( $\$46,239 \times 20\%$ ) = \$220,724.40 (the maximum allowable Waukegan CDBG administration funds that can be used).

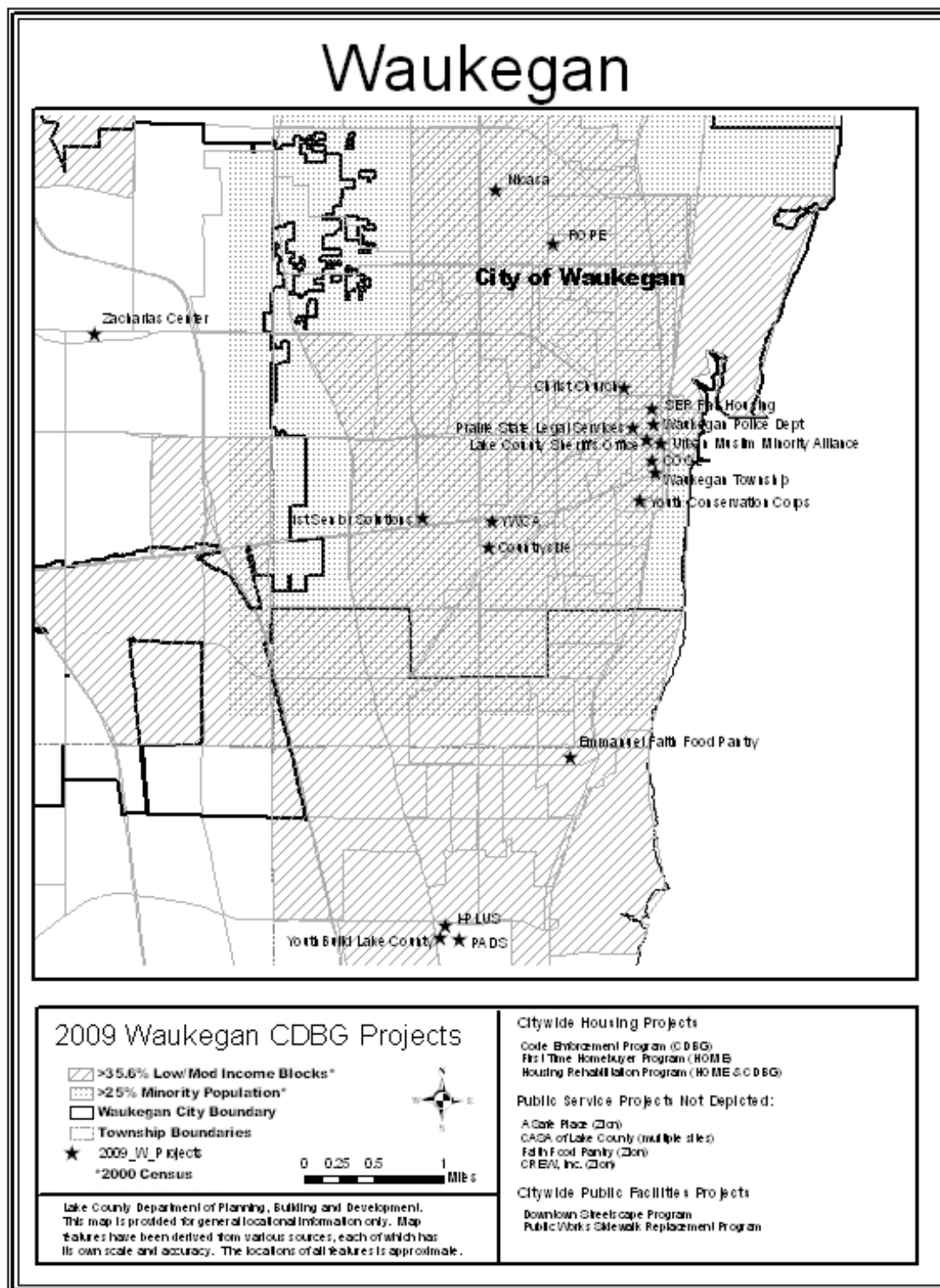
**NOTE:** Waukegan CDBG public service formula calculated as  $\$1,057,383 \times 15\% = \$158,607.45 + \$6,935.85$  in allowable 15% Waukegan Program Income ( $\$46,239 \times 15\%$ ) = \$165,543.30 (the maximum allowable Waukegan CDBG public service funds that can be used).











## OVERVIEW OF THE LAKE COUNTY CONSORTIUM ANNUAL ACTION PLAN

The *2009 Annual Action Plan* details how Lake County and the Cities of North Chicago and Waukegan (aka: "Consortium entitlement jurisdictions") will allocate the following Program Year 2009 (PY2009) US Housing and Urban Development (HUD) funds for the Community Development Block Grant (CDBG) Program, the HOME Investment Partnerships (HOME) Program, and the Emergency Shelter Grant (ESG) Program. The program year for these funds extends from May 1, 2009 to April 30, 2010.

These community development investments will assist the Consortium members to address selected PY2009 prioritized goals previously identified in the *2005 – 2009 Housing and Community Development Consolidated Plan*. The Consolidated Plan is our countywide Plan identifying housing and community development Needs, Goals, and Strategies that can be funded with HUD funds. Within the Consolidated Plan, housing and homeless goals and strategies are presented countywide, with narrative explanations of jurisdictional priorities. Other community development goals and strategies specific to each entitlement jurisdiction are presented independently, allowing each entitlement jurisdiction to set quantifiable goals for use of its independent CDBG funds. The intended effect of these investments is to encourage and support the development of viable communities for low- and moderate-income persons.

The objectives for the use of funds from the US Department of Housing and Urban Development's Community Development Block Grant (CDBG) Program, the HOME Investment Partnerships (HOME) Program, the Emergency Shelter Grants (ESG) Program, and the additional funds provided throughout the year from the Supportive Housing Program (SHP) and Shelter Plus Care (S+C) Program, are the development of viable communities by providing decent safe and sanitary housing, a suitable living environment, expanding economic opportunities, and providing homeless assistance activities principally for persons of low and moderate income.

- The CDBG Program provides funds for community development activities including, but not limited to, public infrastructure improvements, housing activities, economic development and job training programs, and public service activities.
- The HOME Program provides funds for down payment and/or closing cost assistance for homebuyers, the construction or rehabilitation of single- or multi-family housing units along with other types of housing assistance, principally for low- and moderate-income residents or for special needs housing.
- The ESG Program provides funds for the rehabilitation, renovation or conversion of emergency/transitional shelters and for maintenance and operation costs, essential services, and homeless prevention activities for homeless individuals and families.

- The SHP Program provides funds for acquisition, rehabilitation, leasing, supportive services, operating, and administrative costs of transitional housing programs for the homeless and permanent housing for homeless persons with disabilities.
- The S+C Program provides funds for rental assistance to provide housing and supportive services on a long-term basis for homeless persons with disabilities and their families.

The *Annual Action Plan*, as with the *2005 – 2009 Consolidated Plan*, is an integrated document that describes the interdependent yet collaborative strategies of each entitlement jurisdiction within the context of the whole County. The specific investments are presented according to the 5-year goals that they are intended to impact. Housing and Homeless goals and strategies are presented countywide. Other community development strategies specific to each jurisdiction are presented independently; allowing each jurisdiction to respond to the individual needs within the community with its CDBG funds. When appropriate, anticipated outcomes are quantified to allow for an annual assessment at the completion of the program year.

In addition to the federal funds described within this *Annual Action Plan*, the Lake County Consortium has encouraged the use of a variety of other resources for meeting its identified housing and community development needs including foundation/corporate or personal contributions/grants or donations, private funds, private lending institutions, bond/tax credit funds, investment revenues, local government funding, state funding, in-kind support or services, Section 8 housing certificates and vouchers for families and individuals, special event fund raising, United Way grants/designations, and other federal grants.

The Lake County Affordable Housing Program (LCAHP) was created by the Lake County Board with an annual appropriation of \$300,000 from FY2000 to FY2003. In FY2004, the County Board increased its appropriation to \$500,000 for one year. In FY2005, the County Board then appropriated \$310,000 in additional funds and in FY2006 and FY2007 the County Board appropriated an additional \$300,000 per year. In FY2008, the County appropriated \$466,085 in additional funds. To date, \$101,000 in “program generated funds” has been returned to Lake County for additional funding awards. In total, \$3.22 million dollars has been allocated to the Lake County Affordable Housing Program.

To enhance the affordability and impact of the Lake County Affordable Housing Program, the Consortium may combine Lake County HOME/CDBG Housing Fund monies with Lake County Affordable Housing Program funds to jointly fund a particular activity. Numerous County funded projects have been awarded both HOME and LCAHP funds. Although Consortium-funded housing activities benefit households at or below 80% of area median income, Lake County Affordable Housing Program funds may be used to assist households up to 100% of area median income. When funding is combined with HOME or CDBG funds, the more restrictive federal affordability requirements apply.

# CITIZEN PARTICIPATION AND ANNUAL ACTION PLAN APPROVAL PROCESS

## Annual Action Plan Approval Process

The Lake County Community Development Commission, in conjunction with the Cities of North Chicago and Waukegan, held three Public Hearings on the *2009 Annual Action Plan*:

- A Public Hearing on housing and community development needs and the 2009 funding application criteria was held on June 25, 2008;
- the initial Public Hearing on the proposed *Draft - 2009 Annual Action Plan* was held on January 21, 2009; and
- the final Public Hearing on the *2009 Annual Action Plan* was held on February 25, 2009.

These hearings were publicized through newspaper advertisements and mailed notices to various interested parties. Copies of the proposed *2009 Annual Action Plan* were made available at five libraries in different areas of the county, on the county's website, and were distributed to groups and individuals on request. They were also made available at the last two public hearings. The proposed *2009 Annual Action Plan* was available for a 30-day public comment period prior to the final public hearing.

All applicants for funding were informed of the proposed allocations prior to the first Public Hearing. As adjustments were approved by the Community Development Commission's Executive Committee, affected applicants were directly notified by staff regarding both their original recommendation amounts and their final recommendation amounts prior to the second Public Hearing.

The City of North Chicago City Council was presented with the City's portion of the *2009 Annual Action Plan* and the allocation of funds on January 20, 2009. The North Chicago City Council presented the North Chicago component of the *2009 Annual Action Plan* and the North Chicago allocation of HOME funds on February 2, 2009. The North Chicago City Council approved the *2009 Annual Action Plan* document at its City Council Meeting on February 16, 2009.

The City of Waukegan approved its portion of the *2009 Annual Action Plan* and the allocation of funds at the full City Council meeting on December 15, 2008. The Waukegan City Council approved the *2009 Annual Action Plan* document on March 5, 2009.

The *2009 Annual Action Plan*, including Lake County's funding strategies, was approved by:

- the Lake County Community Development Commission on February 25, 2009;

- the Lake County Board's Health and Community Services Committee on March 3, 2009;
- the Lake County Board's Financial & Administrative Committee on March 4, 2009; and
- the Lake County Board on March 17, 2009.

### **Grant Application Process**

Applicants were provided the opportunity to apply for funds using a standardized application process. A common housing application was used for HOME and Lake County CDBG housing requests, now known as the "Lake County HOME/CDBG Housing Fund". A consolidated application for public service requests was used for Lake County Consortium ESG, Lake County CDBG, North Chicago CDBG, and Waukegan CDBG funding. A third application was available for Lake County public infrastructure requests. Notices of funding availability were sent on multiple occasions to a broad-based mailing list, which includes previous grant applicants, funded subrecipients, and others who have expressed interest in funding or community involvement in the past.

Applicant agencies had several opportunities to learn about the various grant programs, get tips and suggestions on completing the applications, and receive individualized technical assistance. A Consolidated Application Workshop (for public services, economic development, and Emergency Shelter Grant projects) and a Program Outcomes Workshop were separately held for potential applicants. Presentations were provided by staff from Lake County and the Cities of North Chicago and Waukegan. Training sessions were held as follows:

Consolidated ( <i>Non-Housing</i> ) Application Workshop	September 10, 2008
( <i>Non-Housing</i> ) Program Outcomes Workshop	October 8, 2008

Similar technical assistance workshops were provided for applicants considering housing applications through the Lake County HOME/CDBG Housing Fund (conducted by Lake County staff) on September 11, 2008 and for Lake County CDBG public infrastructure applicants (conducted by Lake County staff) on September 12, 2008. In addition, technical assistance was provided to applicants in person, over the telephone, via email, and via facsimile. Several applicants took advantage of these opportunities.

Agencies that submitted applications to Lake County for CDBG – public services or CDBG – economic development were required to attend a question and answer presentation at a review panel comprised of Lake County staff and members of the Community Development Commission. Applicants for ESG funds were required to participate in a peer review panel comprised of members of the Lake County Continuum of Care. Agencies that submitted applications to the Lake County Consortium for the HOME/CDBG Housing Fund were required to make a presentation to a panel of reviewers comprised of 2 members each from both the Affordable Housing Commission and the Community Development Commission. Lake County and Waukegan



Community Development staff were also in attendance for the HOME/CDBG Housing Fund presentations. In North Chicago, applicants were required to make a presentation to the Citizen's Advisory Committee to be considered for funding. In Waukegan, applicants were required to make a presentation to a combined panel of CDBG staff and the Stakeholder Participation Panel.

### **Grant Allocation Process**

The funding allocations described within this document are the result of a community-wide application process, yet individualized review processes. Grant entitlement allocations for the countywide Lake County HOME and Lake County ESG funds and for Lake County CDBG, North Chicago CDBG, and Waukegan CDBG funds are determined by their respective review panel processes. They are the result of a community-wide notification, application, and review process within and among each entitlement jurisdiction. Some components of the process have been conducted jointly across jurisdictions, such as the application training sessions, and other aspects of the process have been unique to each jurisdiction, such as application review and ranking.

As previously stated, a combined panel of Affordable Housing Commission members and Community Development Commission members reviewed the HOME/CDBG Housing Fund applications and made funding recommendations based on staff technical assistance. The Affordable Housing Commission reviewed funding recommendations for the Consortium's HOME Investment Partnerships (HOME) Program and the Lake County CDBG funded Rehabilitation Program. The recommendation process for housing proposals includes factors related to Consortium goals, project feasibility, cost per unit, financial feasibility, Project Sponsor's past performance, and project layering analysis. This combined review panel made funding recommendations to the CDC Executive Committee and to the full AHC commission membership. Upon approval by the CDC Executive Committee and the AHC commission membership, the proposed HOME/CDBG Housing Fund activities were incorporated into the proposed *2009 Annual Action Plan* and made available for public review and comment.

The Lake County Community Development Commission established a rating system for Lake County's Community Development Block Grant (CDBG) Public Service applications and the county-wide Emergency Shelter Grant (ESG) applications. These rating components and rating criteria were:

#### Community Impact

- Community Need
- Lake County Impact
- Outcomes

#### Program Quality

- Program Design
- Staffing and Facilities

#### Agency Capacity

- Past Performance
- Fiscal Management
- Sustainability

This rating system basically took into consideration the following major factors:

- the relationship between proposed activities and the needs, goals, and strategies identified in the Five-Year Consolidated Housing and Community Development Plan,
- the applicant's demonstrated capacity to carry out the proposed activity,
- the extent to which the proposed activity would benefit low and moderate-income persons,
- the activity's proposed outcomes,
- the amount of other resources that were committed to the proposed activity, and
- the effectiveness and quality of the proposed activity and the impact it would have on the local community.

In summary: the Community Development Commission and the Affordable Housing Commission recommended the PY2009 HOME and CDBG funded housing projects; the Community Development Commission recommended the PY2009 Lake County CDBG and countywide ESG projects; the North Chicago Citizen's Advisory Committee recommended the PY2009 North Chicago CDBG projects; and the Waukegan Stakeholder Participation Panel recommended the PY2009 Waukegan CDBG projects.

### **Geographic Distribution**

To the greatest extent practicable, project activities are designed to serve low and moderate-income residents throughout Lake County. The Cities of North Chicago and Waukegan target their resources within low-income areas of their respective entitlement jurisdictions. Lake County seeks to reach all low-income residents within the County, particularly emphasizing those areas outside of North Chicago and Waukegan. However, many activities funded by Lake County are open to low-income residents from anywhere in the County, such as public service activities. Housing activities such as the Homeowner Rehabilitation Program and the First-Time Homebuyers Program are available to residents countywide, although certain HOME-funded housing programs are limited geographically to residents of the Cities of Waukegan and North Chicago because of the status of these two cities in the HOME Consortium.

The selection criterion for new housing projects encourages the development of housing in areas where the average income exceeds the County median. For Lake County, the location of public facilities activities is determined by the needs of the particular communities applying for funds, but one of the principal rating factors for public facilities applications is the number and percentage of low and moderate-income beneficiaries in the area to be served by the project.

### **Other Resources**

The specific sources of other financial resources are identified for housing projects in the activity descriptions, as the difference between the HOME grant and the total project cost identifies the match and/or leverage amount being contributed to the project by the Project Sponsor. For public facilities projects, the total project cost is listed under each activity description, and the difference between the CDBG grant amount and the total

project cost represents local funds being contributed by the subrecipient. For Waukegan, the public facilities funds are leveraged by funds from the City budget, the Public Works budget or other funding sources including public and private investments. For North Chicago, public facilities funds are leveraged by matching fees.

For public services, economic development, and homeless activities, the total project cost is listed under each activity description, and the difference between the total project cost and the CDBG or ESG grant amount represents local funds being contributed to the project by the subrecipient. Most frequently, agencies receive private dollars and United Way of Lake County support as partial leverage for the overall program cost.

Many other local agencies, such as township governments, contribute resources to help their residents through senior citizen, youth and homeless programs. Therefore, if social-services needs can be met through other resources, that investment is acting as leverage for the social service system.

### **Program Income**

For Lake County, any unallocated program income from the CDBG or HOME programs received prior to November 30, 2008, has already been included in the 2009 Annual Action Plan budget, except for those monies in the CDBG housing rehab revolving loan fund account. The income from CDBG housing rehab loan repayments is deposited into a revolving loan fund account and is used by those programs for new loans at the earliest opportunity. At this time, the precise amount of revolving loan program income, which may become available for the housing rehab programs during the 2009 Program Year, is not known. Additional program income received after November 30, 2008 from activities other than rehab loans will be allocated to specific activities in the 2010 Program Year, unless there is a pressing need to amend the 2009 Action Plan either to fund a new activity or to provide additional funding to an existing activity.

Waukegan's program income figure is an estimate of program income to be received during the program year from repayments on past awarded economic development loans, and housing rehabilitation loans and liens.

North Chicago's program income figure is generated from the repayment of revolving business, micro and housing rehabilitation loans. The income is reallocated to provide additional projects to CDBG eligible activities.

The following sections of the *2009 Annual Action Plan* detail the specific funding strategies and projects recommended for the 2009 Program Year. These projects are organized according to the five-year priority Goals previously identified in the *2005 - 2009 Housing and Community Development Consolidated Plan*. These projects are further subdivided for each HUD entitlement funded program for Lake County, North Chicago, and Waukegan.

## Minority Outreach Program

The Lake County Consortium makes every effort to encourage the use of minority business and women enterprises in connection with HOME funded activities. All project sponsors must specify the outreach actions they will take to ensure the inclusion, to the maximum extent possible, of minority and women owned enterprises in all contracts. Lake County reviews the HOME funded project sponsor's efforts and success in soliciting MBE/WBE participation as part of the routine monitoring and reporting process.

## Performance Measurement

The Performance Measurements for Lake County and the Cities of North Chicago and Waukegan are included in this document as Appendix D. These measurements are based on the HUD Community Planning and Development Outcome Performance Measurement Framework, the Outcomes Measures guidance published in the Federal Register Notice dated March 7, 2006, and materials from the HUD sponsored Performance Measurement Training Sessions. The table in Appendix D includes the Lake County Consortium's five-year Consolidated Plan Goals, PY2009 projects and funded allocations, associated objectives and outcomes, and the proposed outcome indicators for Lake County and the Cities of North Chicago and Waukegan based on the following outcomes/objectives matrix:

	Outcome 1: Availability/Accessibility	Outcome 2: Affordability	Outcome 3: Sustainability
Objective #1 Suitable Living Environment	Enhance suitable living environment through new or improved availability	Enhance suitable living environment through new or improved affordability	Enhance suitable living environment through new or improved sustainability
Objective #2 Decent Housing	Create decent housing with new or improved availability	Create decent housing with new or improved affordability	Create decent housing with new or improved sustainability
Objective #3 Economic Opportunity	Provide economic opportunity through new or improved accessibility	Provide economic opportunity through new or improved affordability	Provide economic opportunity through new or improved sustainability

## PROPOSED 2009 CONSORTIUM ACTIVITIES

(Note: The descriptions of these proposed activities serve the purpose of HUD Table 3.)

### 1.0 Consortium Housing Activities

#### **Community Housing Development Organization (CHDO) Operating Funds**

Lake County Consortium 2009 HOME CHDO Operating funds are allocated among the following four CHDO agencies: Habitat for Humanity of Lake County, Highland Park Illinois Community Land Trust, Lake County Residential Development Corporation, and YouthBuild Lake County. All CHDO Operating funds will be used for necessary operating expenses related to the development of new housing (Consolidated Plan Goal 1.1) or rehabilitating existing housing (Consolidated Plan Goal 1.3). Project-specific information is described within each of the recommended projects.

#### **Habitat for Humanity of Lake County (a CHDO Agency) – CHDO Operating Funds**

- Community Housing Development Organization (CHDO) operations in connection with the following affordable housing activities:
  - Carter Crossing Development (New Construction – Goal 1.1)
- \$22,387 in 2009 Lake County Consortium HOME CHDO Operating funds. Funds are designated for a portion of the salaries of the Office/Volunteer Coordinator and the Family Services Coordinator.
- This project provides operating support for **High and Medium Priority** projects as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 315 North Martin Luther King Jr. Avenue, Waukegan

#### **Highland Park Illinois Community Land Trust (a CHDO Agency) – CHDO Operating Funds**

- Community Housing Development Organization (CHDO) operations in connection with the following affordable housing activities:
  - Acquisition and rehabilitation of scattered site homes in Highland Park (Rehabilitation - Goal 1.3)
- \$19,640 in 2009 Lake County Consortium HOME CHDO Operating funds. Funds are designated to support staff salaries related to administering the above project as well as supporting partial salaries associated with the completion and management of the PY2007 HOME funded units at Hyacinth Place.
- This project provides operating support for **Medium Priority** projects as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 400 Central Avenue, Highland Park

### **Lake County Residential Development Corporation (a CHDO Agency) – CHDO Operating Funds**

- Community Housing Development Organization (CHDO) operations in connection with the following affordable housing activities:
  - Victory Centre of Vernon Hills Senior Apartments (New Construction – Goal 1.1)
- \$19,640 in 2009 Lake County Consortium HOME CHDO Operating funds. Funds are designated for portion of Executive Director's salary related to administering the above project.
- This project provides operating support for **High Priority** projects as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 1280 Blackburn Street, Gurnee

### **YouthBuild Lake County (a CHDO Agency) – CHDO Operating Funds**

- Community Housing Development Organization (CHDO) operations in connection with the following affordable housing activities:
  - 2133 Honore, North Chicago (New Construction – Goal 1.1)
  - 2108 Wallace, North Chicago (New Construction – Goal 1.1)
- \$22,140 in 2009 Lake County Consortium HOME CHDO Operating funds. Funds are designated for construction staff salaries for the above projects.
- This project provides operating support for **High Priority** projects as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 3001 North Green Bay Road, North Chicago

<b>Subrecipient/Project Sponsor</b>	<b>CHDO Operating Funds</b>
Habitat for Humanity of Lake County (CHDO Operating Funds)	\$22,387
Highland Park Illinois Community Land Trust (CHDO Operating Funds)	\$19,640
Lake County Residential Development Corp. (CHDO Operating Funds)	\$19,640
YouthBuild Lake County (CHDO Operating Funds)	\$22,140
<b>TOTAL</b>	<b>\$83,807</b>

## 1.1 New Construction

### **A Safe Place- A Safe Place II**

- New construction of 20 rental units in Zion for survivors of domestic violence and their children. Thirteen (13) units will be HOME assisted and reserved for households earning less than 60% of the area median income.
- \$211,987 in 2009 Lake County Consortium HOME funds.
- Other anticipated funding sources include the Illinois Housing Development Authority (IHDA) Trust fund (\$1,071,259), DCEO Energy Grant (\$45,000), Deferred Developer Fee (\$139,029), and Tax Credit Equity (\$5,697,701).
- This project funds a **High Priority** for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency and site location suppressed.

### **Habitat for Humanity of Lake County (a CHDO Agency) – 11<sup>th</sup> Court North Homes**

- New construction of three (3) single-family homes in the Carter Crossing subdivision that will be sold to households earning less than 60% of the area median income.
- \$106,656 (\$35,342 in 2009 Lake County Consortium HOME CHDO Reserve funds and \$71,314 in 2009 Lake County Consortium HOME funds).
- Other anticipated funding sources include private donations from Habitat for Humanity sponsors (corporations, churches, and other organizations) (\$540,000), private financing (\$48,888), Affordable Housing Program (\$34,980), and general donations (\$78,132).
- This project funds a **High Priority** for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 315 North Martin Luther King Jr. Avenue, Waukegan
- Project Location: North side of 11<sup>th</sup> Court in Waukegan, near 12<sup>th</sup> Street and Washington Park

### **Lake County Residential Development Corporation (a CHDO Agency) - Victory Centre of Vernon Hills Senior Apartments**

- New construction of 111 rental units (38 HOME assisted) for persons 65 or older. Thirty eight (38) units will be HOME assisted and reserved for households earning less than 80% of the area median income.
- \$216,080 in 2009 Lake County Consortium HOME CHDO Reserve funds.
- Other anticipated funding sources include donated land (\$1,500,000), the Illinois Housing Development Authority (IHDA) LIHTC funds (\$14,152,256), IHDA Tax Credit Equity (\$592,500), developer equity (\$1,179,470), and private finance (\$5,476,000).
- This project funds a **High Priority** for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 1280 Blackburn Street, Gurnee
- Project Location: Atrium Drive and West Phillip Road in Vernon Hills

**Ross Financial Services, Inc.- Bloom Street Apartments**

- New construction of 18 rental units (12 HOME assisted) located above ground-floor commercial retail space. Of the 18 units, 2 will be reserved for households earning less than 30% of the area median income and 16 will be reserved for households earning less than 60% of the median income. The development will consist of 5 one-bedroom, 8 two-bedroom, and 5 three-bedroom units.
- \$171,986 in 2009 Lake County Consortium HOME funds.
- Other anticipated funding sources include donated land (\$800,000), Illinois Housing Development Authority (IHDA) funds (\$1,250,000), Tax Credit Equity (\$2,964,384), State donation/DCEO grant (\$366,000), private lender (\$340,000), and owner's equity (\$129,910).
- This project funds a **High Priority** for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 3100 Dundee Road, Northbrook
- Project Location: 425 Bloom Street, Highland Park

**Youth Conservation Corps (a CHDO Agency)**

- New construction of one (1) single family home that will provide construction trade training to low-income youth. This home will be sold to a household earning less than 80% of the area median income.
- \$22,500 in 2009 Lake County Consortium HOME funds. Funds will be used to purchase Energy Star and Energy Saving appliances and housing components.
- Other anticipated funding sources include YouthBuild USA/Wal-Mart Grant (\$30,000), Affordable Housing Program (\$30,000) and recycled construction materials from the HUD home on the site (\$17,795).
- This project funds a **High Priority** for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 574 South McAlister Avenue, Waukegan
- Project Location: 1219 North Indiana in Waukegan

**YouthBuild Lake County (a CHDO Agency)**

- New construction of one (1) single family home that will provide construction trade training to low-income youth. This home will be sold to a household earning less than 80% of the area median income.
- \$123,986 in 2009 Lake County Consortium HOME funds.
- Other anticipated funding sources include donated land (\$52,847), Board/Coalition members (\$54,900), Affordable Housing Program (\$30,000), HUD Green Building Grant (\$34,000).
- This project funds a **High Priority** for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 3001 Green Bay Road, North Chicago
- Project Location: 2133 Honore in North Chicago



Subrecipient/Project Sponsor	Total Project Cost	Lake County HOME	Proposed Outcomes (HOME Assisted Units)
A Safe Place	\$7,252,989	\$211,987	13
Habitat for Humanity of Lake County	\$882,000	\$106,656	3
Lake County Residential Development Corporation	\$23,400,226	\$216,080	38
Ross Financial Services, Inc.	\$6,090,294	\$171,986	12
Youth Conservation Corps.	\$115,000	\$22,500	1
YouthBuild Lake County	\$395,747	\$123,986	1
<b>TOTAL</b>	<b>\$38,136,256</b>	<b>\$853,195</b>	<b>68 Units</b>

## 1.2 Tenant-Based Rental Assistance

- 2009 funds were not allocated for Tenant-Based Rental Assistance.

### Housing Authorities of Lake County, the City of North Chicago, and the City of Waukegan

- The three Public Housing Authorities will apply for the maximum amount of Section 8 vouchers, which may become available from HUD in 2009.

## 1.3 Rehabilitation

### DKI, Inc.

- Acquisition and rehabilitation of 264 rental units. Eleven (11) units will be HOME assisted and reserved for households earning less than 60% of the area median income.
- \$136,986 in 2009 Lake County Consortium HOME funds.
- Other anticipated funding sources include LIHTC Equity (\$16,390,552) and HUD 221 (d)(4) (\$8,100,000).
- This project funds a **Medium Priority** for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 220 W. Huron Street, Chicago
- Project Location: Crane Meadows Apartments located at 1503 – 1617 Lorelei Drive in Zion

### Highland Park Illinois Community Land Trust (a CHDO Agency)

- Acquisition and rehabilitation of three (3) scattered-site residential properties. Homes will be sold to households earning less than 80% of the area medium income. Affordability shall be maintained in perpetuity through a Community Land Trust model, using either a long-term deed restriction or ground lease.
- \$191,986 in 2009 Lake County Consortium HOME funds.

- Other anticipated funding sources include City of Highland Park Housing Trust Fund (\$210,000) and participating lenders (\$540,000).
- This project funds a **Medium Priority** for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 400 Central Avenue, Highland Park
- Location: Scattered site throughout Highland Park

## Lake County

Lake County's Homeowner Rehab Program is administered by the Affordable Housing Corporation of Lake County (AHC). The program plans to rehabilitate 25 homes. Assistance may come in the form of low-interest amortized loans or deferred loans, depending on the financial situation of the homeowner.

- Proposed Project Outcomes:
  - *Conversion of 25 substandard housing units to decent, safe, sanitary, and code compliant housing.*
- Total program cost estimated at \$860,000.
- Funding will come from the following sources:
  - \$171,396 in 2009 Lake County CDBG Funds for program delivery costs
  - \$375,900 from previous County funding and loan repayments
  - \$275,000 from a combination of funds from a consortium of private lending institutions / Federal Home Loan Bank
- This project funds a **Medium Priority** for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Location: Countywide, excluding North Chicago and Waukegan

## City of North Chicago

### Emergency Housing Rehabilitation and Code Enforcement Program (CDBG)

- 2009 North Chicago CDBG: \$78,418
- Total Program Cost estimated at \$78,418
- Leverage sources: all CDBG funding
- Program Description: Administered by the Department of Community Development and Planning and the Building and Health Department, this program provides deferred loans up to \$10,000 for the emergency repair of major structural or critical system defects or the correction of a lead-based paint hazard in owner-occupied single-family homes for low-income families. The expenses are borne by the recipient in the form of installment payments or deferred loans of (0%) zero percent interest rate due upon selling the home or refinancing of the home when financial equity is being taken out of the property. Houses rehabilitated through this program can be located anywhere within the city limits if the homeowner qualifies as low- to moderate-income.
- A second component of this program covers exterior Code Enforcement corrections to include: painting, outbuildings, fences and small structure demolitions. The expenses will be paid on behalf of low- to moderate-income seniors and persons with disabilities. The repairs will be completed by qualified contractors licensed with the City of North Chicago. These funds will be provided in the form of a grant.
- Proposed Project Outcomes:
  - *The proposed benefit will be the rehabilitation of at least 5 housing units.*

- *Clients will reside in decent, safe and sanitary housing.*
- *The proposed benefit will be the correction of 10 code enforcement citations which would prevent costly court sanctioned fees.*
- This project funds a **High Priority** for the City of North Chicago as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 1850 Lewis Avenue, North Chicago, IL 60064

### **Property Acquisition/Rehabilitation/ New Construction Program (HOME)**

- 2009 North Chicago HOME: \$138,195; Total Program Cost: \$138,195
- Leverage: all HOME Funding
- Administered by the Department of Community Development and Planning, this activity will include the use of HOME funds for: acquisition of vacant, foreclosed, or blighted properties; demolition of dilapidated structures; rehabilitation of existing homes; and new construction of homes on vacant land. The vacant rehabilitated and new homes will be sold to low to moderate-income first time homebuyers.
- Proposed Project Outcomes:
  - *The proposed benefit will be the rehabilitation and/or new construction of 6 housing units.*
  - *Clients will reside in a decent, safe, and sanitary housing.*
- This project funds a High Priority for the City of North Chicago as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 1850 Lewis Avenue, North Chicago
- Site location: Various residential addresses throughout the City of North Chicago

### **City of Waukegan**

#### **Homeowner Rehabilitation Program**

- 2009 Waukegan CDBG: \$352,354
- 2009 Waukegan HOME: \$187,760
- Total program cost estimated at \$540,114
- Leverage sources: all CDBG and HOME funding.
- Program Description: Provide up to \$40,000 per property for substantial rehabilitation, and individual project cost for emergency rehabilitation, for owner-occupied one to four unit properties owned by income-eligible households. Exterior violations cited by Code Compliance to be treated as emergency housing rehabilitation.
- Proposed Program Outcomes:
  - *Clients will reside in decent, safe and sanitary housing.*
- In PY2009, the program proposes to serve approximately 14 Waukegan households (including 9 CDBG and 5 HOME-funded).
- This project funds a **High Priority** for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 100 North Martin Luther King Jr. Avenue, Waukegan
- Site location: Various residential addresses throughout the City of Waukegan

## **Lake County Housing Authority, North Chicago Housing Authority, and Waukegan Housing Authority Capital Fund Program**

During 2009, the Lake County Housing Authority, North Chicago Housing Authority and Waukegan Housing Authority will initiate the improvements listed below, utilizing FY2006 funding from the HUD Capital Fund Program:

### **Lake County Housing Authority**

During 2009-2010, the Lake County Housing Authority will implement \$1,244,834 in improvements in PHA-owned and managed affordable housing units.

- Marion Jones Townhomes: replace unit light fixtures, replace tile floor, vacant unit turnaround; landscaping.
- John Kuestar Manor: interior rehab.
- Millview Manor: interior rehab.
- Orchard Manor: interior rehab.
- Various PHA-wide improvements: miscellaneous rehabilitation; vacant unit turnaround; replace, repair, and seal building parking lots; replace stove gas lines; cyclical painting of units; security alarm systems; tree trimming; replacement of vehicle's (lawn and snow removal equipment).

### **North Chicago Housing Authority**

During 2009-2010, the North Chicago Housing Authority will implement an anticipated \$191,000 in improvements, including: Kitchen cabinets, boiler replacement, new heat valves, epoxy garage floors, hallway painting, replace thermostat. Renovate lobbies, security guard service.

### **Waukegan Housing Authority**

During 2009-2010, the Waukegan Housing Authority will implement \$640,009 in improvements in PHA-owned and managed affordable housing units.

- Barwell Manor: Replace mansard siding, renovate units.
- Armory Terrace: Paint exterior, renovate units.
- Poe Manor: Replace stairwell doors, install new handicapped interior first floor door.
- Scattered Sites: Renovate units.
- Various Public Housing wide improvements.

<b>Subrecipient/Project Sponsor</b>	<b>Total Project Cost (excluding program income)</b>	<b>North Chicago CDBG</b>	<b>Waukegan CDBG</b>	<b>HOME Funds</b>	<b>Lake County CDBG</b>	<b>Proposed Outcomes (HOME/CDBG Assisted Units)</b>
DKI, Inc.	\$25,490,552			\$136,986		11 units
Highland Park Illinois Community Land Trust	\$975,000			\$191,986		3 units
Lake County Rehabilitation Program- Project Delivery	\$859,500	\$0	\$0	\$0	\$171,396	25 units

North Chicago Emergency Rehabilitation Program	\$73,418	\$73,418	\$0	\$0	\$0	5 units
North Chicago Property Acquisition/Rehabilitation/ New Construction Program	\$120,216	\$0	\$0	\$138,195	\$0	6 units
Waukegan Property Rehabilitation Program	\$540,114	\$0	\$352,354	\$187,760	\$0	14 Units
<b>TOTAL</b>	<b>\$28,058,800</b>	<b>\$73,418</b>	<b>\$352,354</b>	<b>\$654,927</b>	<b>\$171,396</b>	<b>64 units</b>

## 1.4 Homebuyer Assistance

### Affordable Housing Corporation – Affordable Plus Mortgage Program (APM)

- Provision of \$1,000 in HOME funds, \$14,000 in IHDA funds, and a \$35,000 30-year fixed-rate loan at 4% to be used for downpayment and closing costs to assist 50 first time homebuyers who earn less than 80% of the area median income.
- \$150,000 in 2009 Lake County Consortium HOME funds.
- The Affordable Housing Corporation will receive a project delivery fee of \$2,000 per household paid from Lake County Consortium HOME funds.
- This project funds a **Medium Priority** for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 3701 West Grand Avenue, Gurnee
- Project Location: Scattered sites throughout Lake County. The APM program should emphasize areas outside of the Cities of Waukegan and North Chicago.

### Affordable Housing Corporation – North Chicago First Time Home Buyers Program (FTHB) / Affordable Plus Mortgage Program (APM)

- Provision of up to \$5,000 in purchase assistance for buyers with FTHB and up to \$10,000 for buyers with APM to be used for downpayment and closing costs to assist 7 first time homebuyers who earn less than 80% of the area median income.
- Purchase assistance for buyers with FTHB will be structured in the form of 0% loans payable by the homeowner upon sale or transfer of the property or upon default. Purchase assistance for buyers with APM will be structured in the form of a layered financing package with a portion of the loan financed by a consortium of private lenders, created and administered by AHC, that provide a 30-year fixed rate loan of 4%.
- \$54,000 in 2009 Lake County Consortium HOME funds. The Affordable Housing Corporation will receive a project delivery fee of \$1,000 for every FTHB household and \$2,000 for every APM household paid from Lake County Consortium HOME funds.
- This project funds a **Medium Priority** for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan
- Agency Location: 3701 West Grand Avenue, Gurnee
- Project Location: Scattered sites throughout the City of North Chicago

### **Affordable Housing Corporation – Waukegan First Time Home Buyers Program (FTHB) / Affordable Plus Mortgage Program (APM)**

- Provision of up to \$5,000 in purchase assistance for buyers with FTHB and up to \$10,000 for buyers with APM to be used for downpayment and closing costs to assist 15 first time homebuyers who earn less than 80% of the area median income.
- Purchase assistance for buyers with FTHB will be structured in the form of 0% loans payable by the homeowner upon sale or transfer of the property or upon default. Purchase assistance for buyers with APM will be structured in the form of a layered financing package with a portion of the loan financed by a consortium of private lenders, created and administered by AHC, that provide a 30-year fixed rate loan of 4%.
- \$119,966 in 2009 Lake County Consortium HOME funds. The Affordable Housing Corporation will receive a project delivery fee of \$1,000 for every FTHB household and \$2,000 for every APM household paid from Lake County Consortium HOME funds.
- This project funds a **Medium Priority** for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 3701 West Grand Avenue, Gurnee
- Project Location: Scattered sites throughout the City of Waukegan

<b>Subrecipient/Project Sponsor</b>	<b>Total Project Cost</b>	<b>HOME Funds</b>	<b>Proposed Outcomes</b>
Affordable Housing Corporation – APM	\$8,850,000	\$150,000	50 Households
Affordable Housing Corporation. – North Chicago	\$973,000	\$54,000	7 Households
Affordable Housing Corporation – Waukegan	\$2,235,000	\$119,966	15 Households
<b>TOTAL</b>	<b>\$12,356,000</b>	<b>\$323,966</b>	<b>72 Households</b>

### **1.5 Housing Counseling**

- The Affordable Housing Corporation will carry out a housing education and counseling program in 2009 in connection with each of its First Time Homebuyer, Affordable Plus Mortgage, and Housing Rehabilitation programs.
- Other housing counseling agencies in Lake County include the Lake County Housing Authority, Lake County Community Action Partnership, Catholic Charities, and Consumer Credit Counseling Service.
- Housing Counseling programs are a **Medium Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.

### **1.6 Fair Housing**

#### **Affirmatively Furthering of Fair Housing**

The Lake County Consortium will affirmatively further fair housing practices in Lake County by supporting awareness of fair housing laws and ensuring that persons experiencing housing discrimination will have their complaints successfully mediated or filed with HUD. Consortium members will also continue to implement the recommendations of the most

recent Lake County Fair Housing Analysis. In addition, project sponsors of housing containing five or more units are required to specifically document their efforts to affirmatively market their project. Lake County will review the actions taken to affirmatively market HOME funded housing projects as part of our routine monitoring and reporting process.

Lake County, along with the Cities of North Chicago and Waukegan, will utilize CDBG monies to fund the activities of the Fair Housing Center of Lake County, as described below:

### **SER /Jobs for Progress – Fair Housing Center**

- 2009 Lake County CDBG – Public Service: \$48,000
- 2009 Lake County CDBG – Administration: \$15,622
- 2009 North Chicago CDBG – Administration: \$8,000
- 2009 Waukegan CDBG – Public Service: \$10,000
- Total Program Cost: \$221,467 (including other funding sources).
- Other sources include: Contributions and Fair Housing Initiatives Program (FHIP) grant.
- The Fair Housing Center provides education and outreach regarding the Fair Housing Act in order to promote fair housing practices throughout Lake County. Services include: testing, investigation, advocacy, conflict mediation, and referrals to legal services for those who believe they are victims of housing discrimination.
- The Fair Housing Center anticipates serving 215 clients in PY2009, of whom 197 are expected to be low- and moderate-income. CDBG funds are requested for salaries, benefits, payroll taxes, professional fees, telephone, printing and publications, travel, office supplies, insurance and occupancy associated with the Fair Housing Center.
- Proposed Program Outcomes:
  - *90% of persons who attend one of a proposed 24 presentations will learn their rights under the Fair Housing Act.*
  - *215 clients experiencing unfair housing practices will have their situations resolved.*
  - *Of those 215, 40 will identify they were discriminated against and will be assisted to file a complaint.*
  - *10% who filed a complaint will be referred to a lawyer to file suit.*
- This project funds a **High Priority** need for the County Consortium and the Cities of North Chicago and Waukegan, as referenced in the 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on Low/Mod Income clientele criteria.
- Agency Location: 204 N Genesee Street, Suite 220, Waukegan, IL 60085

Subrecipient/Project Sponsor	Total Project Cost	Lake County CDBG	North Chicago CDBG	Waukegan CDBG
SER/Jobs for Progress - Fair Housing Center	\$221,467	\$48,000 (PS) \$15,622 (AP)	\$5,000 (AP)	\$10,000 (PS)

### **Other Housing-Related Activities**

Other Housing-Related Activities that occur in Lake County and the Cities of North Chicago and Waukegan (including Public Housing Resident Initiatives, Strategies to Address

Affordable Housing Barriers, Lead-based Paint Hazard Mitigation Strategy, and Fostering Decent Housing) that are not specifically related to a CDBG, HOME, SHP, S+C, or ESG funded project through the Lake County Consortium, are identified below:

## **Public Housing Resident Initiatives**

The three housing authorities will undertake the resident initiatives listed below:

### **Lake County Housing Authority**

- Continue to have a resident as a Board of Commissioners member.
- Involve residents in its planning process.
- Encourage residents to participate in housing counseling for referral to first time homebuyer programs.
- Provide notice of entry-level employment opportunities at the Authority to residents.
- Publish a quarterly newsletter for tenants that include information related to economic self-sufficiency.
- Through the Section 8 Program the Authority provides an in-house Family Self-Sufficiency Program as well as works with Catholic Charities' FS-S Program.
- Create homeownership opportunities for residents and enhance their capacity for homeownership. Provides the following services to potential homebuyers for the purpose of offering a continuum of housing options for its constituency: First Time Homebuyer Counseling, Mortgage Default Counseling/Foreclosure Intervention, Predatory Lending, Home Equity Conversion Mortgages, Cash Management, and Credit Counseling.

### **North Chicago Housing Authority**

- Continue to have a Resident Commissioner on the Board.
- Continue to have tenant councils in each of the two complexes operated by the North Chicago Housing Authority. Members of the tenant councils participate in Housing Authority Board meetings and plan activities for the residents.
- Continue SSDC program, a Self Sufficiency Development Program 501(3c) purchasing property within the North Chicago area for ownership and improvements of home. Self Sufficiency for the families and individuals that participate in its housing program. Incorporate SSDC with the Section 8 Homeownership Program, and continue other programs and activities to encourage resident involvement, including Family Unification Program.

### **Waukegan Housing Authority**

- Continue to have a Resident Commissioner on the Board.
- Continue a Resident Council Program.
- Continue other programs and activities to encourage resident involvement, including: a Family Self-Sufficiency Program; Family Unification Program; educational center programs; employment programs; after school tutoring program; Waukegan Youth Making Changes Program; leadership training program; and a parenting skills program.
- Encourage homeownership opportunities through the Family Self-Sufficiency Program.



**Strategies to Address Affordable Housing Barriers**

- The Affordable Housing Commission will continue with educational presentations and improved marketing efforts aimed at local government officials, housing developers, financial institutions, and the general public, which will include discussion of barriers to affordable housing.
- The Lake County Board has also allocated \$300,000 or more annually (for nine consecutive years) from building permit fees for affordable housing activities.

**Lead-based Paint Hazard Mitigation Strategy**

Lake County and the Cities of North Chicago and Waukegan will carry out the following strategy aimed at reducing lead-based paint hazards:

1. Continue to implement the HUD regulations on lead-based paint hazards that became effective for the Consortium on January 10, 2002. The following steps will be taken in connection with the implementation of these regulations, which will apply to all CDBG and HOME-funded housing rehabilitation and homebuyer programs involving housing constructed prior to 1978:
  - A. Housing Rehabilitation Programs
    - Find qualified contractors.
    - Provide additional financial assistance for lead-based paint treatment in addition to that normally permitted for rehab loans.
    - Paint testing and risk assessment by certified inspectors.
    - Lead hazard reduction carried out by certified contractors.
    - Safe work practices, including occupant protection (possibly temporary relocation), worksite preparation and cleanup.
    - Clearance testing.
  - B. First-Time Homebuyers Program
    - Utilize only trained inspectors to do visual assessments, or have program Rehab Specialist do assessments.
    - Provide list of certified contractors to sellers/buyers where paint stabilization is required.
    - Paint stabilization by certified contractor before unit is occupied. Additional safeguards to reduce the risk of lead dust.
    - Safe work practices (same as those listed above).
    - Clearance testing.
2. Provide all applicants for housing rehab loans and first-time homebuyer assistance with information about the dangers of lead poisoning.
3. Work with the County Health Department to develop a system to ensure that, in cases where the existence of defective paint surfaces in homes with children under 7 years of age have been discovered, lead screening of such children is performed and environmental inspections are done where appropriate.
4. Utilize the resources of the County Health Department for the following services:
  - Lead screening for children. State law now requires children between 6 months and 6 years of age in high-risk areas to have a blood test for lead poisoning before entering a childcare facility or school. (The only high-risk areas identified in Lake County are Zip Codes 60085 in Waukegan, 60064 in North Chicago, and 60060 in Mundelein).
  - Environmental inspections of the homes of children with elevated blood level of 20 mcg/dl or higher.

- Information and consultations for families of other children with elevated blood lead levels, in accordance with State law.
  - Educational programs.
5. In cases where lead-based paint hazards are discovered as a result of Health Department inspections, the County and Cities will, depending on the availability of funds, offer to use CDBG or HOME rehab funds for abatement in those cases where families meet the HUD income qualifications.

Annual Goal: The number of units that are expected to be evaluated and possibly receive mitigation measures for the reduction of lead-based paint hazards is 20.

### **Fostering Decent Housing**

Lake County and the Cities of North Chicago and Waukegan, through their CDBG and HOME funded programs, fund numerous housing activities that are designed to meet the housing goals of the 2005 – 2009 Housing and Community Development Consolidated Plan. These housing programs are specifically designed to foster decent, safe, and sanitary housing for Lake County residents. Consortium funded housing activities for each of these three entitlement communities are described in Section 1.0 of this Plan.

## 2.0 Consortium Homeless Activities

### Area Policy Statement

To combat homelessness in Lake County, Continuum of Care stakeholders are committed to providing a coordinated, efficient and effective Continuum of Care that:

- 1) prevents first-time and ongoing cycles of homelessness (including multigenerational homelessness),
- 2) promotes and supports the attainment of self-sufficiency and community reintegration to the greatest extent possible for those who are at risk of, experiencing or recovering from homelessness, and
- 3) assesses the effectiveness of the system in achieving its goals.

### 2.1 Emergency Shelter

#### A Safe Place – Emergency Shelter

- 2009 ESG: \$20,330; Total Program Cost: \$862,000
- ESG Matching Sources include: Contributions, Special Events, Foundation and Corporate Grants, Other Governmental Fees and Grants, and the United Way.
- Provides emergency shelter for homeless women and children fleeing domestic violence via a 35-bed emergency shelter. Shelter is provided for up to six weeks. In addition to shelter, the program provides a 24-hour help line, individual & group counseling, case management, non-legal advocacy and referrals, court advocacy for victims, and a children's counseling program.
- ESG funds are designated for Operational costs.
- Proposed Program Outcomes:
  - *80% of the women will move into housing separate from the abuser.*
  - *70% of parents with children in the residential children's program will increase their understanding of the effect witnessing DV has had on their children.*
  - *80% of adult shelter clients will demonstrate that they have gained additional knowledge and tools for coping with future abuse.*
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the presumed benefit criteria for battered spouses.
- Agency and site location suppressed

#### Catholic Charities – Samaritan House Emergency Shelter

- 2009 ESG: \$20,330; Total Program Cost: \$308,784
- ESG Matching Sources include: Contributions, Foundation & Corporate Grants, Other Governmental Fees and Grants, and the United Way.
- Provides 90 days of emergency shelter through 28 beds for 72 homeless women with children. Services include, but are not limited to nights of shelter, housing counseling, employment counseling, case management, life skills, individual counseling, parenting groups, and budget management. Intensive case management, with a focus on securing affordable permanent housing is provided to assist the family in breaking the cycle of homelessness.
- ESG funds are designated for Operational costs.
- Proposed Program Outcomes:

- *70% of clients will secure permanent or transitional housing.*
- *50% of adult residents will obtain and/or maintain a stable source of income.*
- *75% of women will demonstrate an awareness of alternative parenting by improving their score on the parenting rating scale assessment.*
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the presumed benefit criteria for homeless.
- Agency Location: 671 S. Lewis, Waukegan, IL 60085
- Site Location: 600 10th Street, North Chicago, IL 60064

### **Lake County Haven – Emergency Shelter**

- 2009 ESG: \$20,330; Total Program Cost: \$216,988
- Matching Sources include: Contributions, Special Events, Foundation and Corporate Grants, Other Governmental Grants, Program Service Fees, and the United Way.
- Provides 3-5 months of emergency shelter for 40 homeless women and children via a 4-bedroom home located in Libertyville. The program offers shelter, food, clothing, transportation, job and life-skills training, childcare and other assistance necessary for daily life, along with comprehensive case management which includes clinical services and individualized service plans.
- ESG funds are designated for Operational costs.
- Proposed Program Outcomes:
  - *75% of participants will increase their income.*
  - *90% of women who enter the program will be better prepared for independent living via the acquisition of one or more job or life skills.*
  - *60% of residents will be living in a safe, stable and independent living situation 6 months after leaving the program.*
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the presumed benefit category for homeless.
- Agency Location: Libertyville, IL 60048
- Site Location: Libertyville, IL 60048

### **PADS Crisis Services – PADS Emergency Shelter**

- 2009 ESG: \$20,330; Total Program Cost: \$572,223
- 2008 SHP: \$137,331
- ESG Matching Sources include: Contributions, Special Events, Foundation and Corporate Grants, Other Governmental Fees and Grants, and the United Way.
- Provides emergency shelter and supportive services to 850 homeless individuals, including families with children, living in Lake County. This is done through a fixed-site, year-round emergency shelter, a daytime drop-in facility, and 14 rotating site shelters located in area churches from October 1 through April 30. Supportive services to be provided include assessment of need, development of individual service plans, life skills training, food and hygiene supplies, and case management as well as shower, mail, and laundry facilities.
- ESG funds are designated for Essential Services (transportation costs).
- Proposed Program Outcomes:
  - *100% of clients served by the program will receive transportation to shelter sites.*

- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the presumed benefit criteria for homeless.
- Agency Location: 3001 Green Bay Rd, Building #5, North Chicago, IL 60064.
- Site Location: Scattered Site

Subrecipient/Project Sponsor	Total Project Cost (per year)	2008 SHP	2009 ESG
A Safe Place	\$862,000		\$20,330
Catholic Charities	\$308,784		\$20,330
Lake County Haven	\$216,988		\$20,330
PADS Crisis Services	\$572,223	\$137,331	\$20,330
<b>TOTAL</b>	<b>\$1,959,995</b>	<b>\$137,331</b>	<b>\$81,320</b>

## 2.2 Transitional Housing

### Alexian Brothers – The Harbor Transitional Housing

- 2008 SHP: \$110,250; Estimated Total Program Cost: \$900,000
- Provides 8 units of transitional housing, up to 24 months, for homeless men and women living with HIV/AIDS who also have a history of substance abuse and/or mental illness. Supportive services to be provided include case management, nutritional services, life skills training, chemical dependency treatment, and vocational services.
- SHP funds are designated for Operational and Administrative costs.
- Proposed Project Outcomes:
  - *To be determined.*
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the presumed benefit criteria for homeless.
- Agency location: 826 North Avenue, Waukegan, IL 60085
- Site Location: 826 North Avenue Waukegan, IL 60085

### Christian Outreach of Lutherans (COOL) – Scattered Site Transitional Housing

- 2008 SHP: \$42,290; Total Program Cost: \$56,868
- Provides transitional housing, up to 24 months, for 2 homeless families through 2 scattered-site housing units located in Zion. Supportive services to be provided include counseling, case management, life skills training, and budgeting training.
- SHP funds are designated for Leasing, Supportive Services, Operating Costs, and Administration.
- Proposed Project Outcomes:
  - *To be determined.*
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the presumed benefit criteria for homeless.
- Agency Location: 127 W Water St, Waukegan, IL 60085
- Site Locations: Scattered-site units are located in Waukegan

**Lake County Haven – Transitional Housing**

- 2008 SHP: \$46,274; Total Program Cost: \$97,237
- Provides up to 2 years of transitional housing for 7 households of homeless women and children via 4 scattered site apartments and condominiums. Supportive services to be provided include case management, job skills training, budgeting, debt management, and employment assistance.
- SHP funds are designated for Leasing and Operations.
- Proposed Project Outcomes:
  - *To be determined.*
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the presumed benefit criteria for homeless.
- Agency Location: Libertyville, IL 60048
- Site Locations: Scattered-site units

**Lake County Residential Development Corporation – SAFe Housing**

- 2008 SHP: \$82,766; Total Program Cost: \$124,865
- Provides 9 units of transitional housing, up to 24 months, for homeless women with children who are recovering from substance abuse addictions. Program contracts with Catholic Charities to provide on-site supportive services including case management, parenting training, dispute resolution, and linkages to community resources for job readiness/job training.
- SHP funds are designated for Supportive Services, Operational costs, and Administration.
- Proposed Project Outcomes:
  - *To be determined.*
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the presumed benefit criteria for homeless.
- Agency Location: 1280 Blackburn Street, Gurnee, IL 60031
- Site Location: 1280 Blackburn Street, Gurnee, IL 60031

**PADS Crisis Services – Safe Haven**

- 2008 SHP: \$226,376; Total Program Cost: \$414,275
- Provides 15 low-demand "Safe Haven" housing units for chronically homeless persons who suffer from severe mental illness and/or chronic substance abuse. PADS, IPLUS, and the Lake County Health Department will provide case management, mental health assessment and treatment, psychiatric evaluation, primary health care, addictions treatment, housing assistance, and other supportive services at the level of intensity and pace set by the client.
- SHP funds are designated for Leasing, Supportive Services, and Operational costs.
- Proposed Project Outcomes:
  - *To be determined.*
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the presumed benefit criteria for homeless.
- Agency location: 3001 Green Bay Rd - Building 5, North Chicago, IL 60064

- Site Location: 3001 Green Bay Rd - Building 5, North Chicago, IL 60064

### Waukegan Township – Staben Center

- 2009 ESG: \$20,304; Estimated Total Program Cost: \$376,500
- ESG Matching Sources include: Contributions, Special Events, Foundation & Corporate Grants, and Other Governmental Fees and Grants.
- Provides 6 months of transitional housing for 80 homeless men via a 17-bed housing facility located in Waukegan. Supportive services to be provided include budget management, case management, life skills, and employment readiness as well as 6 months community case management after leaving the program.
- ESG funds are designated for Operational costs.
- Proposed Project Outcomes:
  - *61% of clients will increase their income within 60 days of entry to the program*
  - *62.5% of clients will acquire permanent housing at exit from the program*
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the presumed benefit category for homeless.
- Agency location: 149 South Genesee St, Waukegan, IL 60085
- Site Location: 424 South Avenue, Waukegan IL 60085

### Waukegan Township – Staben House

- 2008 SHP: \$184,940; Total Program Cost: \$609,594
- Provides transitional housing, up to 24 months, to homeless women and children. Supportive services to be provided include budget management, case management, employment readiness, and life skills.
- SHP funds are designated for Supportive Services and Operational Costs.
- Proposed Program Outcomes:
  - *To be determined.*
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the presumed benefit category for homeless.
- Agency Location: 149 South Genesee St, Waukegan, IL 60085
- Site Location: 3000 Grand Ave, Waukegan, IL 60085

Subrecipient/Project Sponsor	Total Project Cost (per year)	2008 SHP (per year)	2009 ESG
Alexian Brothers – The Harbor	\$900,000	\$110,250	
C.O.O.L.	\$58,868	\$42,290	
Lake County Haven	\$97,237	\$46,274	
LCRDC	\$124,865	\$82,766	
PADS	\$414,275	\$226,376	
Waukegan Township	\$376,500		\$20,304
Waukegan Township	\$609,594	\$184,940	
<b>TOTAL</b>	<b>\$2,691,056</b>	<b>\$692,896</b>	<b>\$20,304</b>

## 2.3 Permanent Supportive Housing

### Catholic Charities – Critical Time Intervention with Representative Payee

- 2008 SHP: \$187,128; Total Program Cost: \$232,088
- Provides Critical Time Intervention (CTI) Case Management services for chronically homeless persons in the Shields Township Shelter Plus Care program. The program will provide intensive case management services during the critical 9-month transition period from the streets and emergency shelter into permanent housing. Beyond this transition period, clients will receive ongoing, less intensive case management services.
- SHP funds are designated for Supportive Services and Administration.
- Proposed Project Outcomes:
  - *To be determined.*
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the presumed benefit criteria for homeless.
- Agency Location: 671 South Lewis, Waukegan, IL 60085
- Site Locations: Scattered-site units throughout Lake County

### Catholic Charities – Supportive Services for the Homeless

- 2008 SHP: \$45,507; Total Program Cost: \$56,342
- Provides housing counseling services to move 25 homeless persons from emergency or transitional housing into permanent housing. Supportive services provided include budget counseling, financial advocacy, coordination with mainstream resources, and follow-up services.
- SHP funds are designated for supportive services.
- Proposed Program Outcomes:
  - *To be determined*
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the presumed benefit criteria for homeless.
- Agency location: 671 S Lewis Avenue, Waukegan, IL 60085
- Site Location: 671 S Lewis Avenue, Waukegan, IL 60085

### Independence Center – Permanent Housing

- 2008 SHP: \$58,184; Total Program Cost: \$75,162
- Provides renewal funding to maintain 8 units of permanent supportive housing for homeless persons with mental illness. Supportive services to be provided include vocational training, case management, life skills training, money management, and a variety of other services to assist residents to maintain self-sufficiency.
- SHP funds are designated for Supportive Services, Operational Costs, and Administration.
- Proposed Project Outcomes:
  - *To be determined.*
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the presumed benefit criteria for homeless.
- Agency Location: 2025 Washington St, Waukegan, IL 60085



- Site Locations: 813, 815, 817, & 819 Indiana, Waukegan, IL 60085

#### **I-PLUS – Critical Time Intervention with Representative Payee**

- 2008 SHP: \$12,805; Total Program Cost: \$19,220
- Provides Representative Payee services for chronically homeless persons in the Shields Township Shelter Plus Care program. The program will receive each client's benefit check and work with the client to pay rent, utilities, and other bills necessary to maintain permanent housing and independent living.
- SHP funds are designated for Supportive Services.
- Proposed Project Outcomes:
  - *To be determined.*
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the presumed benefit criteria for homeless.
- Agency Location: 3001 Green Bay Road, Building #9, Room 130, North Chicago, IL 60064
- Site Locations: Scattered-site units throughout Lake County

#### **Lake County Health Department & Community Health Center – S+C Renewals**

- 2008 Shelter Plus Care: \$329,664; Estimated Total Program Cost: \$659,328
- S+C Matching funds are comprised of in-kind services.
- Provides renewal funding for 29 units of permanent supportive housing for chronically homeless individuals who are disabled due to chronic mental illness, many of whom also have a history of chronic substance abuse. Supportive services to be provided include intensive case management, life skills training, medication monitoring, substance abuse services, primary care services, transportation assistance, and representative payee services.
- Shelter Plus Care funds are designated for rental subsidies.
- Proposed Project Outcomes:
  - *To be determined.*
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the presumed benefit criteria for homeless.
- Agency location: 3012 Grand Avenue, Waukegan, IL 60085
- Site Locations: Scattered-site units throughout Lake County

#### **Prairie State Legal Services – Access to Housing Project (Homeless Prevention Program)**

- 2009 ESG: \$20,330; Total Program Cost: \$103,115
- ESG Matching Sources include: Foundation & Corporate Grants, Other Governmental Fees & Grants, and the United Way.
- Provides legal advice and representation to 85 low/moderate income residents of Lake County who are homeless, who were homeless and may return to the streets if legal services are not provided, and low-income residents at risk of homelessness due to the denial of permanent affordable housing. Services will include representing persons illegally denied housing in violation of Fair Housing Laws, persons wrongly denied permanent housing or housing subsidies, and persons denied permanent housing for reasons which can be overcome with legal assistance.

- ESG funds are designated for Homeless Prevention Activities.
- Proposed Program Outcomes:
  - *The program will achieve a significant legal objective for 15 homeless Lake County individuals or families, which will generally be obtaining the means to secure permanent housing.*
  - *The program will achieve a significant legal objective for 12 formerly homeless families or individuals in matters which impact their ability to sustain their housing, and objectives will address an issue which threatens to cause the family to lose permanent housing.*
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the presumed benefit criteria for homeless.
- Agency Location: 325 W. Washington #100, Waukegan, Illinois 60085
- Site Location: 325 W. Washington #100, Waukegan, Illinois 60085

### **Prairie State Legal Services – Legal Advocacy for Housing Options**

- 2008 SHP: \$50,000; Total Program Cost: \$61,905
- Provides legal advice and representation to homeless residents of Lake County, who were homeless and may return to the streets if legal services are not provided, and low-income residents at risk of homelessness due to the denial of permanent affordable housing.
- SHP funds are designated for Supportive Services.
- Proposed Program Outcomes:
  - *To be determined*
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the presumed benefit criteria for homeless.
- Agency Location: 325 W. Washington #100, Waukegan, Illinois 60085
- Site Location: 325 W. Washington #100, Waukegan, Illinois 60085

Subrecipient/Project Sponsor	Total Project Cost (per year)	2008 SHP	2008 S+C	2009 ESG
Catholic Charities	\$232,088	\$187,128		
Catholic Charities	\$56,342	\$45,507		
Independence Center	\$75,162	\$58,184		
I-PLUS	\$19,220	\$12,805		
Lake County Health Department	\$659,328		\$329,664	
Prairie State Legal Services	\$103,115			\$20,330
Prairie State Legal Services	\$61,905	\$50,000		
<b>TOTAL</b>	<b>\$1,207,160</b>	<b>\$353,624</b>	<b>\$329,664</b>	<b>\$20,330</b>

### **2.4 Group Homes for the Mentally Ill**

- 2009 funds were not allocated for group homes for the mentally ill.

### **2.5 Homeless Outreach**

- 2009 funds were not allocated for homeless outreach.

## 3.0 Lake County Community Development Activities

### 3.1 Public Infrastructure

#### 3.1.1 Roadway Improvements

##### **Antioch – Roads**

- 2009 CDBG: \$112,000; Total Project Cost: \$418,621
- Installation of 7,444 LF of roadway surface on Tiffany Road, Hillandale Drive, Valley View Court, Asbury Court and Plumtree Lane.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8608.06/BG1; 240 LMI 40%

##### **Antioch Township – Roads & Drainage**

- 2009 CDBG: \$50,000; Total Project Cost: \$111,268
- Installation of 1,810 LF of roadway surface and storm sewer and culverts on Park and Woodbine Avenues.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8608.05/BG1; 97 LMI 38%

##### **Fox Lake – Roads & Drainage**

- 2009 CDBG: \$112,000; Total Project Cost: \$200,000
- Installation of 2,220 LF of new roadway surface on Marvin Street, McKinley Avenue, Pleasant Oak Court and South Street.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8609.03/BG2; 151 LMI 57%

##### **Grant Township - Roads & Drainage**

- 2009 CDBG: \$75,000; Total Project Cost: \$287,738
- Reconstruction of approximately 2,765 SY of roadway surface on North Stanton Point Road and Matalina Court.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8609.03/BG4; 86 LMI 36%

**Highwood – Roads & Drainage**

- 2009 CDBG: \$112,000; Total Project Cost: \$1,309,920
- Installation of approximately 2,150 LF of roadway and 3,000 LF of storm sewer and appurtenances on Green Bay Road from 500' north of Ashland Avenue to Highwood Avenue.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8652.00/BG1&3; 159 LMI 48%

**Park City - Roads**

- 2009 CDBG: \$112,000; Total Project Cost: \$225,515
- Reconstruction of 829 LF of roadway including curb & gutter on Kehm Boulevard and Chestnut Avenue.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8615.04/BG1 & CT8626.05/BG3; 508 LMI 71%

**Round Lake Heights – Roads**

- 2009 CDBG: \$112,000; Total Project Cost: \$238,192
- Reconstruction of 5,650 LF of roadway on Lotus Drive, Tomahawk Trail and Hiawatha Trail.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8613.01/BG1; 267 LMI 44%

**Zion - Roads**

- 2009 CDBG: \$112,000; Total Project Cost: \$353,312
- Rehabilitate and resurface approximately 4,188 LF of roadway on Ezekiel, Ezra, Gabriel and Gilboa Avenues.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8603.02&8605.00/BG2&3,4; 539 LMI 59%

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Antioch	\$418,621	\$112,000
Antioch Township	\$111,268	\$50,000
Fox Lake	\$200,000	\$112,000
Grant Township	\$287,738	\$75,000
Highwood	\$1,309,920	\$112,000

Park City	\$225,515	\$112,000
Round Lake Heights	\$238,192	\$112,000
Zion	\$353,312	\$112,000
<b>TOTAL</b>	<b>\$3,144,566</b>	<b>\$797,000</b>

### 3.1.2 Sanitary Sewer System Improvements

#### Mundelein - Sanitary Sewer

- 2009 CDBG: \$75,000; Total Project Cost: \$401,850
- Installation of 1,550 LF of sanitary sewer, 200 LF of storm sewer, 3,000 LF of curb & gutter and 1,500 LF of roadway reconstruction on South Lakeshore Drive.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8640.02/BG3; 245 LMI 50%

#### Round Lake Beach - Sanitary Sewer

- 2009 CDBG: \$112,000; Total Project Cost: \$690,000
- Reconstruction of the Kenilworth Drive sewage lift station; to include a duplex submersible pump, a standby generator and 500 LF of forcemain.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8612.01/BG1; 615 LMI 41%

#### Round Lake Park – Sanitary Sewer

- 2009 CDBG: \$112,000; Total Project Cost: \$188,000
- Rehabilitation of 4,399 LF of sanitary sewer line on Elder and Willow Drives.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8614.04/BG2&3; 400 LMI 54%

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Mundelein	\$401,850	\$75,000
Round Lake Beach	\$690,000	\$112,000
Round Lake Park	\$188,000	\$112,000
<b>TOTAL</b>	<b>\$1,279,850</b>	<b>\$299,000</b>

### 3.1.3 Water Supply System Improvements

#### Beach Park – Watermain

- 2009 CDBG: \$112,000; Total Project Cost: \$352,800
- Installation of 1,345 LF of 8 inch PVC watermain and 6 fire hydrants along Sheridan Road between Ames Avenue and Beach Road.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8606.00/BG2 316 LMI 39%

#### Island Lake – Watermain

- 2009 CDBG: \$75,000; Total Project Cost: \$354,222
- Installation of 2,090 LF of 8 inch and 6 inch watermain and six hydrants on Fern Drive, Northern Court and Ridge Road.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8642.02/BG2; 62 LMI 46%

#### Lake County Public Works – Watermain

- 2009 CDBG: \$75,000; Total Project Cost: \$1,750,558
- Installation of 1,150 LF of 8 inch watermain on Elm Street, and 1,500 LF of watermain on Mill Road in Wildwood.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8616.07/BG1; 93 LMI 47%

#### Round Lake – Watermain & Roads

- 2009 CDBG: \$112,000; Total Project Cost: \$650,500
- Installation of 850 LF of roadway surface with curb and gutter and drainage improvements and 850 LF of 8 inch watermain on Lawn Terrace.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8614.03/BG2; 154 LMI 39%

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Beach Park	\$352,800	\$112,000
Island Lake	\$354,222	\$75,000
Lake County Public Works	\$1,750,558	\$75,000
Round Lake	\$650,500	\$112,000
<b>TOTAL</b>	<b>\$3,108,080</b>	<b>\$374,000</b>

### 3.1.4 Drainage System Improvements

#### Grayslake - Drainage

- 2009 CDBG: \$75,000; Total Project Cost: \$205,200
- Construction of 850 LF of 12 inch storm sewer on Park Avenue and Westerfield Place and on Harvey Avenue.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8611.05/BG1; 156 LMI 37%

#### Lindenhurst - Drainage

- 2009 CDBG: \$49,534; Total Project Cost: \$108,850
- Relining of 835 LF of storm sewer in the rear yards along Hazelwood Avenue.
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8610.08/BG1; 149 LMI 39%

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Grayslake	\$205,200	\$75,000
Lindenhurst	\$108,850	\$49,534
<b>TOTAL</b>	<b>\$314,050</b>	<b>\$124,534</b>

## 3.2 Responding to Basic Needs

### 3.2.1 Meet Basic Food and Nutrition Needs

#### Faith Food Pantry – Emergency Food Commodities Distribution Program

- 2009 Lake County CDBG: \$32,000; Total Program Cost: \$177,750
- Provides food resources weekly to 14,437 low income clients in primarily the northeastern portion of Lake County. Food is distributed at the pantry in Zion each Wednesday from 10AM to 2PM with new evening hours from 5PM to 7PM as well.
- CDBG funds are requested for specific assistance to individuals (purchase of food).
- Proposed Program Outcome:
  - *100% of clients will obtain at each pantry visit sufficient food resources to be able to provide 4 days of nutritionally balanced meals for each household member.*
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on Low/Mod limited clientele criteria.
- Agency Location: 2801 W 30th Street, Zion, IL 60099
- Site Location: 2801 W 30th Street, Zion, IL 60099

### Northern Illinois Food Bank – Food Acquisition & Distribution

- 2009 Lake County CDBG: \$42,000; Total Program Cost: \$5,010,457
- Provides food to low-income individuals via distribution to 99 program partners in Lake County, including food pantries, shelters, soup kitchens, group homes, and youth activity sites.
- CDBG funds are requested for operating costs of the Lake County Branch and costs for acquiring food and delivering it to Lake County agencies.
- Proposed Program Outcomes:
  - *100% of people visiting their local food assistance site will receive emergency food.*
  - *75% of clients are offered frozen meat and fresh produce.*
  - *33% of people receiving food are children.*
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on Low/Mod limited clientele criteria.
- Agency Location: 600 Industrial Drive, St. Charles, IL 60174
- Site Location: 473 Keller Drive, Park City, IL 60085

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Faith Food Pantry	\$177,750	\$32,000
Northern Illinois Food Bank	\$5,010,457	\$42,000
<b>TOTAL</b>	<b>\$5,188,207</b>	<b>\$74,000</b>

### 3.2.2 Meet Basic Healthcare Needs

#### HealthReach – Medical Program

- 2009 Lake County CDBG: \$42,000; Total Program Cost: \$582,515
- Provides non-emergency primary medical care (doctor visits) to 3100 clients in its clinics operating under the “free clinic” model of care. It is provided to medically uninsured low-income persons in Lake County, including the homeless and immigrant populations. Medical services provided on-site include: General family medicine; prescription pharmaceutical delivery; pediatric medicine, pediatric dentistry; cardiology; endocrinology; gynecology; ophthalmology; orthopedics; neurology; urology; lab services and phlebotomy; dietetics counseling; psychological and behavior modification counseling; referral case management and follow-up services; and certified medical interpreting (English and Spanish).
- CDBG funds are designated for staff salaries.
- Proposed Program Outcomes:
  - *A minimum of 200 diabetic patients will be offered an annual eye exam and know their condition and receive the appropriate guidance.*
  - *A minimum of 500 adult female patients will be offered annual exams for breast and cervical health.*
  - *Over 200 comprehensive school physical including dental and eye exam and immunizations will be conducted.*
  - *200 children and 100 adults will receive dental exams, oral hygiene and remedial dentistry procedures as needed.*
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on Low/Mod limited clientele criteria.



- Agency Location: 1800 Grand Avenue, Waukegan, IL 60085
- Site Location: 1800 Grand Avenue, Waukegan, IL 60085; 111 N. Seymour Ave, Mundelein, IL 60060

Subrecipient/Project Sponsor	Total Project Cost	CDBG
HealthReach	\$582,515	\$42,000
<b>TOTAL</b>	<b>\$582,515</b>	<b>\$42,000</b>

### 3.2.3 Meet Basic Transportation Needs

- 2009 funds were not allocated for Basic Transportation Needs.

### 3.2.4 Assistance Acquiring and Managing Financial Benefits

- 2009 funds were not allocated for Assistance Acquiring and Managing Financial Benefits.

## 3.3 Individual / Family Self-Sufficiency

### 3.3.1 Behavioral Health

#### CREW, Inc. – Substance Abuse Counseling

- 2009 Lake County CDBG: \$30,000; Total Program Cost: \$242,373
- Provides Level I & Level II outpatient substance abuse treatment for 500 adults and adolescents. Education for alcohol and/or other substance abuse issues is also provided.
- CDBG funds are designated for staff salaries.
- Proposed Program Outcomes:
  - *225 clients will successfully complete the program.*
  - *50 clients who complete treatment will remain abstinent from alcohol and drugs for 12 months of date of discharge.*
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on Low/Mod limited clientele criteria.
- Agency Location: 1632 23rd Street, Zion, IL 60099
- Site Location: 1632 23rd Street, Zion, IL 60099

#### Nicasa – Round Lake Adult Counseling Services

- 2009 Lake County CDBG: \$30,000; Total Program Cost: \$594,333
- Provides substance abuse counseling to help 1,650 clients to abstain from abusing alcohol or drugs. Many clients were mandated to receive a drug and alcohol evaluation from sources such as the courts, probation, or DCFS. Services include Alcohol and Other Drug Addiction (AODA) risk reduction education, and moderate, significant, and high-risk levels of outpatient treatment.
- CDBG funds are designated for staff salaries.
- Proposed Program Outcomes:
  - *95% of clients who participate in Risk Reduction will have a post-test score of 75% or higher, showing improved knowledge of alcohol and other drug use.*
  - *90% of clients in Moderate, Significant, and High Risk groups who have tested positive on a toxicology screening will achieve abstinence.*

- *95% of clients in Moderate, Significant, and High Risk outpatient treatment groups will demonstrate no substance use for at least one month prior to their completion of the program.*
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on Low/Mod limited clientele criteria.
- Agency Location: 31979 N. Fish Lake Road, Round Lake, IL 60073
- Site Location: 31979 N. Fish Lake Road, Round Lake, IL 60073

### **Zacharias Center – Sexual Assault Treatment/Children's Counseling Program**

- 2009 Lake County CDBG: \$28,000; Total Program Cost: \$326,894
- Provides a client-centered service for 90 children, 3-17 years old, who have been sexually assaulted or abused. Exposure to sexual trauma can lead to risk-taking, delinquent and self-destructive behaviors among children. The program also provides non-offending loved ones and caretakers with prevention education, counseling, and advocacy services. Spanish-speaking services are available.
- CDBG funds are designated for staff salaries, benefits and payroll taxes, supplies, telephone and fax, and occupancy.
- Proposed Program Outcomes:
  - *85% of child survivors of sexual assault/abuse will report a decrease in personal trauma symptoms related to the abuse within six months of beginning service.*
  - *85% of child survivors of sexual assault/abuse will report an improvement in their personal interactions and personal relationships within six months.*
  - *50% will report diminished use of negative coping mechanisms within six months.*
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the presumed benefit criteria for abused children.
- Agency Location: 4275 Old Grand Avenue, Gurnee, IL 60031
- Site Location: 4275 Old Grand Avenue, Gurnee, IL 60031

Subrecipient/Project Sponsor	Total Project Cost	CDBG
CREW, Inc.	\$242,373	\$30,000
Nicasa	\$594,333	\$30,000
Zacharias Center	\$326,894	\$28,000
<b>TOTAL</b>	<b>\$1,163,600</b>	<b>\$88,000</b>

### **3.3.2 Prevention Activities for Youth**

- 2009 funds were not allocated for Prevention Activities for Youth.

### **3.3.3 Case Management, Information and Referral Services**

#### **Lake County - Homeless Management Information System (HMIS)**

- 2008 SHP: \$95,648; Estimated Total Program Cost: \$119,560
- Maintain a web-based computer system known as ServicePoint that tracks demographic information about homeless clients as well as data about services provided. The HMIS also includes a searchable Information & Referral component listing detailed information about homeless service agencies and other social service programs in Lake County. Also provides funding for system administrator.

- SHP funds are designated for HMIS costs and staff salaries.
- Proposed Project Outcomes:
  - *To be determined.*
- This project funds a **Medium Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 18 N County Street- 6<sup>th</sup> Floor, Waukegan, IL 60085
- Site Location: Scattered Sites

### **Lake County Sheriff's Office – Inmate Reentry Services**

- 2009 Lake County CDBG: \$36,500; Total Program Cost: \$260,315
- Program provides individual inmate assessment, case management and referral to a wide variety of social and community services, so that 1200 ex-offenders can successfully reintegrate into society. At the time of discharge, they are linked to agencies and services for housing, jobs, behavioral health and skills training services in the community.
- CDBG funds are designated for salaries for a part-time reentry specialist positions.
- Proposed Program Outcomes:
  - *75% of 1200 inmates with identified reentry needs will report they feel better prepared for reintegration to the community.*
  - *75% of 1200 inmates with identified reentry needs will report they have developed reentry goals and action plans.*
  - *80% of 360 inmates with identified reentry needs will report that the programs have resulted in their improvement in their ability to adjust to successful community living after release from custody.*
- This project funds a **High Priority** need for the County Consortium as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on the Low/Mod Income clientele criteria.
- Agency Location: 20 S. County Street, Waukegan, IL 60085
- Site Location: 20 S. County Street, Waukegan, IL 60085

Subrecipient/Project Sponsor	Total Project Cost (per year)	2008 SHP (per year)	2009 CDBG
Lake County	\$119,560	\$95,648	
Lake County Sheriff's Office	\$260,315		\$36,500
<b>TOTAL</b>	<b>\$379,875</b>	<b>\$95,648</b>	<b>\$36,500</b>

## **3.4 Enhancement of Economic Opportunities**

### **3.4.1 Job Creation**

- 2009 funds were not allocated for Job Creation.

### **3.4.2 Basic Employment Skills**

#### **Lake County Center for Independent Living**

- 2009 Lake County CDBG: \$25,000; Total Program Cost: \$116,000
- Provision of employment readiness skills to 80 persons with disabilities, including: basic/advanced computer skills, basic business etiquette, interviewing and preparation

- techniques, and provision of assistive/adaptive technology that will enable participants to compete in the job market.
- CDBG funds are designated for staff salaries and occupancy expenses.
- Proposed Program Outcomes:
  - *80% of participants will become proficient on the use of Microsoft Office Suite.*
  - *80% of participants learn effective job interview skills.*
  - *80% of program participants develop a comprehensive cover letter and resume.*
  - *80% of participants demonstrate competency in the use of office equipment.*
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on presumed benefit criteria for severely disabled clientele.
- Agency Location: 377 Seymour Avenue, Mundelein, IL 60060
- Site Location: 377 Seymour Avenue, Mundelein, IL 60060

### **Youth Conservation Corps – YCC YouthBuild Program**

- 2009 Lake County CDBG: \$30,000; Total Program Cost: \$695,198
- Provides education, employment skills, work experience and community service for 56 young persons 16-24 years old who are unemployed, have dropped out of high school, or have been in trouble with the law.
- CDBG funds are designated for staff salaries, supplies and occupancy expenses.
- Proposed Program Outcomes:
  - *All members will complete the education portion of the program and improve reading, math, and language skills by at least one grade level.*
  - *Graduates will obtain semi-skilled occupations.*
  - *Community services hours will be performed by program members.*
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on Low/Mod Income clientele criteria.
- Agency Location: 574 S. McAlister Ave. Waukegan, IL 60085
- Site Location: 574 S. McAlister Ave. Waukegan, IL 60085

### **YouthBuild Lake County – YouthBuild Program**

- 2009 Lake County CDBG: \$36,500; Total Program Cost: \$837,094
- Educational and job training program for young adults aged 16-24, the majority of whom are ex-offenders. YouthBuild Lake County (YBLC) proposes to enroll 20-25 young persons in a nine-month program.
- CDBG funds designated for staff salaries.
- Proposed Program Outcomes:
  - *80% of YBLC graduates will complete at least one of the following: GED Certification; increase their skills by at least two grade levels; earn a Model Office Certificate; earn a Home Builders Institute Certification.*
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Funds are awarded based on Low/Mod Income clientele criteria.
- Agency Location: 3001 N. Green Bay Road, North Chicago IL 60064

<b>Subrecipient/Project Sponsor</b>	<b>Total Project Cost</b>	<b>CDBG</b>
Lake County Center for Independent Living	\$116,000	\$25,000
Youth Conservation Corps	\$695,198	\$30,000
YouthBuild Lake County	\$837,094	\$36,500
<b>TOTAL</b>	<b>\$1,648,292</b>	<b>\$91,500</b>

### **3.4.3 Dependent Care Services in Support of Employment**

- 2009 funds were not allocated for Dependent Care Services in Support of Employment.

## **3.5 Administration and Planning**

### **3.5.1 Program Administration and Planning**

#### **Lake County Department of Planning, Building, and Development – CDBG Program Administration**

- 2009 Lake County CDBG – Administration Funds: \$452,465
- These funds will be used primarily for the general management, oversight and coordination of the Community Development Block Grant Program.
- A portion of these funds will also be used for planning costs in connection with the development and certification of the Annual Action Plan, the development of various performance report documents, and the development of continued application procedures in addition to general project and program management activities. In addition, some of these funds may be used for special planning studies (if needed or requested). These funds will also be used to provide technical assistance for prospective CDBG Program applicants, preparation of application forms/tables, applicant training/workshops, and review of funding applications.
- These funds will also be used to distribute and provide program advocacy regarding the 2005 – 2009 Housing and Community Development Consolidated Plan and its respective program needs statements, goals, and strategies.

#### **Lake County Department of Planning, Building, and Development – HOME Program Administration**

- 2009 Lake County Consortium HOME – Administration Funds: \$177,543
- These funds will be used primarily for the general management, oversight and coordination of the HOME Program.
- A portion of these funds will also be used for planning costs in connection with the development and certification of the Annual Action Plan, the development of various performance report documents, and the development of continued application procedures in addition to general project and program management activities. In addition, some of these funds may be used for special planning studies (if needed or requested). These funds will also be used to provide technical assistance for prospective HOME Program applicants, preparation of application forms/tables, applicant training/workshops, and review of funding applications.
- These funds will also be used to distribute and provide program advocacy regarding the 2005 – 2009 Housing and Community Development Consolidated Plan and its respective program needs statements, goals, and strategies.

**Lake County Department of Planning, Building, and Development – ESG Program Administration**

- 2009 Lake County ESG – Administration Funds: \$5,666
- These funds will be used primarily for the general management, oversight and coordination of the Emergency Shelter Grant Program.
- A portion of these funds will also be used for planning costs in connection with the development and certification of the Annual Action Plan, the development of various performance report documents, and the development of continued application procedures in addition to general project and program management activities. In addition, some of these funds may be used for special planning studies (if needed or requested). These funds will also be used to provide technical assistance for prospective ESG Program applicants, preparation of application forms/tables, applicant training/workshops, and review of funding applications.
- These funds will also be used to distribute and provide program advocacy regarding the 2005 – 2009 Housing and Community Development Consolidated Plan and its respective program needs statements, goals, and strategies.

**Affordable Housing Corporation of Lake County**

- 2009 Lake County Consortium HOME – Administration Funds: \$8,204
- These funds will be used for HUD-required compliance inspections of existing HOME-funded rental projects.

**3.5.2 Education, Advocacy and Outreach****Affordable Housing Corporation of Lake County**

- 2009 Lake County CDBG – Administration Funds: \$50,367
- These funds will be used to provide administrative support to the Lake County Affordable Housing Commission, whose role is to promote the development of affordable housing opportunities in Lake County, and to provide information and technical assistance to parties interested in the development of affordable housing.

## 4.0 North Chicago Community Development Activities

### 4.1 Public Facilities

#### 4.1.1 Recreation Facilities

- 2009 funds were not allocated for Recreation Facilities.

#### 4.1.2 Senior Centers

- 2009 funds were not allocated for Senior Centers.

#### 4.1.3 Community Safety Equipment

- 2009 funds were not allocated for Community Safety Equipment.

### 4.2 Infrastructure Improvement

#### 4.2.1 Public Facilities Improvements

##### Project 09-3.1: Street & Alley Improvement Program

- 2009 North Chicago CDBG: \$55,000; Total Program Cost: \$475,000
- Leverage: State Motor Fuel Tax Funds
- Administered by the City Engineer and the Department of Community Development and Planning, this program will pay for the direct cost of engineering, removal, and resurfacing of streets and alleys in areas designated as primarily low to moderate income. It will include the following streets: Wadsworth Avenue, Lincoln Street and 24<sup>th</sup> Street.
- Proposed Project Outcomes:
  - *The reconstruction and resurfacing of streets and alleys located in low to moderate-income areas throughout the community.*
- This project funds a **High Priority** need for North Chicago as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 1850 Lewis Avenue, North Chicago, Illinois 60064
- Site Location: Citywide.

##### Project 09-3.2: Sidewalk Program

- 2009 North Chicago CDBG: \$5,000;
- Administered by the Street Department and the Department of Community Development and Planning, this program will pay for the direct cost of engineering, removal, and construction of sidewalks in areas designated as primarily low to moderate income. Moreover, this program will address trip hazard removal, giving preferential consideration to areas of the city with higher population density or pedestrian traffic counts.
- Proposed Project Outcomes:
  - *Construction or repair of sidewalks in low to moderate-income areas.*
  - *Enhance both the walkability and aesthetic character of these areas.*
  - *Bring nonconforming sidewalks into ADA compliance.*

- This project funds a **High Priority** need for North Chicago as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 1850 Lewis Avenue, North Chicago, Illinois 60064
- Site Location: Citywide

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Community Development & Planning	\$475,000	\$55,000
Community Development & Planning	\$10,000	\$5,000
<b>TOTAL</b>	<b>\$485,000</b>	<b>\$60,000</b>

#### 4.2.2 Handicap Accessibility Improvements

- 2009 funds were not allocated for Handicap Accessibility Improvements.

### 4.3 Public Services

#### 4.3.1 Health Services

- 2009 funds were not allocated for Health Services.

#### 4.3.2 Substance Abuse Services

##### **Project 09-4.55: CREW (Community Resources for Education & Wellness) Inc.**

- 2009 North Chicago CDBG: \$5,000; Total Program Cost: \$254,500
- Leverage: Foundations & Corporate Grants, Other Governmental Fees & Grants, Program Service Fees
- CREW Substance Abuse Counseling Program provides substance abuse counseling for adults, ages 18 and over and adolescents, age 12-17, in need of Level I & II Outpatient treatment and/or education for alcohol and/or other substance abuse issues.
- Proposed Program Outcomes:
  - *200 clients will successfully complete all substance abuse programs (Level I & II).*
  - *50 clients will successfully complete intensive outpatient program treatment & remain abstinent from alcohol and drugs for 12 months from date of discharge.*
- This project funds a **High Priority** need for North Chicago as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 2800 Sheridan Road, Zion, Illinois 60099

##### **Project 09-7.453: NICASA**

- 2009 North Chicago CDBG: \$5,000; Total Program Cost: \$793,600
- Leverage: Contributions, Special Events, Other Governmental Fees and Grants, United Way of Lake County
- NICASA Women's Services-East Program targets women with serious substance abuse issues. In addition to substance abuse treatment and counseling, it provides them with comprehensive supportive services at no charge so they can get all aspects of their lives on the right track. These supportive services include childcare, parent education, transportation, court advocacy, health care and mental health referrals, meals, job and computer skills training and housing assistance. Case



management and individualized treatment plans help staff provide each woman with the treatment and resources she needs.

- Proposed Program Outcomes:
  - *80% (138) of women who enter Women's Services and receive treatment will abstain from substance use while in treatment.*
  - *At the time of successful completion of the program, 75% (45) of the women who received treatment services will be employed or enrolled in an education or job training program.*
- The project funds a **High Priority** need for North Chicago as referenced in the Lake County Consortium's 2005-2009 Housing and Community Development Consolidated Plan.
- Agency Location : 2031 Dugdale Road, North Chicago, Illinois 60064

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Community Resource for Education & Wellness, Inc.	\$254,500	\$5,000
NICASA	793,600	\$5,000
<b>TOTAL</b>	<b>\$254,500</b>	<b>\$10,000</b>

#### 4.3.3 Youth Services

##### Project 09-4.42: YWCA of Lake County

- 2009 North Chicago CDBG: \$5,000; Total Program Cost: \$819,814
- Leverage: Foundation and Corporate Grants; Program Service Fees, United Way of Lake County
- The YWCA School Age program is a full day special programming to over 125 low to moderate income children in North Chicago annually when the school are closed. YWCA full-day special programming includes a ten-week summer camp, two –week winter camp, one week spring camp and thirteen full days during the school year when schools are closed for the state and federal holidays, teacher conferences and institute. This program is designed to provide children in kindergarten through eighth grades a variety of academic, cultural, social and recreational experiences.
- Proposed Program Outcomes:
  - *50% of program participants will show improved academic performance in subjects that require reading comprehension and writing.*
  - *50% of the parents of the program participants will understand what their children are learning in school.*
- This project funds a **High Priority** need for North Chicago as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 2133 Belvidere Road, Waukegan, Illinois 60085

##### Project 09-4.28: YouthBuild Lake County

- 2009 North Chicago CDBG: \$5,000; Total Program Cost: \$837,094
- Leverage: Contributions, Foundation & Corporate Grants, Other Governmental Fees & Grants, Miscellaneous
- YouthBuild Lake County provides educational and job training program to young adults ages 16-24 who are low-income and in need of basic skills, pre-employment training,

life skills, support services, and job placement assistance vocational and academic programming. This project is designed to provide practical construction training for participants while utilizing construction crews to assist with the building and/or rehabilitation of low-income housing.

- Proposed Program Outcomes:
  - *80% of graduates will enhance their basic skills by receiving at least one of the following: model office certificate, home builder's institute certificate, job readiness course completion certificate thereby increasing their basic employment skills and economic opportunities.*
- This project funds a **High Priority** need for North Chicago as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 3001 N. Green Bay Road, Building #1, 3<sup>rd</sup> Floor, North Chicago, IL 60064

Subrecipient/Project Sponsor	Total Project Cost	CDBG
YWCA Lake County	\$819,814	\$5,000
YouthBuild Lake County	\$837,094	\$5,000
<b>TOTAL</b>	<b>\$1,656,908</b>	<b>\$10,000</b>

#### 4.3.4 Parental Supportive Services

##### Project 09-4.12: Catholic Charities Family Self-Sufficiency Program

- 2009 North Chicago CDBG: \$5,000; Total Program Cost: \$327,875
- Leverage: Contributions, Special Events, Foundations & Corporate Grants, Other Governmental Fees & Grants, United Way of Lake County
- This program will be administered by Catholic Charities. Services are provided to single parent/low-income families as follows: comprehensive case management/counseling, assessment of need, group and individual services, budget counseling, referrals, resources for education, all to help families become self-sufficient.
- Proposed Project Outcomes:
  - *70% of clients will be employed this year within 90 days of opening a case.*
  - *70% of clients will maintain their employment for longer than six months.*
  - *50% of clients will advance their employment by completing college courses, a certification program, or ESL classes, job readiness training, or GED classes to update their skills.*
- This project funds a **High Priority** need for North Chicago as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 671 South Lewis Avenue, Waukegan, IL 60085

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Catholic Charities	\$327,875	\$5,000
<b>TOTAL</b>	<b>\$327,875</b>	<b>\$5,000</b>

#### 4.3.5 Early Childhood Intervention

- 2009 funds were not allocated for Early Childhood Intervention.

### 4.3.6 Senior Services

#### Project 09-4.15: Waukegan Township - Home Sweet Home

- 2009 North Chicago CDBG: \$5,000; Total Program Cost: \$207,300
- Leverage: Contributions, Other Governmental Fees & Grants
- The Home Sweet Home is a “no-cost” lawn maintenance and snow removal program designed to assist older adults in remaining self-sufficient. Home Sweet Home provides year-round yard maintenance service to Waukegan Township residents, age 55 or older. Lawns are maintained in the summer, leaves are raked and removed in the fall, and snow is shoveled in the winter. Waukegan Township does not charge a fee for this service. This activity will be located in Waukegan Township of the City of North Chicago.
- Proposed Project Outcomes:
  - *95% of the seniors served will remain in their own homes.*
- This project funds a **Medium Priority** need for North Chicago as referenced in the Lake County Consortium’s 2005 – 2009 Housing and Community Development Consolidated Plan.
- Location: 149 South Genesee Street, Waukegan, IL 60085

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Waukegan Township	\$207,300	\$5,000
<b>TOTAL</b>	<b>\$207,300</b>	<b>\$5,000</b>

### 4.3.7 Basic Needs Assistance

#### Project 09-4.14: PADS Crisis Services, Inc.

- 2009 North Chicago CDBG: \$6,000 Total Program Cost: \$572,224
- Leverage: Contributions, Special Events, Foundation & Corporate Grants, Other Governmental Fees & Grants, Investment Revenue, Other United Way, United Way of Lake County
- PADS Weekend and Holiday Project is a point of emergency entry for homeless men, women, and children experiencing homelessness. The weekend/holiday day facility will ensure provision of basic services 7 days a week, 365 days a year. These services include hygiene supplies, supportive services such as laundry and shower facilities, telephone and mail service, and a resource room for school-age children to do their homework.
- Proposed Project Outcomes:
  - *100% of clients served by this program will be in a safe environment and off the streets of the community.*
- This project funds a **High Priority** need for North Chicago as referenced in the Lake County Consortium’s 2005 – 2009 Housing and Community Development Consolidated Plan.
- Location: 3001 Green Bay Road, Building #5, North Chicago, IL 60064

#### Project 09-4.45 Faith Food Pantry

- 2009 North Chicago CDBG: \$6,000; Total Program: \$175,000
- Leverage: Contributions, Zion Township, United Way of Lake County

- The Faith Food Pantry operates Emergency Food Commodities. This program provides food resources twice a month to low income clients in primarily the northeastern portion of Lake County. Food is distributed each Wednesday from 10:00 a.m. to 2:00 p.m. at the pantry in Zion.
- Proposed Project Outcomes
  - *100% (14,437) of clients will obtain at each pantry visit sufficient food resources to be able to provide 4 days of nutritionally balanced meals for each household member.*
- This project funds a **High Priority** need for North Chicago as referenced in the Lake County Consortium's 2005-2009 Housing and Community Development Consolidated Plan.
- Agency Location: 2801 West 30<sup>th</sup> Street, Zion, IL 60099

#### **Project 09-4.46 Northern Illinois Food Bank**

- 2009 North Chicago CDBG: \$5,563 Total Program :\$5,075,255
- Leverage: Contributions, Special Events, Foundation and Corporate Grants and Investment revenue
- The Northern Illinois Food Bank supports our Lake County Branch located at 473 Keller Drive, Park City, Illinois which services seven locations in the City of North Chicago. These partners include four food pantries, one group home, one soup kitchen and one youth activity. Food is distributed on various days at the each location.
- Proposed Project Outcomes
  - *100% of people visiting their local food assistance site will receive emergency food*
  - *75% of clients are offered frozen meat, high in protein, and fresh produce which they usually cannot afford to purchase at the grocery store*
  - *33% of people receiving food at a local food assistance site are children who benefit from receiving nutritious food and have the opportunity to perform better academically, physically, socially and emotionally.*
  - *2500 clients will receive emergency food assistance through a Mobile Pantry.*
- This project funds a **High Priority** need for North Chicago as referenced in the Lake County Consortium's 2005-2009 Housing and Community Development Consolidated Plan.
- Agency Location: 473 Keller Drive, Park City, Illinois 60085

Subrecipient/Project Sponsor	Total Project Cost	CDBG
PADS Crisis Services	\$1,703,041	\$6,000
Faith Food Pantry	\$175,000	\$6,000
Northern Illinois Food Bank	\$5,075,255	\$5,563
<b>TOTAL</b>	<b>\$6,953,296</b>	<b>\$17,563</b>

## **4.4 Economic Development**

### **4.4.1 Real Estate Development**

#### **Project 09-2.3: Façade Improvement Program**

- 2009 North Chicago CDBG: \$60,000; Total Program Cost: \$120,000
- Leverage: Private Owners Funds

- Administered by the Department of Community Development and Planning, this program provides grants, installment loans and deferred loans to new or existing businesses to improve and rehabilitate deteriorating commercial and industrial building exteriors.
- Proposed Project Outcomes:
  - *The completion of 2 façade rehab projects*
  - *The completion of 2 conceptual drawings for designated façade rehab projects*
- This project funds a **Medium Priority** need for North Chicago as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 1850 Lewis Avenue, North Chicago, IL 60064
- Site Location: Sheridan Road

#### **Project 09-2.7: Economic Development Special Project**

- 2009 North Chicago CDBG: \$26,000; Total Project Cost: \$5,200,000
- Leverage: Loans, State Grants, Federal Grants
- Administered by the Department of Community Development and Planning, this activity will provide operating revenue and fund debt service for Grant Place, a new 22,000 square foot retail center in downtown North Chicago. Other expenses for this activity will include predevelopment financing, real estate commissions, tenant build outs, and all costs associated with construction expenses.
- Proposed Project Outcomes:
  - *Improve the downtown image in order to attract new businesses*
  - *Increase community pride by developing new economic development atmosphere*
- This project funds a **Medium Priority** need for North Chicago as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 1850 Lewis Avenue, North Chicago, IL 60064
- Site Location: 1801 Sheridan Road

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Façade Improvement Program	\$120,000	\$60,000
Economic Development Special Project	\$5,200,000	\$26,000
<b>TOTAL</b>	<b>\$5,350,000</b>	<b>\$86,000</b>

#### **4.4.2 Business Education**

- 2009 funds were not allocated for Business Education.

### **4.5 Planning and Administration**

#### **4.5.1 Planning and Administration**

##### **Project 09-5.0: General Administration**

- 2009 North Chicago CDBG – Administration Funds: \$42,108
- This activity will pay the direct costs related to planning and execution of community development activities in North Chicago including program staff and operating expenses.

- Other expenses under this activity include: publication and legal notice fees, single audit expenses, necessary equipment and supplies, training and educational expenses, and professional services.
- Agency Location: 1850 Lewis Avenue, North Chicago, IL 60064

**Project 09-5.1: SER/Jobs for Progress - Fair Housing Center**

- See Goal Section #1.6, beginning on page 42 of this document, regarding North Chicago's CDBG funding for this project ("Fair Housing").

## 5.0 Waukegan Community Development Activities

### 5.1 Public Facilities

#### 5.1.1 Downtown Revitalization Area

##### City of Waukegan – Downtown Streetscape Program

- 2009 Waukegan CDBG: \$170,000; Total Program Cost: \$317,100
- Leverage sources: City Budget
- Program Description: Replace existing sidewalks, lighting and landscaping to create a safer more aesthetically appealing pedestrian environment and improve the economic viability of the downtown and eliminate slum and blight.
- Use of CDBG Funds: material & labor
- Proposed Program Outcomes:
  - *Enhance the pedestrian experience in downtown; thereby supporting and improving the economic viability of the retail experience, and eliminate slum & blight.*
- In PY2009, the program proposed to serve approximately 350,000 people.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 100 N. Martin Luther King, Jr. Avenue, Waukegan, IL 60085
- Site Location: On Grand Avenue from Sheridan Road west to County Street (project area 1), on Water Street east of Genesee Street for about 1/3 of the block on both the north and south sides of the street (project area 2), and on the south side of Water Street between Genesee and County in the middle of the block (project area 3).

Subrecipient/Project Sponsor	Total Project Cost	CDBG
City of Waukegan/Downtown Streetscape	\$317,100	\$170,000
<b>TOTAL</b>	<b>\$317,100</b>	<b>\$170,000</b>

#### 5.1.2 Infrastructure Improvements

##### City of Waukegan Public Works Dept. – Sidewalk Replacement Program

- 2009 Waukegan CDBG: \$125,000; Total Program Cost: \$410,000, city will operate additional sidewalk replacement program throughout the community.
- Leverage sources: City of Waukegan's Public Works budget
- Program Description: Replacement of deteriorated sidewalk squares in low income residential areas designated by CDBG (approximately 7500 lineal feet).
- Use of CDBG Funds: material and labor
- Proposed Program Outcomes:
  - *Increased pedestrian safety on public sidewalks.*
- In PY2009, the program proposed to serve direct benefit to 500 households, 100% in target area (community as a whole/people generally).
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 1700 N. McAree Road, Waukegan, IL 60085
- Site Location: Target areas throughout the City of Waukegan, IL 60085

Subrecipient/Project Sponsor	Total Project Cost	CDBG
City of Waukegan/Sidewalks	\$410,000	\$125,000
<b>TOTAL</b>	<b>\$410,000</b>	<b>\$125,000</b>

### 5.1.3 Recreational and Service-Oriented Facilities

- 2009 funds were not allocated for Recreational and Service-Oriented Facilities.

### 5.1.4 Accessibility for People with Disabilities

#### Countryside Association-Lakeside Work and Training Center

- 2009 Waukegan CDBG: \$20,000; Total Project Cost: \$60,128
- Leverage sources: businesses and governmental grants (\$15,043)
- Program Description: renovate, expand and physically adapt the existing physical space reserved for senior adults with disabilities allowing Waukegan residents who take advantage of this specialized service to be doubled at the Lakeside Center.
- Use of CDBG Funds: material and labor
- Proposed Program Outcomes:
  - *To increase the square footage of current senior areas by 504 sq. ft. by removing and rebuilding existing walls, extending lowered ceiling. Install one new widened access door with automatic opener to improve accessibility by participants. Relocate a portion of the existing HVAC system and all electrical and emergency warning devices. Install commercial grade "accordion room" dividers to allow easy customization of space based on the type of activities. Add four windows to existing north-facing concrete block wall area and add integrated, lowered wall cabinetry for participants to access activity materials and activity space area. Overlay entire area with vinyl composition floor tile at the Lakeside Work & Training Center.*
- In PY2009, this program proposes to serve 20 seniors/177 disabled and low-income Waukegan residents
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency Location: 1301 S. Lewis, Waukegan, IL 60085
- Site Location: 1301 S. Lewis, Waukegan

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Countryside Association-Lakeside Work and Training Center	\$60,128	\$20,000
<b>TOTAL</b>	<b>\$60,128</b>	<b>\$20,000</b>

## 5.2 Public Services

### 5.2.1 Youth Services

#### A Safe Place – Residential Children's Services

- 2009 Waukegan CDBG: \$5,000; Total Program Cost: \$1,040,323
- Leverage sources: contributions, special events, foundation and corporate grants, other governmental fees and grants, Lake County ESG and United Way Funding.
- Program Description: Provides comprehensive services for victims of domestic violence with the goal of increasing their immediate and ongoing safety. Counseling



- and advocacy services for children victimized by domestic violence. Use various therapeutic techniques to help children deal with what they have been through and help them to find new healthier ways to live.
- Use of CDBG Funds: salaries, payroll taxes and benefits.
  - Proposed Program Outcomes:
    - *70% of clients in the “the residential children’s program will have the knowledge of new steps to take and resources to rely on in the event that they witness a new incident of domestic violence”.*
    - *95% of school age children in the residential program will benefit from not having their schooling interrupted any longer than one week when leaving an abusive home.*
    - *70% of parents with children in the “the residential children’s program will increase their understanding of the effects witnessing domestic violence has had on their children”.*
  - This program funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium’s 2005 – 2009 Housing and Community Development Consolidated Plan.
  - In PY2009, the program proposes to serve 95 at-risk Waukegan youth.
  - Agency and site location suppressed.

### **CASA of Lake County-Court Appointed Special Advocate Training**

- 2009 Waukegan CDBG: \$8,000; Total Program Cost: \$665,000
- Leverage sources: contributions, special events, foundation & corporate grants, Investment Revenue, and United Way.
- Program Description: Recruit, train and supervise volunteer advocates who will represent abused and neglected Waukegan children in the Lake County judicial system.
- Use of CDBG Funds: salaries, benefits, payroll taxes
- Proposed Program Outcomes:
  - *95% of the children served by CASA Lake County will experience no further abuse and/or neglect this year.*
  - *20% of the children served by CASA Lake County will achieve permanency this year.*
- In PY2009, the program proposes to serve 221 abused Waukegan youth
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium’s 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 1020 Milwaukee, Suite. 312, Deerfield, IL 60015
- Site location: various court and residential locations

### **Nicasa -Youth REACH/Steps AHEAD After School Program**

- 2009 Waukegan CDBG: \$5,000; Total Program Cost: \$206,150
- Leverage sources: contributions, special events, other governmental fees & grants.
- Program Description: this is an after-school program serving at-risk students at Miguel Juarez Middle School and the 9<sup>th</sup> Grade Center providing tutoring and enrichment activities, health and drug education, life-skills development, recreational activities and a safe, supportive environment with a positive peer group.
- Use of CDBG Funds: salaries

- Proposed Program Outcomes:
  - *90% (76) of students participating in the program will maintain or improve their grades.*
  - *90% (76) of students participating in the program will maintain or improve their attendance.*
- In PY2009, the program proposes to serve 51 at-risk Waukegan youth.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 31979 N. Fish Lake Road, Round Lake, IL 60073
- Site location: 1113 Greenwood Avenue, Waukegan, IL 60087

### **Youth Conservation Corps (YCC) – Youth Build**

- 2009 Waukegan CDBG: \$5,000; Total Program Cost: \$682,740
- Leverage sources: contributions, special events, foundation & corporate grants, other governmental fees & grants, miscellaneous and United Way.
- Program Description: Provide low-income, unemployed high school dropouts, ages 16-24 with work experience, education and community-involved development activities. (Clients will earn GED/HSD while gaining work experience in the fields of construction, disaster preparedness education, environmental conservation, technology, finance/insurance, manufacturing and transportation/logistics.)
- Use of CDBG Funds: salaries, benefits, payroll taxes
- Proposed Program Outcomes:
  - *70% of clients will obtain employment that promotes economic self-sufficiency.*
  - *30% of clients will continue with post-secondary or secondary education.*
- The program proposes to serve 29 Waukegan at-risk youth in PY2009.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 221 N. Genesee Street, Waukegan, IL 60085
- Site location: 221 N. Genesee Street, Waukegan, IL 60085

### **Youth Build Lake County – Youth Build**

- 2009 Waukegan CDBG: \$10,000; Total Program Cost: \$837,094
- Leverage sources: contributions, special events, foundation & corporate grants, other governmental fees & grants, United funding.
- Program Description: Provides education and job training to low-income clients, ages 17-24. Program has five key components: academic, construction, life skills/leadership, job readiness, and counseling services. YBLC helps client earn GED; learn basic employment and readiness skills.
- Use of CDBG Funds: salaries and payroll taxes
- Proposed Program Outcomes:
  - *80% of graduates will enhance their basic skills by completing at least one of the following: a) earn a GED certification; b) increase their skills by at least 2 grade levels; c) earn a Model Office Certificate; or d) earn an HBI certification.*
- In PY2009, the program proposes to serve 14 Waukegan at-risk youth
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.

- Agency location: 3001 N Green Bay Road, Bldg 1, 3<sup>rd</sup> FL, North Chicago, IL 60064
- Site location: 3001 N Green Bay Road, Bldg 1, 3<sup>rd</sup> FL, North Chicago, IL 60064

### **YWCA L. C. – Waukegan School Age Programs and KDO Camps**

- 2009 Waukegan CDBG: \$5,000; Total Program Cost: \$819,814
- Leverage sources: foundation & corporate grants, other governmental fees & grants, program service fees, and United Way of Lake County 3-Year Grant.
- Program Description: Provide children kindergarten through eighth grades a variety of academic, recreational, cultural and social experiences. These include summer camp, winter/spring camp, and full days during school year.
- Use of CDBG Funds: salaries
- Proposed Program Outcomes:
  - *70% of program participants will have increased understanding and respect for their own culture identity and for the culture of others.*
  - *40% of program participants will improve academic performance as a result of homework assistance and tutoring.*
- In PY2009, the program proposes to serve 233 Waukegan youth.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 2133 Belvidere Road, Waukegan, IL 60085
- Site location: 2133 Belvidere Road, Waukegan, IL 60085

### **Zachariah – Sexual Assault Victim Advocacy (formally LaCASA)**

- 2009 Waukegan CDBG: \$8,000; Total Program Cost: \$170,157
- Leverage sources: contributions, special events, foundation and corporate grants, other governmental fees and grants, program service fees, investment revenue, and United Way Funding.
- Program Description: Provides 24-hour a day, 365 days a year, and crisis interventions services to survivors of sexual assault and abuse.
- Use of CDBG Funds: salaries, benefits
- Proposed Program Outcomes:
  - *85% of professionals working with sexual assault/abuse victims, receiving training from the Advocacy team, will report a greater understanding of victim sensitivity and emotional issues to treatment of medical staff in hospital ER settings.*
  - *20% of the medical Advocate clients will choose to enter the Children's Counseling Program for sexual assault/abuse treatment.*
  - *40% of the victims of sexual assault/abuse as listed in the Lake County Court's Indictment List and contacted by Legal Advocates will choose to utilize the LaCasa legal Advocacy services.*
- In PY2009, the program proposes to serve approx. (83 Child Survivors) Waukegan residents
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 4275 Old Grand Avenue, Gurnee, IL 60031
- Site location: 4275 Old Grand Avenue, Gurnee, IL 60031, various courts, hospitals and residential locations.

Subrecipient/Project Sponsor	Total Project Cost	CDBG
A Safe Place	\$1,040,323	\$5,000
CASA of Lake County	\$665,000	\$8,000
Nicasa	\$206,150	\$5,000
Youth Conservation Corps (YCC)	\$682,740	\$5,000
YouthBuild Lake County	\$837,094	\$10,000
YWCA	\$819,814	\$5,000
Zacharias Sexual Assault Formally LaCASA	\$170,157	\$8,000
<b>TOTAL</b>	<b>\$4,421,278</b>	<b>\$46,000</b>

## 5.2.2 Senior Services

### 1<sup>st</sup> Senior Solutions – Home Care

- 2009 Waukegan CDBG: \$5,000; Total Program Cost: \$178,747
- Leverage sources: Special Events, Program Service Fees Investment Revenue, and miscellaneous.
- Program Description: Home Care assist in independent living for seniors by providing personal care, housekeeping and laundry, one-on-one transportation services with escort and companion services.
- Use of CDBG Funds: salaries and payroll taxes
- Proposed Program Outcomes:
  - *90% of client will experience improved access to vital health appointments, experience decreased feelings of loneliness, and experience a decrease in feeling of social isolation by the 4th quarter of 2009.*
- In PY2009, the program proposes to serve approx. 29 Waukegan senior citizens.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 2424 Washington Street, Waukegan, IL 60085
- Site location: Various residential addresses of senior citizens throughout the community.

### Christ Church-ElderCare

- 2009 Waukegan CDBG: \$5,000; Total Program Cost: \$90,862
- Leverage sources: contributions, special events, foundation & corporate grants, other governmental fees and grants, investment revenue, and United Way funding.
- Program Description: provides escorted transportation to medical appointments, friendly visits and grocery shopping aid to low income, homebound elders in an effort to help seniors remain self-sufficient.
- Use of CDBG Funds: salaries, payroll taxes
- Proposed Program Outcomes:
  - *80% of clients receiving services, who participate in the annual survey will report that receiving services from ElderCare contributed to their being able to remain in their own home.*
- In PY2009, the program proposes to serve approx. 105 Waukegan senior citizens.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.

- Agency location: 410 Grand Avenue, Waukegan, IL 60085
- Site location: Various residential addresses of senior citizens throughout the community

### Waukegan Township-Home Sweet Home

- 2009 Waukegan CDBG: \$17,500; Total Program Cost: \$207,300
- Leverage sources: contributions, special events, foundation & corporate grants, other governmental fees and grants, Program fees, investment revenue.
- Program Description: Home Sweet Home provides year-round yard maintenance service to Waukegan Township senior citizens free of charge, in an effort to help seniors remain self-sufficient. Services include lawn maintenance and snow removal as needed.
- Use of CDBG Funds: salaries
- Proposed Program Outcomes:
  - *90% of the seniors served will remain in their own homes, while maintaining self-sufficiency.*
- In PY2009, the program proposes to serve approx. 522 Waukegan senior citizens.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 149 S. Genesee Street, Waukegan, IL 60085
- Site location: Various residential addresses of senior citizens throughout the community

Subrecipient/Project Sponsor	Total Project Cost	CDBG
1 <sup>st</sup> Senior Solutions – Home Care	\$178,747	\$5,000
Christ Church – Elder Care	\$90,862	\$5,000
Waukegan Township – Home Sweet Home	\$207,300	\$17,500
<b>TOTAL</b>	<b>\$476,909</b>	<b>\$27,500</b>

### 5.2.3 Basic Needs Assistance

#### Christian Outreach of Lutherans (C.O.O.L.) – Food Pantry

- 2009 Waukegan CDBG: \$5,000; Total Program Cost: \$243,500
- Leverage sources: contributions, special events, foundation and corporate grants, Lake County CDBG, investment revenue, and United Way funding.
- Program Description: Provides emergency food assistance through food pantry to low-income individuals and families in need of food.
- Use of CDBG Funds: salaries
- Proposed Program Outcome:
  - *4400 households will report having their food needs met through the food pantry, increasing the accessibilities and availability of a suitable living environment.*
- In PY2009, the program proposes to serve approximately 12,964 Waukegan residents
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 127 W. Water Street, Waukegan, IL 60085
- Site location: 127 W. Water Street, Waukegan, IL 60085

**Emmanuel Faith Bible Christian Center (EFBCC) – Food Pantry**

- 2009 Waukegan CDBG: \$5,000; Total Program Cost: \$59,920
- Leverage sources: contributions, special events, foundation and corporate grants, and other governmental fees and grants.
- Program Description: Provides emergency food assistance through food pantry to low-income individuals and families in need of food.
- Use of CDBG Funds: salaries & payroll taxes
- Proposed Program Outcome:
  - *The EFFP will supply enough food to sustain 2400 client 700 households 4 times per month for the City of Waukegan.*
- In PY2009, the program proposes to serve approximately 1674 Waukegan residents
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 1840 Lincoln Street, North Chicago, IL 60064
- Site location: 1840 Lincoln Street, North Chicago, IL 60064

**Faith Food Pantry**

- 2009 Waukegan CDBG: \$10,000; Total Program Cost: \$175,000
- Leverage sources: contributions, Lake County CDBG and United Way Funding.
- Program Description: Provides emergency food assistance through food pantry to low-income individuals and families in need of food.
- Use of CDBG Funds: specific assistance to individuals
- Proposed Program Outcome:
  - *100% (14,437) of clients will obtain at each pantry visit sufficient food resources to be able to provide 4 days of nutritionally balanced meals for each household member.*
- In PY2009, the program proposes to serve approximately 3751 Waukegan residents.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 2803 W. 30<sup>th</sup> Street, Zion, IL 60099
- Site location: 2803 W. 30<sup>th</sup> Street, Zion, IL 60099

**I-PLUS – Representative Payee**

- 2009 Waukegan CDBG: \$7,000; Total Project Cost: \$196,000
- Leverage sources: contributions, special events, foundations & corporate grants, North Chicago CDBG, program service fees, other government fees and grants and United Way Funding
- Program Description: Clients of I-Plus receive Social Security and/or Veterans benefits. Program micromanages funds to insure that all clients' basic needs are met, such as housing, food and utilities.
- Use of CDBG Funds: salaries
- Proposed Program Outcome:
  - *150 clients will be placed in housing within 60 days of I-Plus receiving their income.*
  - *135 of the projected 150 clients will be treatment-compliant within 6 months.*
- In PY2009, the program proposes to serve approx. 53 Waukegan residents.

- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 3001 Green Bay Road, Bldg. 9 RM 100, North Chicago, IL 60064
- Site location: 3001 Green Bay Road, Bldg. 9 RM 100, North Chicago, IL 60064

### **PADS Crisis Services – The Center**

- 2009 Waukegan CDBG: \$10,043; Total Program Cost: \$383,430
- Leverage sources: contributions, special events, foundation & corporate grants.  
Program Description: The Center site is a year-round overnight shelter which can sleep 42 people per night and has been full nightly since opening in November 2004. This supportive service program targets and helps homeless individuals and families move towards self-sufficiency by providing case management and basic needs services.
- Use of CDBG Funds: salaries
- Proposed Program Outcomes:
  - *The Center will provide 100% (42) beds per night, 365 days per year, for emergency overnight shelter to families and those most-at-risk of being on the street.*
- In PY2009, program proposes to serve approx. 57 Waukegan residents
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 3001 Green Bay Road, Bldg 5, North Chicago, IL 60064
- Site location: 3001 Green Bay Road, Bldg 5, North Chicago, IL 60064

### **SER/Jobs for Progress – Fair Housing Center**

- See Goal Section #1.6, page 42 of this document, regarding Waukegan's CDBG funding for this project ("Fair Housing").

<b>Subrecipient/Project Sponsor</b>	<b>Total Project Cost</b>	<b>CDBG</b>
Christian Outreach of Lutherans (COOL) – Food Pantry	\$243,500	\$5,000
Emmanuel Faith (EFBCC) – Food Pantry	\$59,920	\$5,000
Faith Food Pantry	\$175,000	\$10,000
I-Plus – Representative Payee	\$196,000	\$7,000
PADS Crisis Services – The Family Center	\$383,430	\$10,043
SER/Jobs for Progress – Fair Housing Center	\$221,467	\$10,000
<b>TOTAL</b>	<b>\$1,258,317</b>	<b>\$47,043</b>

## **5.2.4 Community Safety**

### **Waukegan Police Department – Community Oriented Policing Project**

- 2009 Waukegan CDBG: \$17,000; Total Program Cost: \$111,544
- Leverage sources: Waukegan Police Department Budget
- Program Description: Police officers address community and public service needs through crime awareness and problem solving in conjunction with the residents in an attempt to build a community-police partnership in CDBG designated low- to moderate-income areas.
- Use of CDBG Funds: salaries and benefits
- Proposed Program Outcomes:
  - *Increase the environmental quality of apartments and homes.*
  - *Eliminate nuisance homes.*

- *Reduce gang and drug activity.*
- In PY2009, program proposes to serve 11016 (8677L/M) neighborhood Waukegan residents.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 13 N. Genesee, Waukegan, IL 60085
- Site location: Various sites in CDBG Designated Areas

#### **Lake County Sheriff's Adult Corrections Facility – Inmate Reentry Services**

- 2009 Waukegan CDBG: \$5,000; Total Program Cost: \$260,315
- Leverage sources: LC CDBG, Other Governmental Fees & Grants
- Program Description: Provide extensive educational and behavioral health programs in cooperation with other jail programming such as individual inmate assessment, case management and referral to a wide variety of social and community services.
- Use of CDBG Funds: salaries
- Proposed Program Outcomes:
  - *75% of the 1200 inmates with identified reentry needs will report they feel better prepared for reintegration to the community after being assessed, referred and linked to community resources by the jail reentry specialist, have developed reentry goals and action plans, and*
  - *80% of 360 with identified reentry needs who were enrolled in the jail reentry program dorm will report that because of these programs they have improved their ability to adjust to successful community living after release from custody.*
- In PY2009, program proposes to serve 135 Waukegan residents.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 20 S. County Street, Waukegan, IL 60085
- Site location: 20 S. County Street, Waukegan, IL 60085

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Waukegan Police Department (COPP)	\$111,544	\$17,000
Lake County Sheriff's Adult Corrections Facility – Inmate Reentry Services	\$260,315	\$5,000
<b>TOTAL</b>	<b>\$371,859</b>	<b>\$22,000</b>

#### **5.2.5 Other Public Services**

##### **Prairie State Legal Services – Disability Advocacy Program**

- 2009 Waukegan CDBG: \$ 5,000; Total Program Cost: \$91,080
- Leverage sources: foundation & corporate grants, United Way Funding and other governmental fees & grants.
- Program Description: Provides legal advice and representation to persons with disabilities who have been wrongfully denied or threatened with loss of disability benefits, Medicaid or housing benefits.
- Use of CDBG Funds: salaries, benefits, payroll taxes
- Proposed Program Outcomes:
  - *17 households will obtain or retain a stable source of income based upon disability of a household member.*



- *30 persons with disabilities will receive legal advice or brief services to enable them to understand the resources and programs available to them.*
- *12 disabled residents will secure medical assistance benefits as a result of legal representation.*
- In PY2009, the program proposes to serve approx. 24 Waukegan residents.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 325 Washington Street, # 100, Waukegan, IL 60085
- Site location: 325 Washington Street, # 100, Waukegan, IL 60085

### **CREW (Community Resource for Education & Wellness) – Substance Abuse Treatment Program**

- 2009 Waukegan CDBG: \$8,000; Total Program Cost: \$254,500
- Leverage sources: foundation & corporate grants, other governmental fees and grants, and program fees.
- Program Description: Substance abuse Level 1 & Level 2 outpatient treatment program comprised of assessment, counseling, education and alternatives to substance abuse/ alcohol and exposure to a 12-step program for adults and adolescents ages 12-17.
- Use of CDBG Funds: Professional fees
- Proposed Program Outcomes:
  - *225 will successfully complete their treatment plan of which 50 clients will remain abstinent from alcohol and drugs for 12 months from date of discharge.*
- In PY2009, program proposes to serve approx. 90 Waukegan residents.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 2800 Sheridan Road, Zion, IL 60099
- Site location: 2800 Sheridan Road, Zion, IL 60099

### **R.O.P.E. – Primary Purpose**

- 2009 Waukegan CDBG: \$5,000; Total Program Cost: \$89,101
- Leverage sources: contributions, other governmental fees and grants, program service fees, United Way of Lake County – 3 year grant.
- Program Description: provides shelter, food and job assistance for men newly released from incarceration to achieve permanency and independent living.
- Use of CDBG Funds: salaries, benefits, payroll taxes
- Proposed Program Outcomes:
  - *85% clients, formerly ex-offenders, will remain drug and alcohol free during the course of the program. They will obtain permanent housing prior to leaving the program.*
- In PY2009, program proposes to serve approx. 28 Waukegan residents.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 1019 W. Pacific Avenue, Waukegan, IL 60085
- Site location: 1019 W. Pacific Avenue, Waukegan, IL 60085

### UMMA-Urban Muslim Minority Alliance – Education Empowerment

- 2009 Waukegan CDBG: \$ 5,000; Total Program Cost: \$55,000
- Leverage sources: Contributions, and other governmental fees & grants.
- Program Description: will address three main areas of education that prevent the low-income community from being self-sufficient. The areas are general education, computer literacy and financial literacy.
- Use of CDBG Funds: salaries
- Proposed Program Outcomes:
  - *80% of students enrolled in education empowerment will successfully complete one class and enroll in an education career path (e.g. college or trade career path).*
- In PY2009, the program proposes to serve approx. 207 Waukegan residents.
- This project funds a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 130 Washington Street, Waukegan, IL 60085
- Site location: 130 Washington Street, Waukegan, IL 60085

Subrecipient/Project Sponsor	Total Project Cost	CDBG
Prairie State Legal Services	\$91,080	\$5,000
CREW (Community Resource for Education and Wellness)	\$254,500	\$8,000
R.O.P.E. – Primary Purpose	\$89,101	\$5,000
UMMA – Education Empowerment	\$55,000	\$5,000
<b>TOTAL</b>	<b>\$489,681</b>	<b>\$23,000</b>

## 5.3 Code Enforcement

### Code Enforcement Program

- 2009 Waukegan CDBG: \$50,000; Total Program Cost: \$125,496
- Leverage sources: Waukegan's City Budget
- Program Description: Enforce housing, zoning, and health codes as they relate to dwellings, dwelling units, rooming units and all other properties within the CDBG designated low- to moderate-income areas. Correct and prevent the existence of adverse conditions and promote public safety, health and welfare of neighborhood.
- Use of CDBG Funds: salaries, benefit and payroll taxes.
- Proposed Program Outcomes:
  - *All addresses visited by inspector will be 100% within the target area, ensuring and promoting public safety, health and welfare of clients.*
- In PY2009, program proposes to serve 11,500 Waukegan residents.
- Code Enforcement, as a component of housing rehabilitation activities, is a **High Priority** need for Waukegan as referenced in the Lake County Consortium's 2005 – 2009 Housing and Community Development Consolidated Plan.
- Agency location: 100 N. Martin Luther King, Jr. Avenue, Waukegan, IL 60085
- Site location: Various sites in CDBG Designated Areas

Subrecipient/Project Sponsor	Total Project Cost	CDBG
City of Waukegan – Code Enforcement	\$125,496	\$50,000
<b>TOTAL</b>	<b>\$125,496</b>	<b>\$50,000</b>

## **5.4 Program Oversight and Administration**

### **City of Waukegan CDBG - Program Administration**

- 2009 Waukegan CDBG: \$220,725
- Leverage sources: all CDBG funding
- Program Description: general oversight and management of CDBG funds and activities.
- Agency location: 100 N. Martin Luther King, Jr. Avenue, Waukegan, IL 60085

## 6.0 Anti-Poverty Strategy

The *2005-2009 Housing and Community Development Consolidated Plan* outlines the multifaceted approach that the Lake County Consortium is taking to combat poverty within its jurisdiction. The Area Policy Statement in the Consolidated Plan provides detailed background information on the Consortium's Anti-Poverty Strategy along with the Five-Year Goal.

The Lake County Consortium will support, directly and indirectly, a network of services available to low-income persons and families with the goal of alleviating poverty. The Consortium will work with existing agencies which serve persons in poverty in order to evaluate their needs, coordinate the delivery of services, and determine if funding assistance should be made available through HOME, CDBG and/or ESG programs in cases where there are insufficient resources to meet the identified needs.

This strategy includes the following elements:

1. To continue to work within the Consortium to coordinate community development efforts with integrated planning.
2. To continue to work within the Continuum of Care process and other coordinating councils to reduce duplication, improve integration, and identify and address unmet service needs.
3. To expand coordination among local funding entities to streamline the application process, and to maximize the impact of those resources.
4. To establish and support a framework for systems and program evaluation, including continued training and technical assistance using outcomes.
5. To provide letters of support and other documentation to agencies in support of their fundraising efforts.
6. To continue to enhance public access to information about community services, through initiatives like the FindHelpLakeCounty.org project, which brings Information & Referral tools to the general public and provider community.

### Annual Goal

The Consortium's projected goal for the number of persons moving out of poverty over the next year is 100.

## 7.0 Monitoring Standards and Procedures

The Community Development Division of the Lake County Department of Planning, Building and Development, the City of North Chicago's Community Development and Planning Department, and the City of Waukegan's Community Development Department each have responsibility for monitoring project activities funded under their separate Community Development Block Grant programs, the Emergency Shelter Grant program, the Supportive Housing Program, or the HOME program. Each of these departments is responsible for monitoring their general progress under the Consolidated Plan.

The monitoring standards and procedures to be used in these efforts will be the standards and procedures as set forth in HUD monitoring handbooks, guidelines, and technical assistance publications. The monitoring functions will include:

1. Careful evaluation of funding applications to ensure that they comply with all applicable federal regulations, that they clearly describe the proposed activity, and that they fully delineate the cost of the project.
2. Assignment of risk factors to determine monitoring strategy and technical assistance needs of subrecipients.
3. Post-award conferences (either group or individual) held to outline federal regulatory compliance requirements and local government operational guidelines.
4. Preparation of subrecipient agreements which clearly set forth the activities to be carried out, a time schedule for completion of the project's activities, the reporting and compliance requirements, and the rules, regulations, and procedures to be followed by the subrecipient.
5. Workshops/Technical Assistance Sessions for all subrecipients prior to project implementation, to explain all applicable rules, regulations, and procedures.
6. The establishment of internal project management systems for tracking the progress of all funded activities.
7. Establishment of scheduled reporting procedures, including those with long-term compliance requirements.
8. Periodic reviews of all activities, including desk reviews, project file and financial reviews, and on-site field visits.
9. Formal on-site monitoring of all projects at least once annually, including written reports to subrecipients with written responses, as appropriate.
10. Withholding of payments to subrecipients found to be in non-compliance with rules, regulations, or procedures.
11. Receipt and review of Quarterly Reports from funded Subrecipients.
12. Preparation of annual reports covering all activities.

### Administration:

Community development staff from Lake County, North Chicago, and Waukegan will continue their regular administrative reviews of open funded projects to:

- encourage more timely draw downs of HUD funds by their subrecipients;
- speed up the expenditure levels of currently operating projects;
- financially close out recently completed projects;
- regularly update internal and HUD-related project databases; and

- expeditiously reprogram un-obligated balances of completed projects for future re-use in subsequent award cycles.

Community development staff from Lake County, North Chicago, and Waukegan will develop and monitor monthly expenditure benchmarks to direct their progress on timely expenditures of HUD funded activities. Staff will aim for a 10% monthly expenditure of program entitlements beginning June 1<sup>st</sup> of the program year, such that 90% of the annual program allotments will be expended by the following February. Progress toward this goal depends on:

- the subrecipients' ability to manage their project activities successfully;
- the timely submission of properly documented payment requests;
- weather-related constraints for housing and public facility projects; and
- quarterly progress reports from public service projects.

#### Summary of Performance Measurements:

The Lake County Consortium has established housing and homeless goals for New Construction, Rental Assistance, Rehabilitation, Homebuyer Assistance, Emergency Shelter, Transitional Housing, and Permanent Supportive Housing in terms of the number of units affected. Each goal area indicates a specific number of units to be achieved under the "Five-Year Goal" by the completion of the *Consolidated Plan*. In the grant application process, subrecipients project the number of units that they intend to make available during the program year. Subrecipients report their progress quarterly, as they work toward meeting their goal. At the end of the project year, the total number of units is measured as Housing Outputs, included in the Consolidated Annual Performance Evaluation Report (CAPER), to determine the effectiveness of each project and the progress being made toward the Five-Year Goals.

Consortium members developed outcomes for each non-housing community development activity area to indicate the desired level of benefit or change for individuals or populations during or after participation in the program. These anticipated benefits or changes, along with the projected numbers of beneficiaries, are identified under the Five-Year Goals. Applicants project numbers of beneficiaries they intend to serve and identify one to three program outcomes that address the Five-Year Goals. An outcomes workshop is provided in order to assist applicants in improving the quality of their outcomes, which they submit in their applications. Once funded, subrecipients provide quarterly reports throughout the program year, reporting the numbers of beneficiaries and their progress toward attaining their outcomes. The year-end results in each activity area are evaluated in the Consolidated Annual Performance Evaluation Report (CAPER). Client Outcomes are based on what has been accomplished and levels of beneficiary involvement.

#### Housing Program Procedures:

The following steps will also be taken to ensure long-term housing compliance:

- Each Project Sponsor and program administrator will receive a "Lake County HOME/CDBG Housing Fund Management Guide" and its companion piece, "Lake County HOME/CDBG Housing Fund Monitoring Compliance Guide." These would be distributed at a mandatory Post-Award Conference. The guidebooks are intended to be used by Project Sponsors as a reference guide for federal regulatory compliance during the construction and post-completion phases of the project. In addition, each Project

Sponsor is assigned a staff person to provide individualized technical assistance on the general administration of their Housing Fund project.

- All newly-completed housing projects, both new construction and rehabilitation, will be inspected at the time of completion by appropriate local building officials and will be required to have a valid certificate of occupancy. In addition, HOME-funded projects and County CDBG-funded projects will be regularly inspected by the Affordable Housing Corporation of Lake County's Rehabilitation Specialist or other qualified inspector.
- All HOME rental projects will be inspected in accordance with the requirements specified in the HOME Regulations. The inspection schedule mandated by the HOME Regulations will be followed for the duration of the required affordability period. The Affordable Housing Corporation of Lake County's Rehabilitation Specialist, who is under contract with the County, will conduct these inspections.
- During the project construction period, periodic on-site monitoring visits of all Housing Fund projects will be conducted by Community Development staff.
- The County will maintain records to monitor federal regulatory compliance during a rental project's post-completion period of affordability. Annual reports will be submitted by project sponsors to certify tenant income and unit rent eligibility. In addition, HOME-assisted rental units will be subject to periodic on-site inspections according to the following guidelines:

<u>Number of Units in Project</u>	<u>Frequency of Inspection</u>
1 to 4 units	Every 3 years
5 to 25 units	Every 2 years
26 or more units	Annually

#### Homeless Program Procedures:

In addition to the above monitoring procedures, the Continuum of Care Advisory Planning Group (APG) developed the *Technical Assistance and Monitoring Guide for Homeless Programs*. The guide is distributed to all homeless programs that receive federal funding and includes information about federal program rules and regulations as well as Continuum of Care policies and priorities concerning beneficiaries, program design and policies, supportive services, housing, staffing, financial capacity, outcomes, and general administration. Lake County uses the *Technical Assistance and Monitoring Guide* to prepare homeless programs for the on-site monitoring visits conducted by the Lake County Department of Planning, Building, & Development and the Continuum's Technical Assistance Committee, which sends three members to conduct on-site peer-monitoring reviews for all programs seeking Continuum of Care funding. In addition to identifying strengths and weaknesses of programs at an early stage, the monitoring guide and site visits:

- Ensure homeless funding is used effectively to assist homeless individuals and families to achieve self-sufficiency.
- Verify compliance with Federal Regulations and program requirements in order to maintain and expand funding.
- Build grantee capacity and improve program performance to end homelessness in Lake County.

All ESG-funded projects will be monitored following the standards and procedures as set forth in HUD monitoring handbooks, guidelines, and technical assistance publications. These monitoring functions shall also include the 12 tasks mentioned at the beginning of this

section. Formal on-site monitoring of ESG-funded projects shall include written correspondence to subrecipients with written responses filed in the appropriate project file.

Accordingly, all subrecipients of ESG funds shall also be subject to annual monitoring by the Continuum of Care Advisory Planning Group's (APG) Technical Assistance Committee (TAC), in conjunction with Lake County staff. For ESG-funded projects, the TAC shall reference applicable portions of the *Technical Assistance and Monitoring Guide for Homeless Programs* that was developed for the homeless programs that receive funding through the Continuum of Care. Lake County staff shall also reference ESG regulations to ensure compliance with ESG Program requirements.

CDBG Program Procedures:

All CDBG-funded projects will be monitored following the standards and procedures as set forth in HUD monitoring handbooks, guidelines, and technical assistance publications. These monitoring functions shall also include the 12 tasks mentioned at the beginning of this section. Formal on-site monitoring of CDBG-funded projects shall include written correspondence to subrecipients with written responses filed in the appropriate project file.

- Lake County will conduct a CDBG Public Services Risk Assessment that will assign a risk rating to each funded subrecipient based on the existence of the following risk factors:
  - First-time CDBG Subrecipient/first CDBG award in the past three years.
  - Award that exceeds 80% of the highest CDBG Public Service award.
  - High level of staff and/or Chief Executive turnover/inexperience.
  - History of repetitive CDBG Program compliance issues/violations.
  - High Program/Project complexity.

Lake County CDBG Public Service subrecipients will be selected for monitoring based on these factors. If three or more risk factors are present, the subrecipient is considered a "high risk". Two risk factors warrant a rating of "moderate risk". If one or no risk factors are identified, the subrecipient is considered "low risk". Any CDBG Public Services subrecipient that has not had formal on-site monitoring in either of the two years prior to the current award will be automatically considered a "high risk". Lake County Staff will annually develop a monitoring schedule based on these risk levels. At a minimum, all "high risk" subrecipients will receive an on-site monitoring during the upcoming Action Plan program year.

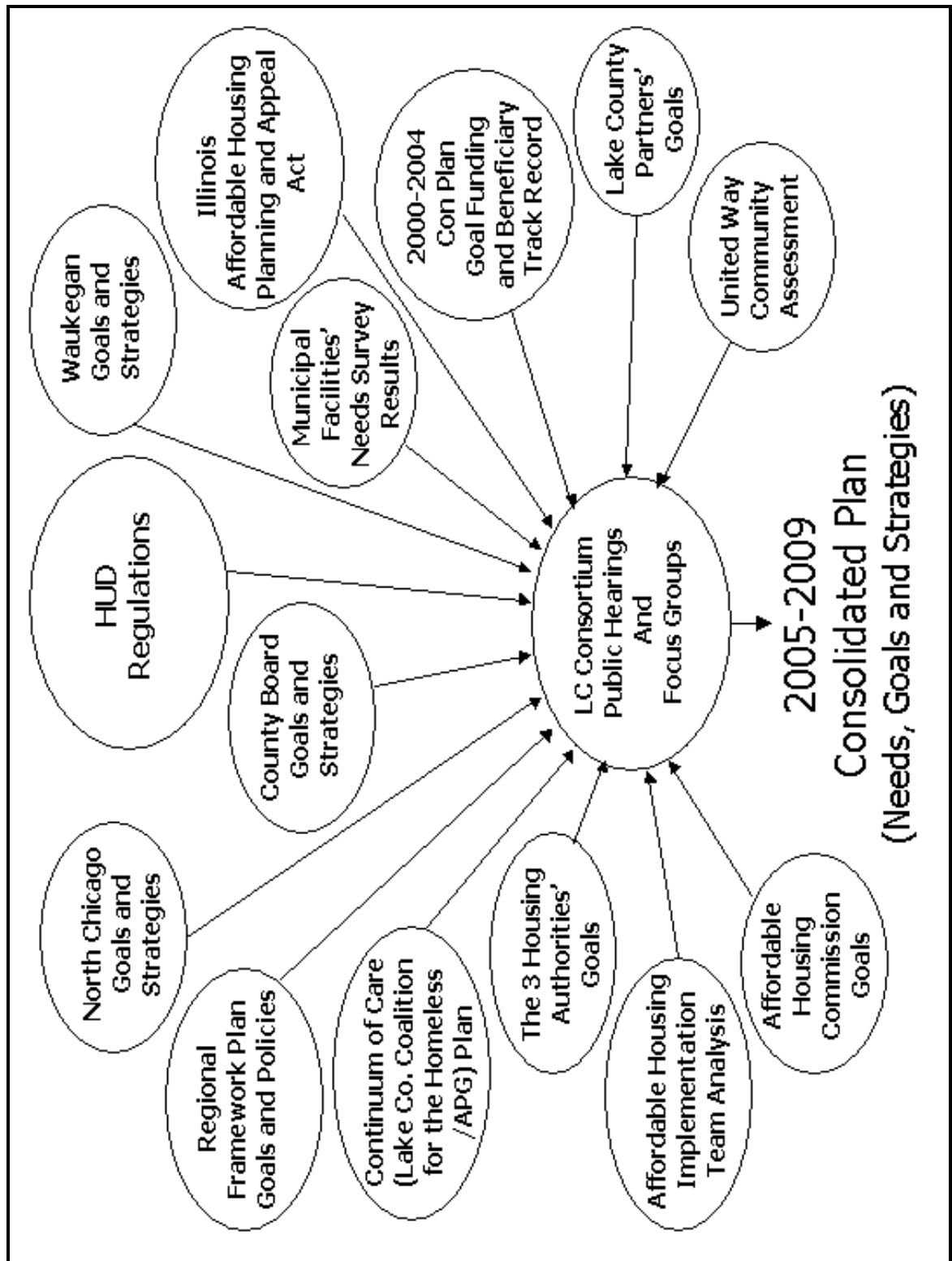
- North Chicago conducts on-site monitoring of all Public Service activities at least once a year.
- Waukegan conducts on-site monitoring of all Public Service activities at least once a year.



## 8.0 Institutional Structure and Coordination of Resources

This section of the *2009 Annual Action Plan* was originally included as Chapter 1, “Introduction”, to the *2005 – 2009 Housing and Community Development Consolidated Plan*. It is repeated here, basically verbatim (with limited exceptions and few recent updates), to identify the partners involved in the original development of the Consolidated Plan and to update the identification of the partners involved in the development of this Annual Action Plan and their responsibilities.

The Lake County Consortium, with its participating jurisdictions of Lake County and the Cities of North Chicago and Waukegan, has been collaborating extensively for a number of years with a broad range of for-profit or not-for-profit agencies, governmental bodies, and local citizens. To demonstrate the Consortium’s collaborative efforts within its network of housing and community development providers, we present the following bubble chart (Figure 1-1), which illustrates how extensively involved our partners are in the development of the *2005 – 2009 Housing and Community Development Consolidated Plan* and each *Annual Action Plan*.



**Lake County Consortium**

Three jurisdictions in Lake County receive Community Development Block Grant (CDBG) funds under the entitlement allocation: Lake County, as an urban county, and the Cities of North Chicago and Waukegan, each as independent entitlements. These three CDBG jurisdictions regularly coordinate with one another and collaborate on planning and implementation of CDBG-funded community development activities. These three units of local government have also formed a HOME Consortium for the purposes of carrying out housing activities funded under the HOME Investment Partnership Program.

Lake County serves as the coordinating unit and Lead Agency for the administration of the Lake County Consortium. The Lake County Community Development Division administers the HOME Program. The Affordable Housing Commission, an entity created by the Lake County Board to stimulate affordable housing, also serves as an advisory commission on housing matters to the County Board.

The Consortium also formally governs the administration of the Emergency Shelter Grant Program and the Continuum of Care grants. The Cities of North Chicago and Waukegan collaborate with Lake County on countywide homeless planning and grant administration activities.

**Lake County**

The Lake County Board, under the auspices of its Health and Community Services (HCS) Committee, implements and governs the Lake County portions of the *2005 – 2009 Consolidated Plan*. The Board relies heavily on planning guidance and funding recommendations from the county Community Development staff and numerous advisory groups, as described below.

The Lake County Board receives annual entitlement grants from the Community Development Block Grant (CDBG) and Emergency Shelter Grant (ESG) Programs. The Lake County Board also executes contracts with HUD for the annual HOME entitlement grant on behalf of the HOME Consortium. Lake County staff facilitates countywide homeless services planning, and applies annually for Continuum of Care homeless funding, on behalf of Lake County and the Cities of North Chicago and Waukegan.

For the CDBG program, the Lake County Urban County jurisdiction includes all portions of the County, except for the Cities of North Chicago and Waukegan. North Chicago and Waukegan are both entitlement communities and, therefore, they each allocate their own CDBG funds.

**Lake County Board**

The Lake County Board consists of 23 elected members, who are elected every four years on a staggered basis by district. The terms for Board members are 2 – 4 – 4, 4 – 2 – 4, or 4 – 4 – 2 years and are commensurate with Census redistricting each decade. Board members serve as individual representatives for their Lake County Districts. Board Agendas, Committee Agendas, and Committee Schedules are posted in the Board Office and are available on the County's webpage at <http://www.lakecountyil.gov/CountyBoard>. The Board

meets regularly on the second Tuesday of each month in the Lake County Administration Building, 10<sup>th</sup> Floor. They also approve the *2005 – 2009 Consolidated Plan* and each *Annual Action Plan* prior to submission to HUD.

### **County Board Committees**

The County Board now has 7 standing Committees that meet on a regularly scheduled basis. The Board also meets as a “Committee of the Whole” on the Friday prior to the Board Meeting. HUD-funded and County-funded community development programs report to two Committees prior to any Board action: the Health and Community Services (HCS) Committee and the Financial and Administrative (F&A) Committee.

The HCS Committee is comprised of 7 members of the Lake County Board. This Committee was reformed in December 2006 through the blended activities of the Community and Economic Development Committee and the Health and Human Services Committee, to include County Board policies and projects involving health, community development, housing, tourism, and economic development activities. Their first meeting occurred on January 9, 2007. The Committee expressed interest in homelessness and human service planning, particularly in relation to the County's land use, economic development, and transportation infrastructure planning. The Committee now meets the first and second Tuesdays prior to the County Board meeting, usually meeting on the first and last Tuesday of each month.

The F&A Committee is comprised of 7 members of the Lake County Board. This long-standing Committee addresses financial and administrative issues involving budgeting appropriations, contracts, litigation, employee relations, capital improvements, and general operating expenses. It is in the realm of contract approvals and budgetary adjustments that HUD-funded or County-funded community development projects are brought before this Committee. The F&A Committee continues to meet the first and second Wednesdays prior to the County Board meeting, usually meeting on the first and last Wednesday of each month.

### **County Board Commission Structure**

Given the extensive demands on the County Board at the Committee level, the Board often looks to its Commission structure to conduct its non-governmental matters. Of particular importance for the *2005 – 2009 Consolidated Plan* and each *Annual Action Plan*, are the Community Development Commission and the Affordable Housing Commission, which advise the Board on community development and housing matters. Activities of both these commissions will report frequently to the Health and Community Services Committee. A third commission for economic development issues disbanded in December 1998 and formed a public-private partnership, called the Lake County Partnership for Economic Development (Lake County Partners), which is still in existence today. The Lake County Partners will also report to the Health and Community Services Committee.

### **Community Development Commission**

According to its by-laws, The Community Development Commission (CDC) acts as a representative body of elected officials and citizens in ascertaining facts, preparing plans and programs, coordinating activities, setting priorities for funding, and undertaking such other activities as are necessary or appropriate, under the US Housing and Community Development Act of 1974, as amended. The CDC cooperates with Lake County and other units of local government to undertake, or assist in undertaking, essential community development and housing activities, including urban rehabilitation and renewal, and publicly-assisted housing for persons of low- and moderate-income, including the elderly and handicapped.

The CDC is composed of 15 members (5 County Board members; 5 Mayors, Village Presidents, or Township Supervisors; and 5 citizen representatives). The Commission has primary responsibility for developing and carrying out the County's community development policies, which are contained within the *2005 – 2009 Consolidated Plan*. Each year, the Commission is responsible for guiding the development of the *Annual Action Plan* and advising the Health and Community Services Committee and the Lake County Board regarding its formal acceptance. The Commission seeks public involvement, whenever possible, to better inform themselves on housing and community development needs. The full CDC meets monthly, depending on its needs, to discuss housing and community development issues, annual grant allocations, on-going grant oversight, and other related issues.

### **Homeless Advisory Planning Group**

The Advisory Planning Group (APG) was originally convened in January 1998 to oversee the county's Continuum of Care process under the auspices of the Community Development Commission and the Lake County Board. The group is comprised of representatives from over 30 area organizations including: homeless service providers, housing developers, local governments, banks, homeless and formerly homeless individuals, and other non-profit and community organizations. The Homeless Advisory Planning Group functions as an advisory arm of the Community Development Commission, keeping the Commission informed of its ongoing activities, and bringing pivotal decisions back to the Commission for input and approval. The Homeless Advisory Planning Group envisions its charge broadly as working on multiple levels to strengthen Lake County's Continuum of Care through:

- Ongoing needs assessment and analysis,
- Provision of technical assistance,
- Increased stakeholder participation,
- Development of strategies for addressing identified needs,
- Monitoring of progress toward strategic objectives and action steps,
- Coordination of various organizational structures related to homelessness, and
- Coordination of the annual HUD SuperNOFA application.

**Affordable Housing Commission**

The Affordable Housing Commission is the advisory board to the Community Development Commission and the Lake County Consortium regarding housing issues and the HOME program. The Affordable Housing Commission is comprised of representatives from the County Board, housing authorities, the real estate industry (including homebuilders, for-profit and non-profit developers, lenders, and realtors), and the general public. The work of the Affordable Housing Commission involves policymaking, legislative, and educational activities. The Board of Directors/Executive Committee of the Commission previously reorganized its meeting schedule to the third Tuesday morning of every other month and the full Commission now meets the third Tuesday morning of every other month. Each is on an off-set rotating semi-monthly schedule. The Lake County Board created the Affordable Housing Commission on November 12, 1991. The term of the Affordable Housing Commission has been extended four times, in five-year increments since its inception, to December 11, 2011.

The Affordable Housing Commission's mission statement is:

*The Affordable Housing Commission is the advocate of the Lake County Board to the public for more affordable housing and collaborates with county government in setting public policy and priorities for affordable housing in Lake County.*

The Commission's vision statement is:

*The Affordable Housing Commission will be the leading agency in Lake County government in advocating and assisting county, township, and municipal governments for more affordable housing.*

In December 1992, the Commission created, and currently maintains, a 501 (c)(3) nonprofit corporation, the Affordable Housing Corporation of Lake County. The work of the Corporation involves housing development and program implementation activities.

The Affordable Housing Corporation of Lake County's mission statement is:

*The Affordable Housing Corporation of Lake County initiates, implements, and administers programs to make available more affordable housing in Lake County.*

The Corporation's vision statement is:

*The Affordable Housing Corporation of Lake County will be a resource for those in need of affordable housing and those seeking to develop affordable housing in Lake County.*

**Lake County Housing Authority**

The Lake County Housing Authority is organized pursuant to State of Illinois Law. The Lake County Board Chairman, with the approval of the Lake County Board, appoints Housing Authority Board Members. The Housing Authority administers the Section 8 voucher program for Lake County, excluding the Cities of North Chicago and Waukegan.

**City of North Chicago**

The City of North Chicago, through its Community Development and Planning Department, directly administers the North Chicago entitlement Community Development Block Grant. The City uses Citizen's Advisory Committee recommendations and City Council actions to govern the allocation and use of CDBG funds.

**North Chicago City Council**

North Chicago follows a Mayor-Council form of government with seven political wards. The City Council meets the first and third Monday of the month. First discussed in Community Development Committee Meetings where aldermen have the opportunity to ask staff questions, the City Council is the final governmental body to review recommendations as submitted by the Citizen's Advisory Committee (CAC). They also approve the *2005 – 2009 Consolidated Plan* and each *Annual Action Plan* prior to its submission to HUD.

**Citizen's Advisory Committee (CAC)**

The Citizens Advisory Committee (CAC) is composed of five (5) local residents and three (3) representatives from the business / industrial community. These members are responsible for reviewing all submitted applications and deciding on the CDBG funding levels for each activity in all five (5) program areas of the Community Development Block Grant (CDBG). These five areas include: Housing (housing administration and monitoring, regular rehabilitation, emergency rehabilitation, housing education and training), Economic Development, Public Improvements (streets and alleys, sidewalk improvements, streetscape improvements, public facilities), Public Services (social service agencies), and General Administration.

The intent of the Citizens Advisory Committee (CAC) is to find a cross section of the community so that the needs of North Chicago residents are better met. The CAC meets approximately 4-5 times per program year.

**North Chicago Housing Authority**

The organizational relationship between the City of North Chicago and the North Chicago Housing Authority (NCHA) is one where the Mayor of the City of North Chicago actually appoints the commissioners of the NCHA Board of Directors. However, the North Chicago Housing Authority has its own Executive Director who oversees the daily operations of the Housing Authority. The North Chicago Housing Authority administers the Section 8 voucher program for the City of North Chicago. Future Housing Authority redevelopment plans and property modernization efforts are available for review and comment by local citizens and the City of North Chicago.

**City of Waukegan**

The City of Waukegan directly administers the Waukegan entitlement Community Development Block Grant through its Community Development Department. The City Council is responsible for the oversight of these funds. The Stakeholder Participation Panel acts in an advisory capacity regarding CDBG funding.

**Waukegan City Council**

The City of Waukegan is structured as a Mayor-Council form of government. The Mayor's position is a full-time position, elected at-large for a four-year term. The City Council is comprised of nine aldermen, elected from within their separate wards as a part-time, four-year position. The Mayor's office is located within City Hall, and the City Council meets the first and third Monday evening of each month.

The Mayor and City Council have final approval of all decisions regarding the allocation of CDBG funds. They also approve the *2005 – 2009 Consolidated Plan* and each *Annual Action Plan* prior to submission to HUD.

**Stakeholder Participation Panel**

The City of Waukegan CDBG Office also maintains a Stakeholder Participation Panel (SPP). This panel is comprised of interested citizens who help conduct public meetings and hearings, review applications for funding, conduct interviews with representatives of applicant agencies, and make recommendations for funding to the Mayor and City Council. The size of the SPP varies, but averages about 17 citizens.

**Waukegan Housing Authority**

The Mayor, with the approval of the City Council, appoints the Waukegan Housing Authority commissioners. There is also a memorandum of agreement between the City and the Housing Authority, which provides for the utilization of City police in the protection of Housing Authority properties. The Waukegan Housing Authority administers the Section 8 voucher program for the City of Waukegan.

**Coordination with Housing Authorities**

The three governmental entities comprising the Lake County Consortium are also afforded the opportunity to review and comment on the Housing Authority Agency Plans prior to their submission to HUD. Lake County reviews the housing authorities' Annual and Five-Year Plans, and provides annual Certificates of Consistency with the *2005 – 2009 Consolidated Plan* to the housing authorities for submission to HUD in connection with these plans. Periodic joint meetings are scheduled, to the extent feasible, during the course of the program year in order to ensure a continuing dialogue between local government and the housing authorities.

In addition, the Executive Director of the Lake County Housing Authority is a member of the Lake County Affordable Housing Commission (AHC). Neither Executive Director of the North Chicago nor the Waukegan Housing Authorities are currently on the Affordable Housing Commission Board of Directors.

**Coordination with Other Assisted-Housing Providers**

Representatives of the housing development industry, including assisted-housing developers, sit on the Affordable Housing Commission. Prior to the *2005 – 2009 Consolidated Plan*, the Executive Director of the AHC met with developers to discuss potential housing projects, joined on numerous occasions by local government staff. However, due to federal funding reductions, Lake County staff now directs and maintains contact with HOME and CDBG project owners and developers throughout the application, funding, and administration cycles. The Executive Director of the AHC and local government staff will join the County in these meetings.



**Coordination with Private and Governmental Health, Mental Health, and Service Agencies**

Many health and social service agencies are participants in the Continuum of Care planning process and in the Lake County Consolidated Application process. Many meet regularly throughout the year as a group, or in smaller groups, with Consortium staff members. Many of the issues discussed at these meetings have application beyond homeless and other social services, and have proved helpful in raising issues related to the development of the *2005 - 2009 Consolidated Plan* and each *Annual Action Plan*.

Since many of these agencies receive funding from Consortium members, regular contact is maintained with them throughout the year.

Periodic meetings are also held between staff from Consortium members and the United Way of Lake County. The Lake County Consortium previously developed a joint funding application form with the United Way of Lake County. It still holds various workshops with agencies in conjunction with that funding application process.

The numerous agencies applying for Consortium funding are interviewed by each of the Consortium members' citizen advisory bodies regarding their program activities. This process ensures that agencies coordinate with other health, mental health, and service agencies on a regular basis.

**Lake County Child and Adolescent Local Area Network (LAN)**

The State of Illinois established the formation of Local Area Networks (LAN's) to better coordinate services for children and adolescents. The LAN's originally involved bringing together the Department of Children and Family Services, Illinois State Board of Education, Department of Mental Health, and Department of Alcohol and Substance Abuse. Currently LAN members and participants include schools, community members, social service agencies, state agencies, and parents.

The LAN's are voluntary, inclusive, and community-based bodies with the express purpose of improving the welfare of children. They were created to increase collaboration and communication between mental health, child protection, and education providers, making services to children and adolescents more efficient and effective.

LAN's create their own community-based systems of care for children and adolescents who are experiencing severe emotional and behavioral difficulties. These children are at risk of being removed from their family, home, school, and community. LAN's incorporate community services with natural supports within that individual's family and community to achieve a positive set of outcomes. By providing more comprehensive and collaborative services, LAN's have been successful in helping maintain at-risk children and adolescents in their homes and communities and avoiding unnecessary and costly residential placements.

The Lake County Local Area Network (LAN 35) meets monthly for service providers to share resources and coordinate services for all of Lake County. Individual cases are coordinated within three separate more local councils.

## APPENDIX A: SUMMARY OF PUBLIC COMMENTS ON THE PROPOSED ANNUAL ACTION PLAN

Public hearings were held on the following dates to comply with HUD citizen participation requirements:

### LAKE COUNTY CONSORTIUM / LAKE COUNTY

**January 21, 2009 - Lake County Administration Building, Waukegan, IL:** Lake County Community Development Commission, Joint Public Hearing with the Cities of North Chicago and Waukegan presenting the Draft 2009 Annual Action Plan for review and comment.

**PUBLIC COMMENTS:** Public comments were received as follows:

- Mr. David Ross, Board Chairman for COOL, asked the CDC for funding reconsideration, as the agency's food pantry did not receive a funding recommendation. Mr. Ross stated that in 2008 the agency's two pantries, located in Waukegan and Ingleside, provided food for 12 balanced meals per visit to 28,000 individuals, equaling 336,000 meals. COOL also provided food for a variety of special needs, including diabetic and low-salt diets. Unfortunately, due to the current economic conditions, corporate donations are down, while community need has increased.
- Mr. Silas Herndon, from Waukegan Township Staben Center, expressed his appreciation for the funding recommendation that the Center received to help support its transitional housing program for homeless men.
- Mr. Barry Baker, a resident of Staben Center, told the CDC of his recent job loss, leading to the loss of his home. Mr. Barker said that while, historically, many homeless people have long-term addiction and anger management issues, the current economy is leading to a new group of homeless individuals, those who were recently life-long contributors to the economy.
- Ms. Heather Barcal, of the Lake County Center for Independent Living, thanked the CDC for the funding recommendation for the agency's employment-readiness program for persons with disabilities. Ms. Barcal stated that finding employment is difficult in the current economy and that disabled people face additional barriers in securing a job. The Center's clients benefit from "the hand up, not the hand out."
- Ms. Rosa Reyes-Prosen, Executive Director of SER – Jobs for Progress, offered her appreciation for the funding recommendation for SER-Fair Housing Center. She stated that the staff of the Fair Housing Center could not provide its services to the community without this financial support.
- Mr. Warren Karberg, Director of SER-Fair Housing Center, thanked the CDC for the funding recommendation, adding that the Center's staff would continue its work to further fair housing practices within Lake County.
- Ms. Jackie Pyter, Chair of HealthReach's Pharmacy Committee, spoke on behalf of HealthReach's Board, thanking the CDC for the funding recommendation and for the continuing support for the County's medically-uninsured citizens.
- Ms. Lori Harper, Operations Manager of HealthReach, stated that during the past year the agency helped 4,500 patients, 954 of whom were new patients. Over 27,500 prescriptions were filled and 3,200 patients were referred to specialists. The agency opened a new optometry clinic, issuing glasses at a very low cost to patients and, through a partnership with Northwestern Hospital, provided eye surgeries for 30 patients. Northwestern has agreed to provide once-monthly care during 2009 to HealthReach's patients. The agency's dental clinic completed its first year of service, providing

almost 300 children with exams, including fillings and, in several cases, orthodontia. At summer's end, the clinic began providing services for adults, which will hopefully continue if volunteers are available.

- Ms. Hester Bury, of the Northern Illinois Food Bank (NIFB), thanked the CDC for the funding recommendation, explaining how the agency would be able to help the County. NIFB's membership in Feeding America and its buying power with manufacturers will permit the agency to purchase eight pounds of food for each grant dollar. NIFB will spread this impact across the whole County to all partner agencies, which include food pantries, shelters, group homes, and soup kitchens.
- Ms. Lisa Tapper, of the Affordable Housing Corporation (AHC), offered her thanks for the funding recommendations for AHC's homebuyer and rehabilitation programs. Ms. Tapper said that the awards will be used to leverage dollars from other sources, allowing increased impacts for the County. AHC also looks forward to working with the Neighborhood Stabilization Program.
- Mr. John Bauters, of Prairie State Legal Services, thanked the CDC for the proposed grant award and then gave several examples of recent clients who have been helped through representation by the agency. Prairie State's cases include homeless outreach, helping disabled individuals receive federal benefits, and obtaining orders of protection for the abused.
- Mr. Cedric Lee, of PADS Crisis Services, thanked the Consortium for PADS' funding recommendations. Last year, the agency experienced a financial crisis due to the economy's downturn, which led to many people losing their homes. The agency worked diligently during the past year to increase the number of available beds and also to coordinate efforts with other agencies, such as HealthReach and Prairie State Legal Services, allowing clients to move through the system more efficiently.
- Commissioner Tom Hyde stated that community needs continue to increase while federal funding continues to decrease, necessitating many difficult decisions. The challenge is to assist the most citizens possible with the limited funds.
- Chairman Dietz said that the review process has continued to improve and now relies heavily on the point system, which he believes works very well. The CDC and staff strive to continually improve the application and review process.
- Commissioner McCue agreed with the Chairman concerning the point system, stating that it helps provide quantitative information needed to make the funding recommendation decisions, which have become more difficult due to the funding reductions. Commissioner McCue said that she felt that the point system will continue to improve and that she wished that more could be done, but limited funding restricts the awards.
- Commissioner Mary Cunningham stated that while it was unfortunate that all agencies could not receive a funding recommendation, the CDC is trying to fund as many agencies as possible. Commissioner Cunningham knows that COOL provides a needed service and she regrets that limited federal funding might keep the agency from receiving a grant for its food pantry. She offered her concern for the families that might not be able to receive the agency's assistance.
- Commissioner Audrey Nixon voiced her concerns about the current state of human services, not just in Lake County, but throughout the country. Limited funding has caused the closure of many agencies. Commissioner Nixon stated that the CDC truly cares about the needs of each agency's clients and tries to fund as many agencies as possible with the limited resources available.
- Commissioner Lenny Krapf said that food is necessary to sustain life and that many people need help from the food banks. He believes that all food banks should receive assistance and hopes that the new federal administration will better realize this basic need and stop reducing funding. Commissioner Krapf added that he has enjoyed working with the Commission and staff, and that each individual involved "has a heart".

- Chairman Dietz asked staff for any comments. Mr. Ezell Robins, of the City of Waukegan, said that he had no comments, but that staff was available for any questions.
- Mr. Karl Molek said that Public Infrastructure funding amounts had been adjusted slightly, following the Executive Committee's directions to staff, within the draft Action Plan. Mr. Molek offered former Chairman Marilyn Moiso's best wishes to the CDC.

**February 25, 2009 - Lake County Administration Building, Waukegan, IL:** Lake County Community Development Commission, Final Joint Public Hearing with the Cities of North Chicago and Waukegan presenting the 2009 Annual Action Plan for final review, comment, and approval.

**PUBLIC COMMENTS:** Public comments were received as follows:

- Lake County staff provided additional comments during the Public Hearing and the Public Comment portions of the February 25<sup>th</sup> meeting. The following significant changes, as previously approved at the January 21<sup>st</sup> meeting by the Community Development Commission's Executive Committee and by the Community Development Commission, will be shown in the final 2009 Annual Action Plan that is approved by the Lake County Board and submitted to HUD:
  - changes and adjustments necessary to describe revised funding amounts due to a reduction in the ESG entitlement allocation (\$114 less than originally estimated);
  - an additional ESG note regarding the use of reprogrammed ESG funds utilized for the ESG project recommendations;
  - occasional, yet minor, word changes or additional words were inserted to standardize/clarify project descriptions, phrases, content, sentence structure, past/present tense, specific name of the HUD funded program, or other funding sources throughout the Action Plan;
  - material changes or additions deemed necessary by Lake County, North Chicago, Waukegan, or HUD staff;
  - the inclusion of the final Funding Recommendation Pie Charts and required project location maps;
  - the inclusion of January 21<sup>st</sup> and February 25<sup>th</sup> Public Hearing Citizen Participation comments;
  - the inclusion of updated and finalized information in the Performance Measurement Table of Appendix D; and
  - the inclusion of updated and finalized information in the Cross-Reference Table identifying HUD Requirements and the contents of Lake County Consortium's 2009 Annual Action Plan.
- Mr. Bob McCammon, of the Youth Conservation Corps, introduced Ms. Jackie Cunningham, of AmeriCorps/Vista, and then thanked the Commission for their work and the funding recommendation, which will help approximately forty of Lake County's youth to have a second chance to achieve a productive life. The funds will be used to train youth in the construction of an environmentally-friendly home, to be sold to a low-income family.
- Ms. Noelle Moore, of A Safe Place, offered the agency's appreciation for the \$200,000 HOME award for A Safe Place's second phase building project, which will provide at least twenty units of permanent supportive housing to victims of domestic violence.
- Mr. Rob Anthony, Executive Director of Highland Park Illinois Community Land Trust (HPICLT), thanked the Commission for the funding recommendations for the agency's scattered-site affordable housing program and for CHDO operating funds. He distributed brochures about the agency's land trust model, which keeps their homes affordable in perpetuity. Mr. Anthony said that eight of the ten "for-sale" units at HPICLT's Hyacinth Place townhomes were sold, with the remaining four units for

rent. Many green elements were incorporated into the project, resulting in two recognitions awards and also mentions in several national publications.

- Mr. Douglas Ross, President of Ross Financial Services, thanked the CDC for the proposed award supporting his company's Bloom Street project, a mixed-use rental and retail project, to be constructed in partnership with HPICLT. The project, located on the northern end of Highland Park, will provide eighteen "work-force" apartments above retail space and will feature many green elements.
- Ms. Mary Ellen Tamasy, of Lake County Residential Development Corporation (LCRDC), thanked the CDC for the funding support for LCRDC's 120-unit Victory Centre of Vernon Hills Senior Apartments. The project is a joint venture with the Village of Vernon Hills, which donated the land for this independent-living project, and a for-profit company, Pathway Senior Living. The project will be brought before the Village Council for final approval on March 3, 2009, after which an application will be submitted to the State of Illinois for additional financing.
- Ms. Heather Barcal, of Lake County Center for Independent Living, thanked the CDC for the funding recommendation for the Center's Employment Readiness Program. Nationwide, individuals with disabilities have a 77% unemployment rate, so there is great demand for the agency's program, which assists clients in the preparation of resumes and helps to refine their interview skills.
- Ms. Lori Harper, of HealthReach, introduced the Office Supervisor, Ms. Isa Garcia, who thanked the CDC for the agency's funding recommendation. Due to the economy, many citizens have lost their health insurance, causing a three-month delay in scheduling an initial appointment. The grant will help provide healthcare for these new clients, who are very grateful for the agency's assistance.
- Mr. John Rivers, of CREW, stated that CREW provides alcohol and drug treatment programs, and could not have achieved as much as it has without the CDC's support. The agency recently relocated to a larger facility, which allows them to help more people. CREW has seen an increase in the number of adolescents, as well as adults, in their programs. Mr. Rivers thanked the CDC for their support.
- Mr. John Bauters, of Prairie State Legal Services, offered thanks for the financial support that helps provide legal services to Lake County's citizens, including the homeless, seniors, low-income families, people with disabilities, and victims of domestic violence.
- Commissioner McCue said that she is glad for the programs that can be funded; while expressing her regrets that there were not sufficient funds to help every agency. Commissioner McCue added that during her three-year tenure with the CDC, she has come to appreciate the fairness of the point system when reviewing grant applications. She thanked the agencies for all of their work and for applying to the County for funding.
- Commissioner Hyde stated that the CDC's job was very challenging, trying to place the limited funds where it was believed that they would do the most good for the community. He thanked the agencies for their comments. Chairman Dietz agreed with Commissioner Hyde's sentiments.
- Commissioner Mary Cunningham said that she was glad to help place federal funds with agencies that do such good work and which would ensure that the money would aid those in need of assistance. Commissioner Cunningham thanked the agencies for their efforts on behalf of Lake County's citizens.
- Commissioner Vincent Donofrio thanked the agencies for their vast efforts on behalf of the people of Lake County.
- Commissioner Linda Pedersen stated that the Commission's efforts were minor in comparison with the work done by the agencies, whom she thanked.

- Commission Audrey Nixon said that she was touched by the agencies' comments, offered in appreciation of the funding recommendations. She requested that any additional funds that might become available go to agencies that had not received a funding recommendation. Commission Nixon added that many of the County Board's members would soon travel to Washington and would request additional funds from the federal government, in support of the County's public service agencies. She expressed appreciation for the agencies' efforts and great work.
- Chairman Dietz asked if there were any other public comments, to which there was no response.
- At the closing of the Public Hearing, Mr. Witkowski informed the Commission of the proceedings of the Executive Committee meeting, which occurred prior to the full Commission meeting. The Executive Committee did not propose any further alterations to the *Draft* 2009 Annual Action Plan.

**March 17, 2009 - Lake County Administration Building, Waukegan, IL:** Lake County Board approval of the 2009 Annual Action Plan.

**OTHER CORRESPONDENCE:** Other correspondence received by Lake County Community Development staff and the Cities of North Chicago and Waukegan, during the thirty-day public comment period, included the following.

The following individuals submitted written correspondence to Lake County regarding the Community Development Commission's funding recommendations:

- Ms. Diane Weber, Executive Director of COOL, various COOL staff, and various members of its Board of Directors individually expressed disappointment through emails or written correspondence in not receiving a CDBG funding recommendation for its East and West Food Pantries. Ms. Weber, COOL staff, and members of the Board of Directors requested funding reconsideration as the agency not only provides free food to those in need, but it assists households meet their basic food needs by providing nutritionally well balanced meal supplies (breakfast, lunch, and dinner) for four full days at each visit. COOL reports that it provided nearly 30,000 men, women, and children over 300,000 meals for families in need.

The following individuals submitted written correspondence to North Chicago regarding North Chicago's funding recommendations:

- No written correspondence was submitted to North Chicago.

The following individuals submitted written correspondence to Waukegan regarding Waukegan's funding recommendations:

- No written correspondence was submitted to Waukegan.

## **NORTH CHICAGO**

**January 21, 2009 - Lake County Administration Building, Waukegan, IL:** Joint Public Hearing with Lake County and the City of Waukegan presenting the Draft 2009 Annual Action Plan for review and comment.

**PUBLIC COMMENTS:** North Chicago was an attendee and a participant in Lake County's Public Hearing. Public comments are referenced in the Lake County Public Comment section.

**February 2, 2009 - North Chicago, IL:** North Chicago City Council Meeting to present, review and approve the funding recommendations and narrative statements for the North Chicago component of the Draft 2009 Annual Action Plan.

**PUBLIC COMMENTS:** No citizen comments or correspondence were offered at the North Chicago City Council Meeting regarding the North Chicago component of the 2009 Annual Action Plan.

**February 25, 2009 - Lake County Administration Building, Waukegan, IL:** Final Joint Public Hearing with Lake County and the City of Waukegan presenting the 2009 Annual Action Plan for final review, comment, and approval.

**PUBLIC COMMENTS:** Due to illness, North Chicago was not an attendee in Lake County's Public Hearing. There were no public comments regarding North Chicago's CDBG funded projects.

**February 16, 2009– North Chicago, IL:** North Chicago City Council Meeting to approve the North Chicago and the HOME funding components of the 2009 Annual Action Plan.

**PUBLIC COMMENTS:** No citizen comments or correspondence were offered at the North Chicago City Council Meeting regarding the 2009 Annual Action Plan.

## **WAUKEGAN**

**December 15, 2008 - Waukegan, IL:** Waukegan City Council reviewed and approved the funding recommendations and narrative statements for the Waukegan component of the 2009 Annual Action Plan.

**PUBLIC COMMENTS:** No citizen comments or correspondence were offered at the Waukegan City Council Meeting regarding the Waukegan component of the 2009 Annual Action Plan.

**January 21, 2009 - Lake County Administration Building, Waukegan, IL:** Joint Public Hearing with Lake County and the City of North Chicago presenting the Draft 2009 Annual Action Plan for review and comment.

**PUBLIC COMMENTS:** Waukegan was an attendee and a participant in Lake County's Public Hearing. Public comments are referenced in the Lake County Public Comment section.

**February 25, 2009 - Lake County Administration Building, Waukegan, IL:** Final Joint Public Hearing with Lake County, the City of North Chicago and the City of Waukegan presenting the 2009 Annual Action Plan for final review, comment, and approval.

**PUBLIC COMMENTS:** Waukegan was an attendee and a participant in Lake County's Public Hearing. Public comments are referenced in the Lake County Public Comment section.

**March 2, 2009 - Waukegan, IL:** Waukegan City Council Meeting to approve the 2009 Annual Action Plan.

**PUBLIC COMMENTS:** No citizen comments or correspondence were offered at the Waukegan City Council Meeting regarding the 2009 Annual Action Plan.

## APPENDIX B: LAKE COUNTY RESALE AND RECAPTURE GUIDELINES FOR HOMEBUYERS

These guidelines apply when a homebuyer or developer is assisted with HOME Investment Partnerships Program funds and the homebuyer sells or transfers the assisted property subsequent to the initial HOME-assisted purchase.

### Period of Affordability

Consistent with 24 CFR Part 92.254(a)(4), the following minimum period of affordability shall be enforced:

<u>HOME amount per unit</u>	<u>Minimum Period of Affordability</u>
Under \$15,000	5 years
\$15,000 to \$40,000	10 years
Over \$40,000	15 years

The Lake County Consortium may use HOME Program funds to provide homeownership assistance. The forms of subsidy to be used to assist homebuyers and/or developers include down payment assistance, interest subsidy, development cost subsidy, direct loan, project grant, or some combination of these methods. Lake County shall determine, based upon the type of subsidy, form of ownership, and type of market in which the property is located, whether the period of affordability shall be enforced by either Resale or Recapture provisions.

### Resale Provisions

- A) Activity Types - Resale provisions as outlined in 24 CFR Part 92.254(a)(5)(i)(A) and (B) shall be required or encouraged in the following cases:
- Resale provisions shall be required when the HOME subsidy is provided in the form of a development subsidy in which HOME funds are divided among each HOME-assisted unit and not provided as a direct subsidy to the homebuyer.
  - Resale provisions shall be encouraged when the HOME subsidy is provided in the form of a direct subsidy to the homebuyer **and** a Community Land Trust or other similar entity maintains ownership of the HOME-assisted property to ensure its continued affordability in perpetuity.
  - Resale provisions shall be encouraged when the HOME subsidy is provided in the form of a direct subsidy to the homebuyer **and** it is determined that the property is located in a highly appreciating market for the purpose of maintaining the unit's affordability throughout the entire period of affordability.
- B) Methods – The resale option ensures that the HOME-assisted unit remains affordable over the entire period of affordability. All HOME-assisted units “designated as affordable units” shall meet the following criteria:
- The new purchaser must be low-income, meeting the HOME Program definition, and occupy the property as the family's principle residence.
  - The sales price must be “affordable” to the new purchaser. In this instance, affordability of the new purchaser is defined as the income-eligible household's



maximum mortgage capacity as defined by a mortgage lender using generally-accepted underwriting criteria.

- Net proceeds from the sale must provide the original homebuyer, now the home seller, a “fair return” on their investment (including any downpayment and capital improvement investment made by the owner since purchase).

- C) Enforcement Mechanisms - Resale requirements shall be enforced through deed restriction, covenant, land use restriction agreement, or other similar mechanism filed with the Lake County Recorder of Deeds and the requirements within shall be triggered upon sale or transfer of the HOME-assisted property.

### Recapture Provisions

- A) Activity Types - Recapture provisions as outlined in 24 CFR Part 92.254(a)(5)(ii)(A)(1) through (7) shall be enforced in cases where HOME funds are provided as a direct subsidy to the homebuyer as downpayment and/or purchase-price assistance.
- B) Methods – The recapture option allows Lake County to recapture the entire HOME subsidy if the HOME recipient decides to sell the unit within the period of affordability at whatever price the market will bear. All HOME-assisted unit sales under the recapture option shall meet the following criteria:
- The homebuyer may sell the property to any willing buyer.
  - The sale of the property during the period of affordability triggers repayment of the direct HOME subsidy to Lake County that the buyer received when he/she originally purchased the home.
- C) Enforcement Mechanisms - Recapture provisions shall be enforced through mortgage documents or other similar mechanisms filed with the Lake County Recorder of Deeds, and the requirements within shall be triggered upon sale or transfer of the HOME-assisted property.
- D) Amount of Repayment – Lake County requires full repayment of the direct HOME subsidy when resale occurs during the period of affordability.
- E) Sharing of Net Proceeds – When the net proceeds (i.e., the sales price minus loan repayment, other than HOME funds, and closing costs) are insufficient to repay the direct HOME subsidy and the homebuyer’s investment, the net proceeds shall be shared according to the following formula. Recapture of the HOME funds is based on the ratio of the HOME subsidy to the sum of the homeowner’s investment (including downpayment and any capital improvement investment made by the owner since purchase), plus the HOME subsidy:

$$\frac{\text{HOME Subsidy}}{\text{HOME Subsidy} + \text{Homeowner Investment}} \times \text{Net Proceeds} = \text{HOME Recapture}$$

- F) Mortgage Release - Upon receipt of recaptured funds, Lake County shall file a “Release” document with the Lake County Recorder of Deeds to release the original

HOME-assisted homebuyer from the requirements of the mortgage or other similar mechanism.

- G) Repayments – Repayments of recaptured funds shall be remitted directly to Lake County to be utilized for HOME-eligible activities only.

#### Communication of Resale/Recapture Provisions

HOME Resale and Recapture provisions are conveyed to the public and potential applicants during the application process through the Housing Fund Program Guidelines. In addition, funded project sponsors who administer homebuyer programs are informed of HOME Resale and Recapture provisions verbally at a Post-Award Conference and in writing in the Housing Fund Management Guide, which is provided to each project sponsor. Finally, the applicable Resale or Recapture provisions are incorporated into all HOME contract documents for homeownership projects/programs.

## **APPENDIX C: RESIDENTIAL ANTI-DISPLACEMENT AND RELOCATION ASSISTANCE PLAN**

As a pre-submission requirement when applying for funding through the Community Development Block Grant Program (CDBG) and the HOME Investment Partnerships Program (HOME), the County is required to develop, adopt, make public, and certify that it is following the Residential Anti-Displacement and Relocation Assistance Plan. Recent amendments to the Housing and Community Development Act coupled with the expansion of the Uniform Relocation Act, effective April 1989 represent the strongest anti-displacement measures yet to be applied to HUD assisted activities.

The plan must address: (1) the County's policies to minimize and avoid displacement of persons from their homes and neighborhoods; (2) the replacement of any low- and moderate-income occupiable housing units that are demolished or converted to another use utilizing CDBG and/or HOME funds and any relocation that might be associated with the loss of those housing units; and (3) any relocation or displacement as a direct result of any federally-assisted project. A Glossary of Terms and a Summary of Appeals Process are attached.

### **I. STEPS TO BE TAKEN TO MINIMIZE THE DISPLACEMENT OF PERSONS FROM THEIR HOMES**

The following general steps will be taken by the County to avoid displacement or to mitigate its adverse effects if it is deemed necessary.

- A. Priority will be placed on the rehabilitation of housing, where feasible, to avoid the displacement of persons from their homes.
- B. Property acquisition will be highly selective, targeting only those properties deemed essential to the success of the project.
- C. Priority will be placed on the purchase of unoccupied buildings; if a suitable, unoccupied site cannot be found, priority will be given to purchasing an occupied property from a willing seller.
- D. When a property must be acquired quickly, for whatever reason, efforts will be made to avoid displacement until the property is actually needed.
- E. The acquisition and/or demolition of properties will be timed so as to allow the maximum amount of time for tenant or owner relocations.
- F. Temporary displacements will be for as brief a period of time as is possible.

- G. Except in emergency cases, owners or tenants of properties who may be displaced will be given at least a ninety-day notice prior to being required to vacate.
- H. In determining whether or not temporary relocation is necessary to facilitate the rehabilitation of a dwelling, the County will consider any hardships likely to result if the person occupies the property during the rehabilitation process.
- I. The County shall review all claims for relocation assistance in an expeditious manner. The claimant shall be promptly notified as to any additional documentation that is required to support his/her claim. Payment of the claim will be made within 30 days following receipt of sufficient documentation to support the claim.
- J. If a person demonstrates the need for an advance relocation payment in order to avoid or reduce a hardship, the County will issue the payment, subject to such safeguards as the County deems are appropriate, to ensure that the objective of the payment is accomplished.
- K. All persons to be displaced as a result of a federally-funded activity shall be offered relocation assistance advisory services as outlined in 49 CFR 24.205 of the Uniform Act.

## **II. STEPS TO BE TAKEN WHEN LOW- OR MODERATE-INCOME HOUSING UNITS ARE DEMOLISHED OR CONVERTED TO ANOTHER USE, AS A DIRECT RESULT OF ACTIVITIES ASSISTED WITH CDBG AND/OR HOME FUNDS**

### **A. ONE-FOR-ONE REPLACEMENT COMPONENT**

Lake County will replace all occupied and vacant habitable low/moderate-income dwelling units demolished or converted to a use other than as low/moderate-income housing when they are lost as a direct result of activities assisted with funds provided under the Housing and Community Development Act of 1974, as amended, as described in 24 CFR 570.606(b)(1).

The replacement dwelling units may include public housing, existing housing receiving Section 8 project-based assistance or Section 8 vouchers, and units raised to standard from substandard condition through rehabilitation. All replacement housing will be provided within three years of the commencement of the demolition or rehabilitation relating to conversion. Before obligating or expending funds that will directly result in such demolition or conversion, the County of Lake will make public and submit to the HUD Field Office the following information in writing:

1. A description of the proposed assisted activity;
2. The general location on map and actual number and addresses of dwelling units by size (number of bedrooms) that will be demolished or converted to a use other than as low/moderate-income dwelling units as a direct result of the assisted activity;

3. A time schedule for the commencement and completion of the demolition or conversion;
4. The general location on a map and actual number and addresses of dwelling units by size (number of bedrooms) that will be provided as replacement dwelling units;
5. The source of funding and a time schedule for the provision of replacement dwelling units; and
6. The basis for concluding that each replacement dwelling unit will remain a low/moderate-income dwelling unit for at least 10 years from the date of initial occupancy.

## **B. RELOCATION ASSISTANCE COMPONENT**

Lake County will provide relocation assistance, as described in 24 CFR Part 570.606 (b)(2), to each low/moderate-income household displaced by the demolition of housing or by the conversion of a low/moderate-income dwelling unit to another use as a direct result of CDBG and/or HOME-assisted activities. The low/moderate income-household may elect to receive relocation assistance as described in 24 CFR Part 42 (Uniform Relocation Act as summarized in Part III of this document) or may elect to receive the following relocation assistance.

1. Moving expenses - Subject to the limitations and definitions contained in 49 CFR. Part 24, Subpart D, a displaced owner-occupant or tenant of a dwelling unit is entitled to either:
    - a. Reimbursement of the actual, reasonable, moving expenses for the transportation of themselves and their personal property, including packing, storage (if necessary), insurance, and other eligible expenses.
- OR**
- b. A fixed moving-expense payment determined according to the applicable schedule approved by the Federal Highway Administration based on the number of rooms of furniture.
  2. The reasonable cost of any security deposit required to rent the replacement unit, and any credit checks required to rent or purchase the replacement unit.
  3. Persons are eligible to receive one of the following two forms of replacement housing assistance:
    - a. Each person must be offered rental assistance equal to 60 times the amount necessary to reduce the monthly rent and estimated average monthly cost of utilities for a replacement of dwelling (comparable replacement dwelling or decent, safe, and sanitary replacement dwelling to which the person relocates, whichever costs less). All or a portion of this assistance may be offered through a certificate or housing

voucher for rental assistance (if available) provided through the local Public Housing Agency (PHA) under Section 8 of the United States Housing Act of 1937. If a Section 8 certificate or housing voucher is provided to a person, the County must provide referrals to comparable replacement dwelling units where the owner is willing to participate in the Section 8 Existing Housing Program. To the extent that case assistance is provided, it may, at the discretion of the County, be in either a lump sum or in installments.

**OR**

- b. If the person purchases an interest in a housing cooperative or mutual housing association and occupies a decent, safe, and sanitary dwelling in the cooperative or association, the person may elect to receive a lump sum payment. This lump sum payment shall be equal to the capitalized value of 60 monthly installments of the amount that is obtained by subtracting the "Total Tenant Payment" from the monthly rent and estimated average monthly cost of utilities at a comparable replacement dwelling unit.
- 4. Advisory Services - All eligible displaced households shall be provided appropriate advisory services, including notification of the planned project with a description of the relocation assistance provided, counseling, and referrals to at least one suitable comparable replacement dwelling.

**III. STEPS TO BE TAKEN WHEN RELOCATION OCCURS FROM ACQUISITION, REHABILITATION OR DEMOLITION ACTIVITIES UTILIZING COMMUNITY DEVELOPMENT BLOCK GRANT AND/OR HOME INVESTMENT PARTNERSHIPS PROGRAM FUNDS (UNIFORM RELOCATION ACT)**

The Uniform Relocation Act applies to all federal or federally-assisted activities that involve acquisition of real property or the displacement of persons, including displacement caused by rehabilitation or demolition activities funded by the Community Development Block Grant Program, or the HOME Program.

If, as a direct result of any CDBG- or HOME-funded activity, it becomes necessary to relocate persons, they shall be eligible for relocation benefits as outlined below (49 CFR Part 24, Subpart C-E):

**A. PERMANENT DISPLACEMENT**

- 1. Moving Expenses - Subject to the limitation and definitions contained in 49 CFR. Subpart D, a displaced owner-occupant or tenant of a dwelling is entitled to either:
  - a. Reimbursement of actual, reasonable, moving expenses for the transportation of themselves and their personal property, including packing, storage (if necessary), insurance, and other eligible expenses.

**OR**

- b. A fixed moving-expense payment determined according to the applicable schedule approved by the Federal Highway Administration based on the number of rooms of furniture.
- 2. Advisory services as outlined in 49 CFR Part 24, Subpart C including notification of the planned project with a description of the relocation assistance provided, counseling and referrals to at least one comparable replacement dwelling.
- 3. Replacement Housing Payment - Subject to the limitations of 49 CFR Part 24 Subpart E of the Act, a displaced owner-occupant or tenant is eligible for one of the following replacement housing payment:
  - a. 180-Day Homeowner - Occupants
    - i. If the person has actually owned and occupied the displacement dwelling for not less than 180 days prior to the initiation of negotiations to acquire the property and purchases and occupies a replacement dwelling within one year, he/she is eligible for a replacement housing payment which represents the combined cost of (1) the differential amount, (2) increased interest costs, and (3) reasonable incidental expenses as outlined in Section 24.401;

**OR**

- ii. If the person is eligible for this section, but elects to rent a replacement dwelling, he/she is eligible for a rental assistance payment computed in accordance with Section 24.401(a)(2)(ii) below:
- b. 90-Day Occupants (homeowners and tenants) - A displaced tenant or owner-occupant, not qualified for the above 180-day homeowner-occupant payment, is eligible for either:
  - i. A rental assistance payment computed in the following manner:
    - 1. the lesser of either the monthly cost of rent and utilities for a comparable replacement dwelling or the monthly cost of rent and utilities for a decent, safe, and sanitary unit the person actually moves into.
    - 2. the lesser of either thirty percent of the person's average gross household income or the monthly cost of rent and utilities at the displacement dwelling.
    - 3. line (1) minus line (2)

4. Sixty (60) times the amount of line (3)

**OR**

- ii. A down payment assistance payment for the person electing to purchase a home in the amount the person would receive paragraph (b)(i) above, if the person received rental assistance.
4. If the County determines the replacement housing payment, as outlined above and specifically in 49 CFR Part 24 Subpart E, would not be sufficient to provide a comparable replacement dwelling on a timely basis, the County will take appropriate measures as outlined in Subpart E 49 CFR 24.404. These measures may include but are not limited to, the following:
  - Rehabilitation of and/or additions to an existing replacement dwelling;
  - Construction of a new replacement dwelling;
  - Payment of a replacement housing payment in excess of the limits set forth in Subpart E, 49 CFR Part 24 and outlined in Section 24.401 (a)(2)(ii) of this document.

**B. TEMPORARY RELOCATION**

1. If the owner and the County determine that a tenant in a building being rehabilitated under this program must be temporarily relocated in order to complete the rehabilitation work, the tenant will be eligible for reasonable moving expenses and increased housing costs for the time he/she is temporarily displaced.
2. If a person is required to relocate for a temporary period because of an emergency, which is a direct result of a CDBG or HOME funded activity, the County shall:
  - a. Take whatever steps necessary to ensure that the person is temporarily relocated to a decent, safe and sanitary dwelling; and
  - b. Pay the actual reasonable out-of-pocket expenses and any increases in rent and utilities; and
  - c. Make available as soon as feasible, at least one comparable replacement dwelling (for the purpose of filing a claim for relocation payment as described in Section III (A) of this document).
3. The County will determine who will be responsible for payment of the eligible costs. An agreement will be made between the property owner and the County, prior to the start of rehabilitation, stating who is responsible for each portion of the eligible costs outlined above. If the property owner does not pay a temporarily relocated or permanently



displaced tenant the money due that tenant under these guidelines there will be no further distribution of County loan money until the County determines that the money due the tenant has been paid.

## **GLOSSARY OF TERMS**

Comparable Replacement Dwelling - A dwelling unit that is:

1. Decent, safe, and sanitary. The dwelling must meet the County's housing code requirements. Dwellings outside the County must meet the Section 8 Housing Quality Standards;
2. Functionally equivalent to and substantially the same as the acquired dwelling with respect to the number of rooms and area of living space (but not excluding new construction nor excluding a larger dwelling necessary to comply with decent, safe and sanitary criteria stated above);
3. Adequate in size to accommodate the occupants;
4. Demonstrated to be available to all persons regardless of race, religion, familial status, sex or national origin in a manner consistent with the requirements of Title VIII of the Civil Rights Act of 1968 (42 U.S.C. 3601 et.seq.), and available without discrimination based on source of income (e.g. welfare or alimony);
5. In an area not subject to unreasonable adverse environmental conditions from either natural or man-made sources and in an area not generally less desirable than that of the acquired dwelling with respect to public utilities and public and commercial facilities;
6. Reasonably accessible to the place of employment of the displaced person or, if unemployed (but employable) reasonably accessible to sources of employment;
7. Currently available to the displaced person on the private market, however, a comparable replacement dwelling for a person receiving government housing assistance before displacement may reflect similar government housing assistance.

Displaced Person – Any family or individual that is required to move permanently and involuntarily as a direct result of an assisted activity. The term includes a residential tenant who moves from the real property if the tenant has not been provided a reasonable opportunity to lease and occupy a decent, safe and sanitary dwelling in the same building or in a nearby building on the real property following completion of an assisted activity at a monthly rent/utility cost that does not exceed the greater of:

1. 30 percent of the tenant household's average monthly gross income, or

2. The tenant's monthly rent and average cost for utilities before the owner requested financial assistance.

A residential tenant who is required to move to another unit in the property or is required to relocate temporarily, but is not reimbursed for his/her reasonable out of pocket expenses, would also be considered a "displaced person" if he/she moves from the real property permanently.

Low/Moderate-Income Dwelling - A dwelling unit with a market rent (including utility costs) that does not exceed Fair Market Rent for existing housing established under 24 CFR Part 888 (Section 8). This term does not include a unit that is owned and occupied by the same person before and after the assisted rehabilitation.

Occupiable Dwelling Unit - A dwelling unit that is in a standard condition or in a substandard condition, but suitable for rehabilitation. A "vacant occupiable low/moderate-income dwelling unit" requires replacement of units in any condition which are occupied (except by a squatter) at any time within the period beginning one year before the date of execution of the agreement covering the rehabilitation or demolition (e.g., the agreement between the subgrantee/recipient and the owner of the building to be rehabilitated).

Standard Dwelling Unit - A dwelling unit with 0-5 minor housing code violations.

Substandard Dwelling Unit - Any housing unit with more than 6 minor housing code violations or any structural systems violations.

Substandard Dwelling Unit Suitable for Rehabilitation

1. All basic structural components of the subject unit must be determined to be sound. If the building has severe structural damage and the cost of repair would exceed the fair market value of the dwelling unit after rehabilitation, the building would be considered to be not suitable for rehabilitation.
2. The cost of rehabilitation should not exceed the fair market value of the dwelling unit after rehabilitation.
3. The rehabilitation activities should extend the usable life of the dwelling unit for at least five years.

The HUD Inspector General's Office has agreed to use the Internal Revenue Service's definition of what constitutes substantial rehabilitation and what is considered to be new construction. Lake County will abide by this definition when attempting to determine whether or not a substantially substandard unit is suitable for rehabilitation. The IRS regulations state that in order for a unit to be considered eligible for rehabilitation and not be considered new construction, 75% or more of the existing external walls of the structure must be retained in place as external walls in the rehabilitation process.

### **SUMMARY OF APPEALS PROCESS**

In computing the benefits to which an individual or family is entitled, a complex set of regulations and rules must be followed. Each individual will be individually apprised of the regulations governing their claim.

Individuals, families or businesses who have received notification that they may be permanently displaced as a result of a federally-funded County CDBG or HOME project should immediately contact the County's Community Development Division for more specific information regarding their eligibility for relocation benefits. Any questions regarding Lake County 's CDBG or HOME Relocation Policy or Program should be directed to:

Deputy Director – Lake County Community Development Division  
Planning, Building & Development Department  
18 N. County Street – 6<sup>th</sup> Floor  
Waukegan, Illinois 60085  
(847) 377-2150

As outlined at 24 CFR Part 42.10 of the Uniform Act, if a claimant does not agree with the County's Planning Department's determination as to eligibility, amount of relocation payments, or other relocation benefits, a written appeal to the County Administrator's office may be made within 90 days of the claimant's notification of eligibility and benefits. Lake County shall promptly make a written determination on the appeal, including an explanation of the basis on which the decision was made, and furnish the claimant with a copy. If differences still remain, he/she may file a written request for review of the County's decision to the HUD Field office. In all cases, the individual may appeal to a court of law and may be represented by legal counsel at his or her own expense.

## APPENDIX D: PERFORMANCE MEASUREMENTS

### PERFORMANCE MEASURES FOR LAKE COUNTY

#### CHDO Operating Funds

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
1.0	Habitat for Humanity of Lake County	\$22,387 HOME	Decent Housing	Availability	N/A
1.0	Highland Park Illinois Community Land Trust	\$19,640 HOME	Decent Housing	Availability	N/A
1.0	Lake County Residential Development Corporation	\$19,640 HOME	Decent Housing	Availability	N/A
1.0	YouthBuild Lake County	\$22,140 HOME	Decent Housing	Availability	N/A

#### New Construction

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
1.1	A Safe Place	\$211,987 HOME	Decent Housing	Availability	13 Units
1.1	Habitat for Humanity of Lake County	\$106,656 HOME	Decent Housing	Availability	3 Units
1.1	Lake County Residential Development Corporation	\$216,080 HOME	Decent Housing	Availability	38 Units
1.1	Ross Financial Services, Inc.	\$171,986 HOME	Decent Housing	Availability	12 Units
1.1	Youth Conservation Corps (YCC)	\$22,500 HOME	Decent Housing	Availability	1 Unit
1.1	YouthBuild Lake County	\$123,986 HOME	Decent Housing	Availability	1 Unit
Total					68 Units

#### Tenant Based Rental Assistance

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
1.2	Lake County PY2009 CDBG/ESG/HOME funds were not specifically allocated towards a Tenant Based Rental Assistance program				

## Rehabilitation

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
1.3	DKI, Inc.	\$136,986 HOME	Decent Housing	Affordability	11 Units
1.3	Highland Park Illinois Community Land Trust	\$191,986 HOME	Decent Housing	Affordability	3 Units
1.3	Lake County Rehab Program-Project Delivery	\$171,396 LC CDBG	Decent Housing	Affordability	25 Units
1.3	North Chicago Emergency Rehabilitation Program	\$73,418 NC CDBG	Decent Housing	Affordability	5 Units
1.3	North Chicago Property Acq/Rehab/New Const.	\$138,195 HOME	Decent Housing	Affordability	6 Units
1.3	Waukegan Property Rehab Program	\$540,114 HOME/CDBG	Decent Housing	Affordability	14 Units
Total					64 Units

## Homebuyer Assistance

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
1.4	Affordable Housing Corporation of Lake County - APM	\$150,000 HOME	Decent Housing	Affordability	50 Units
1.4	Affordable Housing Corporation of Lake County - North Chicago	\$54,000 HOME	Decent Housing	Affordability	7 Units
1.4	Affordable Housing Corporation of Lake County - Waukegan	\$119,966 HOME	Decent Housing	Affordability	15 Units
Total					72 Units

## Housing Counseling

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
1.5	Lake County PY2009 CDBG/ESG/HOME funds were not specifically allocated towards a housing counseling program, although all first time homebuyers receive housing counseling services through the Affordable Housing Corporation of Lake County.				

## Fair Housing

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
1.6	Fair Housing Center of Lake County	\$78,622 CDBG	Decent Housing	Availability	215 Households
Total					215 Households

## Emergency Shelter

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
2.1	A Safe Place	\$20,330 ESG	Suitable Living Environment	Availability	35 Beds
2.1	Catholic Charities	\$20,330 ESG	Suitable Living Environment	Availability	28 Beds
2.1	Lake County Haven	\$20,330 ESG	Suitable Living Environment	Availability	9 Beds
2.1	PADS Crisis Services	\$20,330 ESG	Suitable Living Environment	Availability	42 Beds
2.1	PADS Crisis Services	\$137,331 SHP	Suitable Living Environment	Availability	(Included above)
Total					114 Beds

## Transitional Housing

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
2.2	Alexian Brothers – The Harbor	\$110,250 SHP	Suitable Living Environment	Availability	8 Units
2.2	Christian Outreach of Lutherans (COOL)	\$42,290 SHP	Suitable Living Environment	Availability	2 Units
2.2	Lake County Haven	\$46,274 SHP	Suitable Living Environment	Availability	4 Units
2.2	Lake County Residential Development Corporation	\$82,766 SHP	Suitable Living Environment	Availability	9 Units
2.2	PADS – Safe Haven	\$226,376 SHP	Suitable Living Environment	Availability	15 Units
2.2	Waukegan Township Staben Center	\$20,304 ESG	Suitable Living Environment	Availability	17 Units
2.2	Waukegan Township Staben House	\$184,940 SHP	Suitable Living Environment	Availability	6 Units
Total					61 Units

## Permanent Supportive Housing

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
2.3	Catholic Charities Supportive Services	\$45,507 SHP	Decent Housing	Availability	25 Households
2.3	Catholic Charities CTI-RP	\$187,128 SHP	Decent Housing	Availability	24 Households
2.3	Independence Center	\$58,184 SHP	Decent Housing	Availability	8 Units
2.3	IPLUS CTI-RP	\$12,805 SHP	Decent Housing	Availability	(Included Above – 24)

2.3	Lake County Health Dept.	\$329,664 S+C	Decent Housing	Availability	29 Units
2.3	Prairie State Legal Services	\$50,000 SHP	Decent Housing	Availability	49 Households
2.3	Prairie State Legal Services	\$20,330 ESG	Decent Housing	Availability	85 Households
Total					183 Households / 40 Units

### Group Homes for the Mentally Ill

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
2.4	Lake County PY09 CDBG/ESG/HOME funds were not allocated towards group homes for the mentally ill. However, several group homes have been funded with HOME dollars in previous years.				

### Homeless Outreach

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
2.5	Lake County PY2009 CDBG/ESG/HOME funds were not specifically allocated towards homeless outreach. However, PADS Crisis Services conducts homeless outreach activities as part of their emergency shelter program funded with PY2009 ESG funds.				

### Urgent Needs

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.0	Lake County PY2009 CDBG/ESG/HOME funds were not specifically allocated towards homeless outreach. The County Administrator's Office has \$212,396 available from previous years' awards				

### Roadway Improvements

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.1.1	Antioch – Roads	\$112,000 CDBG	Suitable Living Environment	Sustainability	7,444 LF of Roadway
3.1.1	Antioch Township – Roads & Drainage	\$50,000 CDBG	Suitable Living Environment	Sustainability	1,810 LF of Roadway
3.1.1	Fox Lake – Roads & Drainage	\$112,000 CDBG	Suitable Living Environment	Sustainability	2,220 LF of Roadway
3.1.1	Grant Township – Roads & Drainage	\$75,000 CDBG	Suitable Living Environment	Sustainability	2,765 SY of Roadway
3.1.1	Highwood – Roads & Drainage	\$112,000 CDBG	Suitable Living Environment	Sustainability	2,150 LF of Roadway
3.1.1	Park City – Roads	\$112,000	Suitable Living	Sustainability	829 LF

		CDBG	Environment		of Roadway
3.1.1	Round Lake Heights – Roads	\$112,000 CDBG	Suitable Living Environment	Sustainability	5,650 LF of Roadway
3.1.1	Zion - Roads	\$112,000 CDBG	Suitable Living Environment	Sustainability	4,188 LF of Roadway
Total					27,056 LF of Roadway

### Sanitary Sewer System Improvements

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.1.2	Mundelein – Sanitary Sewer	\$75,000 CDBG	Suitable Living Environment	Sustainability	1,550 LF of Sewer
3.1.2	Round Lake Beach – Sanitary Sewer	\$112,000 CDBG	Suitable Living Environment	Sustainability	1 Lift Station
3.1.2	Round Lake Park – Sanitary Sewer	\$112,000 CDBG	Suitable Living Environment	Sustainability	4,399 LF of Sewer
Total					5,949 LF & 1 Lift Station

### Water Supply System Improvements

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.1.3	Beach Park – Watermain	\$112,000 CDBG	Suitable Living Environment	Sustainability	1,345 LF of Watermain
3.1.3	Island Lake – Watermain	\$75,000 CDBG	Suitable Living Environment	Sustainability	2,090 LF of Watermain
3.1.3	Lake County Public Works – Watermain	\$75,000 CDBG	Suitable Living Environment	Sustainability	2,650 LF of Watermain
3.1.3	Round Lake. – Watermain & Roads	\$112,000 CDBG	Suitable Living Environment	Sustainability	850 LF of Watermain
Total					6,935 LF of Watermain

### Drainage System Improvements

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.1.4	Grayslake – Drainage	\$75,000 CDBG	Suitable Living Environment	Sustainability	850 LF of Sewer
3.1.4	Lindenhurst – Drainage	\$49,534 CDBG	Suitable Living Environment	Sustainability	835 LF of Sewer
Total					1,685 LF of Sewer



### Fire Protection Equipment

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.1.5	Lake County PY2009 CDBG funds were not allocated towards fire protection equipment.				

### Meet Basic Food & Nutrition Needs

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.2.1	Faith Food Pantry	\$32,000 CDBG	Suitable Living Environment	Availability	14,337 People
3.2.1	Northern Illinois Food Bank	\$42,000 CDBG	Suitable Living Environment	Availability	429,624 People
Total					443,961 People

### Meet Basic Healthcare Needs

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.2.2	HealthReach	\$42,000 CDBG	Suitable Living Environment	Availability	3,100 People
Total					3,100 People

### Meet Basic Transportation Needs

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.2.3	Lake County PY2009 CDBG/ESG/HOME funds were not allocated towards basic transportation services.				

### Assistance Acquiring and Managing Financial Benefits

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.2.4	Lake County PY2009 CDBG/ESG/HOME funds were not allocated towards acquiring & managing financial benefits, although SHP funds were allocated for representative payee services.				

### Behavioral Health

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.3.1	CREW, Inc.	\$30,000 CDBG	Suitable Living Environment	Availability	500 People
3.3.1	Nicasa	\$30,000 CDBG	Suitable Living Environment	Availability	1,650 People

3.3.1	LaCASA / Zacharias Center	\$28,000 CDBG	Suitable Living Environment	Availability	90 People
Total					2,240 People

### Prevention Activities for Youth

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.3.2	Lake County PY2009 CDBG/ESG/HOME funds were not allocated towards prevention activities for youth.				

### Case Management, Information and Referral Services

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.3.3	Lake County HMIS	\$95,648 SHP	Suitable Living Environment	Availability	N/A
3.3.3	Lake County Sheriff's Office	\$36,500 CDBG	Suitable Living Environment	Availability	1,200 People
Total					1,200 People

### Job Creation

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.4.1	Lake County PY2009 CDBG/ESG/HOME funds were not allocated towards job creation.				

### Basic Employment Skills

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.4.2	L.C. Center for Independent Living	\$25,000 CDBG	Economic Opportunity	Availability	80 People
3.4.2	Youth Conservation Corps	\$30,000 CDBG	Economic Opportunity	Availability	56 People
3.4.2	YouthBuild Lake County	\$36,500 CDBG	Economic Opportunity	Availability	25 People
Total					161 People

### Dependent Care Services in Support of Employment

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.4.3	Lake County PY2009 CDBG/ESG/HOME funds were not allocated towards dependent care services in support of employment.				

**Program Administration and Planning**

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.5.1	Lake County Planning, Building, & Dev. - CDBG	\$452,465 CDBG	N/A	N/A	N/A
3.5.1	Lake County Planning, Building, & Dev.– HOME	\$177,543 HOME	N/A	N/A	N/A
3.5.1	Lake County Planning, Building, & Dev.– ESG	\$5,666 ESG	N/A	N/A	N/A
3.5.1	Affordable Housing Corporation of Lake County- Inspections	\$8,204 HOME	N/A	N/A	N/A
Total					N/A

**Education, Advocacy, & Outreach**

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.5.2	Affordable Housing Corporation of Lake County	\$50,367 CDBG	N/A	N/A	N/A
Total					N/A

## PERFORMANCE MEASURES FOR THE CITY OF NORTH CHICAGO

### Recreation Facilities

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
4.1.1	North Chicago PY2009 CDBG funds were not allocated towards recreation facilities.				

### Senior Centers

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
4.1.2	North Chicago PY2009 CDBG funds were not allocated towards senior centers.				

### Community Safety Equipment

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
4.1.3	North Chicago PY2009 CDBG funds were not allocated towards Community Safety Equipment.				

### Public Facilities Improvements

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
4.2.1	North Chicago Street and Alley Improvement Program	\$55,000 CDBG	Suitable Living Environment	Sustainability	2,760 LF of Street/Alley
4.2.1	North Chicago Sidewalk Program	\$5,000 CDBG	Suitable Living Environment	Sustainability	280 LF of Sidewalks
Total					2,760 LF Street/Alley & 280 LF of Sidewalks

### Handicap Accessibility Improvements

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
4.2.2	North Chicago PY2009 CDBG funds were not allocated towards handicap accessibility improvements.				

## Health Services

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
4.3.1	North Chicago PY2009 CDBG funds were not allocated towards health services.				

## Substance Abuse Services

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
4.3.2	Community Resources for Education & Wellness	\$5,000 CDBG	Suitable Living Environment	Availability	500 People
4.3.2	Nicasa	\$5,000 CDBG	Suitable Living Environment	Availability	230 People
Total					730 People

## Youth Services

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
4.3.3	YWCA Lake County	\$5,000 CDBG	Suitable Living Environment	Availability	125 Youth
4.3.3	YouthBuild Lake County	\$5,000 CDBG	Suitable Living Environment	Availability	25 Youth
Total					150 Youth

## Parental Supportive Services

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
4.3.4	Catholic Charities	\$5,000 CDBG	Suitable Living Environment	Availability	36 Households/Persons
Total					36 Households/Persons

## Early Childhood Intervention

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
4.3.5	North Chicago PY2009 CDBG funds were not allocated towards early childhood intervention.				

### Senior Services

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
4.3.6	Waukegan Township Home Sweet Home	\$5,000 CDBG	Suitable Living Environment	Availability	64 Seniors
Total					64 Seniors

### Basic Needs Assistance

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
4.3.7	Faith Food Pantry	\$6,000 CDBG	Suitable Living Environment	Availability	14,437 People
4.3.7	Northern Illinois Food Bank	\$5,563 CDBG	Suitable Living Environment	Availability	660 People
4.3.7	PADS Crisis Services	\$6,000 CDBG	Suitable Living Environment	Availability	1,389 People
Total					16,486 People

### Real Estate Development

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
4.4.1	North Chicago – Façade Improvement Program	\$60,000 CDBG	Economic Opportunity	Affordability	2 Units
4.4.1	North Chicago – Economic Development Special Project	\$26,000 CDBG	Economic Opportunity	Affordability	1 Units
Total					3 Units

### Business Education

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
4.4.2	North Chicago PY2009 CDBG funds were not allocated towards business education.				

### North Chicago Planning and Administration

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
4.5.1	North Chicago – General Administration	\$40,877 CDBG	N/A	N/A	N/A
4.5.1	SER/Jobs for Progress – Fair Housing Center	Included in #1.6	N/A	N/A	N/A

## PERFORMANCE MEASURES FOR THE CITY OF WAUKEGAN

### Downtown Revitalization Area

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
5.1.1	City of Waukegan – Downtown Streetscape	\$170,000 CDBG	Suitable Living Environment	Availability	350,000 People
Total					350,000 People

### Infrastructure Improvements

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
5.1.2	City of Waukegan – Sidewalk Replacement	\$125,000 CDBG	Suitable Living Environment	Sustainability	500 People
Total					500 People

### Recreational and Service-Oriented Facilities

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
5.1.3	Waukegan PY2009 CDBG funds were not allocated towards recreational and service-oriented facilities.				

### Accessibility for People with Disabilities

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
5.1.4	Countryside Association	\$20,000 CDBG	Suitable Living Environment	Accessibility	197 People
Total					197 People

### Youth Services

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
5.2.1	A Safe Place	\$5,000 CDBG	Suitable Living Environment	Availability	95 Youth
5.2.1	CASA of Lake County	\$8,000 CDBG	Suitable Living Environment	Availability	221 Youth
5.2.1	Nicasa	\$5,000 CDBG	Suitable Living Environment	Availability	51 Youth
5.2.1	Youth Conservation Corps (YCC)	\$5,000 CDBG	Suitable Living Environment	Availability	29 Youth
5.2.1	YouthBuild Lake County	\$10,000 CDBG	Suitable Living Environment	Availability	14 Youth

5.2.1	YWCA Lake County	\$5,000 CDBG	Suitable Living Environment	Availability	233 Youth
5.2.1	Zacharias Sexual Abuse Center	\$8,000 CDBG	Suitable Living Environment	Availability	83 Youth
Total					726 Youth

### Senior Services

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
5.2.2	1 <sup>st</sup> Senior Solutions	\$5,000 CDBG	Suitable Living Environment	Availability	29 Seniors
5.2.2	Christ Church	\$5,000 CDBG	Suitable Living Environment	Availability	105 Seniors
5.2.2	Waukegan Township	\$17,500 CDBG	Suitable Living Environment	Availability	522 Seniors
Total					656 Seniors

### Basic Needs Assistance

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
5.2.3	Christian Outreach of Lutherans (COOL)	\$5,000 CDBG	Suitable Living Environment	Availability	12,964 People
5.2.3	Emmanuel Faith Bible Christian Center	\$5,000 CDBG	Suitable Living Environment	Availability	1,674 People
5.2.3	Faith Food Pantry	\$10,000 CDBG	Suitable Living Environment	Availability	3,751 People
5.2.3	I-PLUS	\$7,000 CDBG	Suitable Living Environment	Availability	53 People
5.2.3	PADS Crisis Services	\$10,043 CDBG	Suitable Living Environment	Availability	57 People
5.2.3	SER/Jobs for Progress – Fair Housing Center	Included in #1.6	N/A	N/A	N/A
Total					18,499 People

### Community Safety

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
5.2.4	Waukegan Police Department	\$17,000 CDBG	Suitable Living Environment	Availability	11,016 People
5.2.4	Lake County Sheriff's Office	\$5,000 CDBG	Suitable Living Environment	Availability	135 People
Total					11,151 People



**Other Public Services**

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
5.2.5	Prairie State Legal Services	\$5,000 CDBG	Suitable Living Environment	Availability	24 People
5.2.5	Community Resource for Education & Wellness	\$8,000 CDBG	Suitable Living Environment	Availability	90 People
5.2.5	ROPE – Primary Purpose	\$5,000 CDBG	Suitable Living Environment	Availability	28 People
5.2.5	Urban Muslim Minority Alliance (UMMA)	\$5,000 CDBG	Suitable Living Environment	Availability	207 People
Total					349 People

**Code Enforcement**

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
5.3	City of Waukegan – Code Enforcement Program	\$50,000 CDBG	Decent Housing	Sustainability	11,500 People
Total					11,500 People

**Program Oversight and Administration**

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
5.4	City of Waukegan – CDBG Program Admin	\$220,725 CDBG	N/A	N/A	N/A
Total					

## **APPENDIX E: LAKE COUNTY CERTIFICATIONS**

## CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing --** The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

**Anti-displacement and Relocation Plan --** It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

**Drug Free Workplace --** It will or will continue to provide a drug-free workplace by;

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about -
  - (a) The dangers of drug abuse in the workplace;
  - (b) The grantee's policy of maintaining a drug-free workplace;
  - (c) Any available drug counseling, rehabilitation, and employee-assistance programs; and
  - (d) The penalties that may be imposed upon employees for drug-abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
  - (a) Abide by the terms of the statement; and
  - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -
  - (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - (b) Requiring such employee to participate satisfactorily in a drug-abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

**Anti-Lobbying --** To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

\_\_\_\_\_  
Signature/Authorized Official

\_\_\_\_\_  
Date

Chair, Lake County Board  
Title

### Specific CDBG Certifications

The Entitlement Community certifies that:

**Citizen Participation** -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, and expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570).

**Following a Plan** -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

**Use of Funds** -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available;
2. Overall Benefit. The aggregate use of CDBG funds including Section 108 guaranteed loans during program year 2009 (a period specified by the grantee consisting of one, two, or three specific, consecutive, program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and

occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

**Excessive Force --** It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

**Compliance With Anti-discrimination laws --** The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

**Lead-Based Paint --** Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K, and R;

**Compliance with Laws --** It will comply with applicable laws.

\_\_\_\_\_  
Signature/Authorized Official

\_\_\_\_\_  
Date

Chair, Lake County Board

Title

### Specific HOME Certifications

The HOME participating jurisdiction certifies that:

**Tenant Based Rental Assistance** -- If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

**Eligible Activities and Costs** -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

**Appropriate Financial Assistance** -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

\_\_\_\_\_  
Signature/Authorized Official

\_\_\_\_\_  
Date

Chair, Lake County Board  
Title



## ESG Certifications

The Emergency Shelter Grantee certifies that:

**Major rehabilitation/conversion** -- It will maintain any building for which assistance is used under the ESG program as a shelter for homeless individuals and families for at least 10 years. If the jurisdiction plans to use funds for rehabilitation (other than major rehabilitation or conversion), the applicant will maintain any building for which assistance is used under the ESG program as a shelter for homeless individuals and families for at least 3 years.

**Essential Services and Operating Costs** -- Where assistance involves essential services or maintenance, operation, insurance, utilities, and furnishings, it will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure as long as the same general population is served.

**Renovation** -- Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

**Supportive Services** -- It will assist homeless individuals in obtaining appropriate supportive services, including permanent housing, medical and mental health treatment, counseling, supervision, and other services essential for achieving independent living, and other Federal, State, local, and private assistance.

**Matching Funds** -- It will obtain matching amounts required under 24 CFR 576.51.

**Confidentiality** -- It will develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project except with the written authorization of the person responsible for the operation of that shelter.

**Homeless Persons Involvement** -- To the maximum extent practicable, it will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, operating facilities, and providing services assisted through this program.

**Consolidated Plan** -- It is following a current HUD-approved Consolidated Plan or CHAS.

**Discharge Policy** -- It has established a policy for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent such discharge from immediately resulting in homelessness for such persons.

**HMIS** -- It will comply with HUD's standards for participation in a local Homeless Management Information System and the collection and reporting of client-level information.

\_\_\_\_\_  
Signature/Authorized Official

\_\_\_\_\_  
Date

Chair, Lake County Board  
Title

**APPENDIX TO CERTIFICATIONS****INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:****A. Lobbying Certification**

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

**B. Drug-Free Workplace Certification**

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).

6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Lake County Department of Planning, Building and Development  
18 North County Street, Waukegan IL 60085

Check X if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace is required by 24 CFR part 24, Subpart F.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

## **APPENDIX F: NORTH CHICAGO CERTIFICATIONS**

## CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing --** The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

**Anti-displacement and Relocation Plan --** It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

**Drug Free Workplace --** It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about -
  - (a) The dangers of drug abuse in the workplace;
  - (b) The grantee's policy of maintaining a drug-free workplace;
  - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
  - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
  - (a) Abide by the terms of the statement; and
  - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -
  - (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

**Anti-Lobbying --** To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

\_\_\_\_\_  
Signature/Authorized Official

\_\_\_\_\_  
Date

Mayor  
Title

### Specific CDBG Certifications

The Entitlement Community certifies that:

**Citizen Participation** -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570).

**Following a Plan** -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

**Use of Funds** -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities, which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available;
2. Overall Benefit. The aggregate use of CDBG funds including Section 108 guaranteed loans during program year 2009 (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and



occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

**Excessive Force --** It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

**Compliance With Anti-discrimination laws --** The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

**Lead-Based Paint --** Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K, and R;

**Compliance with Laws --** It will comply with applicable laws.

\_\_\_\_\_  
Signature/Authorized Official

\_\_\_\_\_  
Date

Mayor  
Title

**APPENDIX TO CERTIFICATIONS****INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:****A. Lobbying Certification**

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

**B. Drug-Free Workplace Certification**

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).

6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

City of North Chicago, 1850 Lewis Avenue, North Chicago, Lake, Illinois 60064

Check X if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace is required by 24 CFR part 24, Subpart F.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

## **APPENDIX G: WAUKEGAN CERTIFICATIONS**

## CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing --** The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

**Anti-displacement and Relocation Plan --** It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

**Drug Free Workplace --** It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about -
  - (a) The dangers of drug abuse in the workplace;
  - (b) The grantee's policy of maintaining a drug-free workplace;
  - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
  - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
  - (a) Abide by the terms of the statement; and
  - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -
  - (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

**Anti-Lobbying --** To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

\_\_\_\_\_  
Signature/Authorized Official

\_\_\_\_\_  
Date

Mayor  
Title

### Specific CDBG Certifications

The Entitlement Community certifies that:

**Citizen Participation** -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570).

**Following a Plan** -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

**Use of Funds** -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities, which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available;
2. Overall Benefit. The aggregate use of CDBG funds including Section 108 guaranteed loans during program year 2009 (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and



occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

**Excessive Force --** It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

**Compliance With Anti-discrimination laws --** The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

**Lead-Based Paint --** Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K, and R;

**Compliance with Laws --** It will comply with applicable laws.

\_\_\_\_\_  
Signature/Authorized Official

\_\_\_\_\_  
Date

Mayor  
Title

**APPENDIX TO CERTIFICATIONS****INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:****A. Lobbying Certification**

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

**B. Drug-Free Workplace Certification**

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).

6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Various locations throughout the City of Waukegan, specifically its City Hall located at 410 Robert V. Sabonjian Place, Waukegan, Lake, Illinois 60085-4316

Check \_\_\_ if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace is required by 24 CFR part 24, Subpart F.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

## APPENDIX H: CROSS-REFERENCE OF HUD REQUIREMENTS AND LAKE COUNTY CONSORTIUM'S 2009 ANNUAL ACTION PLAN

Section	HUD Requirement	Page	Description
<b>91.105 and 91.200(b)</b>	<b>CITIZEN PARTICIPATION</b>		
	Description of the development of the Action Plan and efforts to broaden local and regional public participation, including the names of organizations (institutions, businesses, developers, community, and faith-based organizations) involved in the development of the Action Plan.	25 - 27 91 - 99	Also see the February 2003 Citizen Participation Plan.
	Summary of the citizen participation and consultation process, and the public hearing comment period requirements.	25 - 27	See "Citizen Participation and Annual Action Plan Process".
	Summary of citizen comments or views included in the plan (accepted or not accepted) and if the comments were specifically and adequately addressed by the grantee (and the reasons why, if they were not accepted).	100 - 105	See "Appendix A: Summary of Public Comments on the Proposed Annual Action Plan."
	Description of the lead agency or entity responsible for overseeing the development of the Action Plan.	93	See "Lake County Consortium" and "Lake County" portions of Section 8.0 "Institutional Structure and Coordination of Resources".
<b>91.220</b>	<b>ACTION PLAN</b>		
	Standard 424 Forms for the applicable programs included with correct dollar allocations and signed by the appropriate officials.	Front of application packet	
	DUNS Number listed in the Standard 424 Forms.	Front of application packet	
	Description of the geographic areas of the jurisdiction (including areas of low income and/or racial/minority concentration).	19 - 22	See "Lake County", "Lake County Waukegan Area Insert", "North Chicago", and "Waukegan" geographic maps.
	Description of the basis for allocating investments geographically within the jurisdiction and the rationale for assigning priorities.	26 - 29	<ul style="list-style-type: none"> <li>See "Grant Application Process", "Grant Allocation Process", and "Geographic Distribution" sections of the</li> </ul>

			Annual Action Plan. <ul style="list-style-type: none"> <li>See 2005 – 2009 Consolidated Plan for the rationale for assigning priorities.</li> </ul>
<b>91.220 (b)</b>	<b>EXECUTIVE SUMMARY</b>		
	Provision of an Executive Summary that includes objectives and outcomes identified in the Action Plan.	1 - 5	
<b>91.220 (c1)</b>	<b>RESOURCES</b>		
	Description of the Federal Resources (including Section 8 funds, Low-Income Housing Tax Credits, and McKinney-Vento Homeless Assistance Programs) and private and non-Federal public resources expected to be available to address priority needs and specific objectives identified in the Action Plan.	28, 31 - 85	See “Other Resources” section of the Annual Action Plan, along with individual Lake County Consortium, Lake County, North Chicago, and Waukegan CDBG/HOME/ESG funded activities in Sections 1.0, 2.0, 3.0, 4.0, and 5.0.
	Description of HOME and/or ESG matching requirements.	28, 31 - 85	See “Other Resources” section of the Annual Action Plan, along with individual Lake County Consortium, Lake County, North Chicago, and Waukegan CDBG/HOME/ESG funded activities in Sections 1.0, 2.0, 3.0, 4.0, and 5.0.
<b>91.220 (c3) and 91.220 (d)</b>	<b>ACTION PLAN ACTIVITIES</b>		
	Complete description of CDBG/HOME/ESG funded activities.	31 - 85	See Lake County Consortium, Lake County, North Chicago, and Waukegan CDBG/HOME/ESG funded activities in Sections 1.0, 2.0, 3.0, 4.0, and 5.0.
	Identification/Summary of priorities and specific annual objectives that will be addressed.	31 - 85	<ul style="list-style-type: none"> <li>See individual project bullet point descriptions for bolded Consolidated Plan priorities and individual “Proposed Outcomes”.</li> </ul>
		118 - 131	<ul style="list-style-type: none"> <li>See Appendix D.</li> </ul>
	Relationship to the priority needs identified/local specific	31 - 85	See individual project

	objectives as listed in Consolidated Plan.		bullet point descriptions for bolded Consolidated Plan priorities.
	Description of proposed activities (in sufficient detail) that includes number and type of families that will benefit from the proposed activities and locations (so citizens know the degree to which they may be affected).	31 - 85	See individual Lake County Consortium, Lake County, North Chicago, and Waukegan CDBG/HOME/ESG funded activities in Sections 1.0, 2.0, 3.0, 4.0, and 5.0.
<b>91.220 (e)</b>	<b>OUTCOME MEASURES</b>		
	Identification of outcome measures for activities in accordance with Federal Register notification (of March 7, 2006) for the general objective categories (decent housing, suitable living environment, or economic opportunity) and for the general outcome categories (availability/accessibility, affordability, or sustainability).	30  31 - 85  118 - 131	<ul style="list-style-type: none"> <li>• See "Performance Measurement" section of the Annual Action Plan.</li> <li>• See individual "Project Outcomes" listed for each Lake County Consortium, Lake County, North Chicago, and Waukegan CDBG/HOME/ESG funded activities in Sections 1.0, 2.0, 3.0, 4.0, and 5.0.</li> <li>• See Appendix D.</li> </ul>
	<b>EXPENDITURE LIMITS</b>		
	Identification of the 20% administrative cap for CDBG.	Lake County (13) North Chicago (15) Waukegan (18)	See "Notes Regarding the CDBG Program Funding Recommendation Tables"
	Identification of the 15% public service cap for CDBG.	Lake County (13) North Chicago (15) Waukegan (18)	See "Notes Regarding the CDBG Program Funding Recommendation Tables"
	Identification of the 10% administrative cap for HOME.	7	See "Notes Regarding the HOME Program Funding Recommendation Table"
	Identification of the 5% CHDO Operating Fund set-aside for HOME.	7	See "Notes Regarding the HOME Program Funding Recommendation Table"

	Identification of the 15% CHDO set-aside for HOME.	7	See "Notes Regarding the HOME Program Funding Recommendation Table"
<b>91.220 (f)</b>	<b>GEOGRAPHIC DISTRIBUTION/ALLOCATION PRIORITIES</b>		
	Identification of geographic areas of directed assistance (narrative, maps, or tables) including areas of low income.	19 - 22	See "Lake County", "Lake County Waukegan Area Insert", "North Chicago", and "Waukegan" geographic maps.
	Description of the areas, including areas of minority concentration, of directed assistance.	19 - 22	See "Lake County", "Lake County Waukegan Area Insert", "North Chicago", and "Waukegan" geographic maps.
	Estimate of the percentage of funds it plans to dedicate in target areas.		100% of Lake County, North Chicago, and Waukegan CDBG, HOME, and ESG funded projects benefit persons of low and moderate income.
<b>91.220 (g)</b>	<b>AFFORDABLE HOUSING GOALS</b>		
	One-year goals for the number of homeless, non-homeless, and special-needs households to be provided affordable housing units.	31 - 52  118 - 131	<ul style="list-style-type: none"> <li>See Lake County, North Chicago, and Waukegan CDBG/HOME/ESG funded activities in Sections 1.0 and 2.0.</li> <li>See Appendix D.</li> </ul>
	One-year goals for the number of households to be provided affordable housing units through activities that provide rental assistance, production of new units, rehabilitation of existing units, or acquisition of existing units.	31 - 44	See "Lake County Consortium Housing Activities" in Section 1.0.
<b>91.220 (h)</b>	<b>PUBLIC HOUSING</b>		
	Included actions that address the following (if applicable): <ul style="list-style-type: none"> <li>needs of public housing,</li> <li>public housing improvements and resident initiatives</li> <li>assist troubled public housing agencies</li> </ul>	38       41 - 42	<ul style="list-style-type: none"> <li>See "Lake County Housing Authority, North Chicago Housing Authority, and Waukegan Housing Authority Capital Fund Program" section of the Annual Action Plan.</li> <li>See "Public Housing Resident Initiatives" section of the Annual Action Plan.</li> </ul>

<b>91.220 (i)</b>	<b>HOMELESS AND OTHER SPECIAL NEEDS ACTIVITIES</b>		
	Homeless prevention activities proposed in the Action Plan.	45 - 52	See "Lake County Consortium Homeless Activities" in Section 2.0.
	Emergency shelter, transitional housing, programs to assist in the transition to permanent housing and independent living proposed in the Action Plan.	45 - 52	See "Lake County Consortium Homeless Activities" in Section 2.0.
	Supportive housing activities being undertaken to address the priority housing needs of persons who are not homeless (elderly, frail elderly, persons with disabilities, persons with HIV/AIDS, or persons with alcohol or other substance abuse problems).	31 - 44	See "Lake County Consortium Housing Activities" in Section 1.0.
	Identification of specific action steps to end chronic homelessness.	45 - 52	See "Lake County Consortium Homeless Activities" in Section 2.0.
<b>91.220 (k)</b>	<b>OTHER ACTIONS</b>		
	Other proposed actions which will address the following (if applicable): <ul style="list-style-type: none"> <li>• foster and maintain affordable housing</li> <li>• public housing improvements and resident initiatives</li> <li>• evaluation and reduction of lead-based hazards</li> <li>• reducing the number of persons below the poverty line</li> <li>• developing institutional structures/enhancing coordination between public and private housing and social service agencies</li> </ul>	31 - 44 38, 41 - 42 43 - 44 86 91 - 99	In addition to specific page references shown to the left, also see the Lake County, North Chicago, and Waukegan CDBG/HOME/ESG funded activities in Sections 1.0, 2.0, 3.0, 4.0, 5.0, 6.0 and 8.0.
<b>91.220 (l)</b>	<b>PROGRAM SPECIFIC REQUIREMENTS</b>		
	<b>CDBG</b>		
	Total amount of funds allocated = (amount of grant entitlement + program income + reprogrammed funds).	Lake County (11 - 12) North Chicago (14 - 15) Waukegan (16 - 17)	See the CDBG Program summations for the Lake County, North Chicago, and Waukegan CDBG Recommendation Tables.
	Identification of the amount of CDBG funds that will be used for activities that benefit persons of low and moderate income.		100% of Lake County, North Chicago, and Waukegan CDBG-funded projects benefit persons of low and moderate income.
	<b>HOME</b>		
	Description of other forms of investment and homebuyer-funded guidelines for resale and recapture funds.	28 - 29, 31 - 44	<ul style="list-style-type: none"> <li>• Specific sources of other financial resources are identified for housing projects in</li> </ul>



		106 - 108	<p>the individual activity descriptions, as the difference between the HOME grant and the total project costs.</p> <ul style="list-style-type: none"> <li>See "Appendix B: Lake County Resale and Recapture Guidelines for Homebuyers".</li> </ul>
	Refinancing guidelines (if HOME funds are used to refinance existing debt secured by HOME-funded rehabilitation of multifamily housing).	N/A	
	Description of homeownership-funded guidelines for resale and recapture funds that ensures affordability of units acquired with HOME funds.	106 - 108	See "Appendix B: Lake County Resale and Recapture Guidelines for Homebuyers".
	Description of local market conditions that led to use of HOME funds for a tenant-based rental-assistance program.	N/A	
	Description of policy and procedures it will follow to affirmatively market housing containing five or more HOME-assisted units.	40 - 41	See "Section 1.6, Fair Housing/Affirmatively Furthering Fair Housing."
	Description of actions taken to establish and oversee a Minority Outreach Program.	30	See "Minority Outreach Program" paragraph.
	<b>ADDI (if applicable)</b>		
	Description of planned use of ADDI funds.	N/A	
	Targeted Outreach Plan to residents, tenants, and families of public and manufactured housing and to other families assisted by public housing agencies.	N/A	
	Description of the actions taken to ensure the suitability of families receiving ADDI funds to undertake and maintain homeownership, such as a provision of housing counseling to homebuyers.	N/A	
<b>91.230</b>	<b>MONITORING</b>		
	Description of standards and procedures to monitor activities carried out in furtherance of the Action Plan.	87 - 90	See Section 7.0 "Monitoring Standards and Procedures" of the Annual Action Plan.
	Description of actions to be taken to monitor its performance in meeting its Consolidated Plan goals and objectives.	87 - 90	See Section 7.0 "Monitoring Standards and Procedures" of the Annual Action Plan.
	Description of steps and actions being taken to insure compliance with program requirements, including requirements involving the timeliness of expenditures.	87 - 90	See Section 7.0 "Monitoring Standards and Procedures" of the Annual Action Plan.
	Description of steps and actions taken to ensure long-term compliance with housing codes, including any actions or on-site inspections.	87 - 90	<ul style="list-style-type: none"> <li>See Section 7.0 "Monitoring Standards and Procedures" of the</li> </ul>

		64	Annual Action Plan. • See the Affordable Housing Corporation of Lake County HOME-related inspection project.
	Description of actions taken to monitor its subrecipients (including sponsors or administering agents).	87 - 90	See Section 7.0 "Monitoring Standards and Procedures" of the Annual Action Plan.
<b>91.225</b>	<b>CERTIFICATIONS (general and specific certifications for each program)</b>		
	<b>General</b>		
	Affirmatively furthering fair housing	133 143 151	Included In Lake County, North Chicago, and Waukegan Certifications.
	Anti-displacement and relocation plan	133 143 151	Included In Lake County, North Chicago, and Waukegan Certifications.
	Drug-free workplace	133 143 151	Included In Lake County, North Chicago, and Waukegan Certifications.
	Anti-lobbying	134 144 152	Included In Lake County, North Chicago, and Waukegan Certifications.
	Authority of Jurisdiction	135 145 153	Included In Lake County, North Chicago, and Waukegan Certifications.
	Consistency with Consolidated Plan	135 145 153	Included In Lake County, North Chicago, and Waukegan Certifications.
	Section 3	135 145 153	Included In Lake County, North Chicago, and Waukegan Certifications.
	<b>CDBG**</b>		
	Citizen Participation	136 146 154	Included In Lake County, North Chicago, and Waukegan Specific CDBG Certifications.
	Community Development Plan	136 146 154	Included In Lake County, North Chicago, and Waukegan Specific CDBG Certifications.

	Following the Plan	136 146 154	Included In Lake County, North Chicago, and Waukegan Specific CDBG Certifications.
	Use of Funds	136 146 154	Included In Lake County, North Chicago, and Waukegan Specific CDBG Certifications.
	Excessive Force	137 147 155	Included In Lake County, North Chicago, and Waukegan Specific CDBG Certifications.
	Compliance with anti-discrimination law	137 147 155	Included In Lake County, North Chicago, and Waukegan Specific CDBG Certifications.
	Compliance with lead-based paint procedures	137 147 155	Included In Lake County, North Chicago, and Waukegan Specific CDBG Certifications.
	Compliance with Laws	137 147 155	Included In Lake County, North Chicago, and Waukegan Specific CDBG Certifications.
	** Certification period for the CDBG program's overall benefit requirements must be consistent with the period certified in the prior certification	136 146 154	"2009" for Lake County, North Chicago, and Waukegan.
	<b>ESG</b>		
	Not less than 10-years	139	Included in ESG Certifications
	Not less than 3 years	139	Included in ESG Certifications
	Essential Services/Operating Costs Provision	139	Included in ESG Certifications
	Renovation that is Safe and Sanitary	139	Included in ESG Certifications
	Supportive Services	139	Included in ESG Certifications
	Match Requirements	139	Included in ESG Certifications
	Confidentiality	139	Included in ESG Certifications
	Employing or Involving the Homeless	139	Included in ESG Certifications
	Compliance with the Consolidated Plan	139	Included in ESG Certifications
	Discharge Policy	139	Included in ESG Certifications
	HMIS Participation	139	Included in ESG Certifications

	<b>HOME</b>		
	TBRA is Consistent with the Consolidated Plan	138	Included In Lake County's Specific HOME Certifications.
	Use for Eligible Activities and Costs	138	Included In Lake County's Specific CDBG Certifications.
	Monitoring of HOME-assisted Projects, including Subsidy Layering.	87 - 91	See Section 7.0 "Monitoring Standards and Procedures" of the Annual Action Plan, specifically the portion titled "Housing Program Procedures".

**SOURCE:**

New Local Action Plan Submission Provisions originally downloaded February 2, 2007 and re-confirmed January 2, 2008 from [www.hud.gov/offices/cpd/about/conplan](http://www.hud.gov/offices/cpd/about/conplan) that included "New local Action Plan regulatory provisions" that were last updated June 23, 2006.

Consolidated Plan Review Guidance, (pages 2 – 3 and pages 9 – 17) downloaded January 2, 2008 from [www.hud.gov/offices/cpd/about/conplan](http://www.hud.gov/offices/cpd/about/conplan) that included a "Local Consolidated Plan Review Checklist" that was last updated September 10, 2007.

Confirmation that there were no changes beyond these documents, January 9, 2009.